

# Specification for EPC HF RFID Air Interface



## EPC™ Radio-Frequency Identity Protocols EPC Class-1 HF RFID Air Interface Protocol for Communications at 13.56 MHz

Version 2.0.3

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# Foreword

This document was prepared by the EPCglobal HF Air Interface Working Group.

This Class-1 specification defines the base (or foundation) of four EPCglobal radio-frequency identification (RFID) protocols. The feature set of all four protocols is located at [www.epcglobalinc.org/standards](http://www.epcglobalinc.org/standards). The feature set for the Class-1 protocol is normative to this document.

The feature set for higher-class protocols is informative to this document.

Regardless of the class definitions, higher class Tags shall not conflict with the operation of, nor degrade the performance of, Class-1 Tags located in the same RF environment.

## Disclaimer

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## 1 Scope

This document specifies:

- Physical interactions (the signalling layer of the communication link) between interrogators and tags,
- Interrogator and tag operating procedures and commands, and
- The collision arbitration scheme used to identify a specific tag in a multiple-tag environment.

## 2 Conformance

In order to claim conformance with EPC™ Class-1 HF RFID AI, it is necessary to comply with all of the relevant clauses of EPC™ Class-1 HF RFID AI except those marked ‘optional’ and it is also necessary to operate within the local national radio regulations (which may require further restrictions).

### 2.1 Claiming conformance

A device shall not claim conformance with this specification unless the device complies with:

- all clauses in this specification (except those marked as optional);
- the conformance document associated with this specification; and
- all local radio regulations.

Conformance may also require a license from the owner of any intellectual property utilized by said device.

### 2.2 General conformance requirements

#### 2.2.1 Interrogators

To conform to this specification, an Interrogator shall:

- Meet the requirements of this specification,
- Implement the mandatory commands defined in this specification,
- Modulate/transmit and receive/demodulate a sufficient set of the electrical signals defined in the signaling layer of this specification to communicate with conformant Tags, and
- Conform to all local radio regulations.

To conform to this specification, an Interrogator may:

- Implement any subset of the optional commands defined in this specification, and
- Implement any proprietary and/or custom commands in conformance with this specification.

To conform to this specification, an Interrogator shall not:

- Implement any command that conflicts with this specification, or
- Require using an optional, proprietary, or custom command to meet the requirements of this specification.

### **2.2.2 Tags**

To conform to this specification, a Tag shall:

- Meet the requirements of this specification,
- Operate at a frequency of 13,56MHz in the ISM frequency band,
- Implement the mandatory commands defined in this specification,
- Modulate a loadmodulation signal only after receiving the requisite command from an Interrogator, and
- Conform to all local radio regulations.

To conform to this specification, a Tag may:

- Implement any subset of the optional commands defined in this specification, and
- Implement any proprietary and/or custom commands as defined in 2.3.3 and 2.3.4, respectively.

To conform to this specification, a Tag shall not:

- Implement any command that conflicts with this specification,
- Require using an optional, proprietary, or custom command to meet the requirements of this specification, or
- Modulate a loadmodulation signal unless commanded to do so by an Interrogator using the signaling layer defined in this specification.

## **2.3 Command structure and extensibility**

This specification allows four command types: (1) mandatory, (2) optional, (3) proprietary, and (4) custom. Subclause 6.3.4.11 and Table 6.19 define the structure of the command codes used by Interrogators and Tags for each of the four types, as well as the availability of future extensions.

All commands defined by this specification are either mandatory or optional.

Proprietary commands and custom commands are vendor-defined.

### **2.3.1 Mandatory commands**

Conforming Tags shall support all mandatory commands. Conforming Interrogators shall support all mandatory commands.

### **2.3.2 Optional commands**

Conforming Tags may or may not support optional commands. Conforming Interrogators may or may not support optional commands. If a Tag or an Interrogator implements an optional command, the tag shall implement the optional command in the manner specified in this specification.

### **2.3.3 Proprietary commands**

Proprietary commands may be enabled in conformance with this specification, but are not specified herein. All proprietary commands shall be capable of being permanently disabled. Proprietary commands are intended for manufacturing purposes and shall not be used in field-deployed RFID systems.

### 2.3.4 Custom commands

Custom commands may be enabled in conformance with this specification, but are not specified herein. An Interrogator shall issue a custom command only after (1) singulating a Tag, and (2) reading (or having prior knowledge of the Tag manufacturer's identification in the Tag's TID memory. An Interrogator shall use a custom command only in accordance with the specifications of the Tag manufacturer identified in the Tag ID. A custom command shall not solely duplicate the functionality of any mandatory or optional command defined in this specification by a different method.

## 2.4 Reserved for Future Use (RFU)

This specification denotes some Tag memory addresses, Interrogator command codes, and bit fields within some Interrogator commands as being RFU. In general, EPCglobal is reserving these RFU values for use in higher-class specifications. Under some circumstances EPCglobal may permit another standards body or related organization to use one or more of these RFU values for standardization purposes. In these circumstances the permitted body shall keep EPCglobal apprised, in a timely manner, of its use or potential use of these RFU values. Third parties, including but not limited to solution providers and end users, shall not use these RFU values for proprietary purposes.

Bit  $E_n$  of XPC\_W1 (EPC memory location  $211_h$  – see 6.3.4.1.2.5) shall be reserved for use as a protocol functionality indicator. If another standards-setting body authorizes any physical or logical Tag operation that is incompatible with this EPCglobal specification then that standards-setting body shall employ bit  $E_n$  as follows:

- If bit  $E_n$  of XPC\_W1 contains a logical 0 then the application is referred to as an GS1 EPCglobal™ Application and a Tag shall follow the physical and logical requirements specified by this EPC™ Class-1 HF RFID AI Protocol. If bit  $E_n$  contains a logical 1 then the application is referred to as a non-EPCglobal™ Application and a Tag may follow the physical and logical requirements specified by a non-EPC™ Protocol. The default value for bit  $E_n$  shall be 0.

### 3 Normative references

The following referenced documents are indispensable for the application of this document. For dated references, only the edition cited applies. For undated references, the latest edition of the referenced document (including any amendments) applies.

ISO/IEC 3309: *Information technology – Telecommunications and information exchange between systems – High-level data link control (HDLC) procedures – Frame structure*

ISO/IEC 7816-6, *Identification cards — Integrated circuit cards — Part 6: Inter-industry data elements for interchange*

ISO/IEC 15693 (all parts), *Identification cards — Contactless integrated circuit(s) cards — Vicinity cards*

ISO/IEC 15961: *Information technology, Automatic identification and data capture – Radio frequency identification (RFID) for item management – Data protocol: application interface*

ISO/IEC 15962: *Information technology, Automatic identification and data capture techniques – Radio frequency identification (RFID) for item management – Data protocol: data encoding rules and logical memory functions*

ISO/IEC 15963, *Information technology — Radio frequency identification for item management — Unique identification for RF tags*

ISO/IEC 18000-1, *Information technology — Radio frequency identification for item management — Reference architecture and definition of parameters to be standardized*

ISO/IEC 18000-3, *Information technology — Radio frequency identification for item management — Part 3: Parameters for air interface communications at 13,56MHz*

ISO/IEC 18000-6, *Information technology — Radio frequency identification for item management — Part 6: Parameters for air interface communications at 860MHz to 960MHz*

EPC™ Radio-Frequency Identity Protocols - Class-1 Generation-2 UHF RFID Protocol for Communications at 860MHz – 960MHz

ISO/IEC TR 18047-3, *Information technology — Radio frequency identification device conformance test methods — Part 3: Test methods for air interface communications at 13,56MHz*

ISO/IEC 19762 (all parts), *Information technology — Automatic identification and data capture techniques — Harmonized vocabulary*

ETSI EN 300 330, *Electromagnetic compatibility and Radio spectrum Matters (ERM); Short Range Devices (SRD); Technical characteristics and test methods for radio equipment in the frequency range 9kHz to 30MHz*

U.S. Code of Federal Regulations (CFR), Title 47, Chapter I, Part 15: *Radio-frequency devices, U.S. Federal Communications Commission*

EPCglobal Tag Data Standards

EPCglobal™ FMCG RFID Physical Operating Requirements Document

EPCglobal™ ILT JRG Protocol Requirements Document

## **4 Terms and definitions**

For the purposes of this document, the terms and definitions given in ISO/IEC 19762 (all parts) and the following apply.

### **4.1 cover-coding**

The method by which an interrogator obscures information that it is transmitting to a tag.

### **4.2 cover-coded text**

Information that is cover-coded.

### **4.3 full-duplex communications**

The communications channel that carries data in both directions at once. Compare with half-duplex communications.

### **4.4 half-duplex communications**

The communications channel that carries data in one direction at a time rather than in both directions at once. Compare with full-duplex communications.

### **4.5 handle**

The 16-bit tag-authentication number.

### **4.6 loadmodulation**

Loadmodulated Tag reply is transmitted to the interrogator by changing the magnetic load of the tag on the RF field (see also 6.3.3.1.3).

### **4.7 packetCRC**

A 16-bit cyclic-redundancy check (CRC) code that a Tag with nonzero-valued XI dynamically calculates over its PC, XPC, and EPC and provides by loadmodulation during inventory. (see StoredCRC).

### **4.8 packetPC**

Protocol-control information that a Tag with nonzero-valued XI dynamically calculates and provides by loadmodulation during inventory. (see StoredPC).

#### **4.9 permalock**

A memory location whose lock status is unchangeable (*i.e.* the memory location is permanently locked or permanently unlocked) except by recommissioning the Tag is said to be permalocked.

#### **4.10 Phase Jitter Modulation (PJM)**

modulation technique that transmits data as very small phase changes in the powering field

#### **4.11 physical layer**

The data coding and modulation waveforms used in interrogator-to-tag and tag-to-interrogator signalling.

#### **4.12 pivot**

The average length of an R=>T data symbol:  $\text{pivot} = (\text{data-0 symbol length} + \text{data-1 symbol length}) / 2$ .  
(See also "R=>T" in section 5.1).

#### **4.13 plaintext**

Information that is not cover-coded.

#### **4.14 protocol**

The physical layer and tag-identification layer specification.

#### **4.15 recommissioning**

A significant altering of a Tag's functionality and/or memory contents, as commanded by an Interrogator, typically in response to a change in the Tag's usage model or purpose.

#### **4.16 slot**

The point in an inventory round at which a tag may respond. Slot is the value output by a tag slot counter; tags reply when their slot (*i.e.* the value in their slot counter) is zero. See also Q in section 5.1.

#### **4.17 storedCRC**

A 16-bit cyclic-redundancy check (CRC) code that a Tag calculates over its StoredPC and EPC and stores in EPC memory at power-up, and may provide by loadmodulation during inventory.  
(see PacketCRC).

**4.18**  
**storedPC**

Protocol-control information stored in EPC memory that a Tag with zero-valued XI provides by loadmodulation during inventory (see PacketPC).

**4.19**  
**Tari**

The reference time interval for a data-0 in interrogator-to-tag signalling.

**4.20**  
**word**

16-bits.

## 5 Symbols (and abbreviated terms)

For the purposes of this document, the symbols and abbreviated terms given in ISO/IEC 18000-1, ISO/IEC 19762 and the following apply.

### 5.1 Symbols

<b>DR</b>	ASK Method: divide ratio  PJM Method: Bit 0 of the reply channel selection
$f_c$	Carrier frequency
<b>M(ASK)</b>	Tag reply modulation type
$M_h$	RF signal envelope ripple (overshoot)
$M_l$	RF signal envelope ripple (undershoot)
<b>M(PJM)</b>	Bit 1 and bit 2 of the reply channel selection
$M_s$	RF signal level when OFF
<b>Q</b>	Slot-count parameter (parameter that an interrogator uses to regulate the probability of tag response)
<b>R</b>	<b>Interrogator (also sometimes called Reader)</b>
<b>R=&gt;T</b>	Interrogator-to-tag
<b>RTcal</b>	Interrogator-to-tag calibration symbol
<b>T</b>	<b>Tag</b>
$T_1$	Time from interrogator transmission to tag response
$T_2$	Time from tag response to interrogator transmission
$T_3$	Time an interrogator waits, after $T_1$ , before it issues another command
$T_4$	Minimum time between interrogator commands
$T_f$ or $T_{f,10-90\%}$	RF signal envelope fall time
$T_{pri}$	Link pulse-repetition interval ( $T_{pri}=1/LF$ )
$T_r$ or $T_{r,10-90\%}$	RF signal envelope rise time
<b>TRExt</b>	ASK Method: chooses whether the T=R preamble is prefixed with a pilot tone  PJM Method: Bit 3 of the reply channel selection
$T_s$	RF signal settling time
<b>T=&gt;R</b>	Tag-to-interrogator
<b>TRcal</b>	Tag-to-interrogator calibration symbol

<b>X<sub>fp</sub></b>	Floating-point value
<b>xxxx<sub>2</sub></b>	Binary notation
<b>xxxx<sub>h</sub></b>	Hexadecimal notation

## 5.2 Abbreviated terms

**AM** Amplitude modulation

**CRC** Cyclic Redundancy Check

NOTE: This specification uses two CRC algorithms: CRC-5 (5-bit CRC) and CRC-16(16-bit CRC) and three different logical CRC-16s: StoredCRC, PacketCRC and CRC-16c.

For the EPC bank word 0 or ACK the following two logical CRC-16s are used:

- StoredCRC = CRC-16 calculated at startup and mapped to EPC word 0
- PacketCRC = CRC-16 calculated over the response data of the tag in case of the ACK command

For all other cases and commands the following logical CRC-16 is used:

- CRC-16c = CRC-16 calculated over the response data of the tag

**CW** Continuous wave

**dBch** Decibels referenced to the integrated power in the reference channel

**DSB** Double sideband

**DSB-ASK** Double-sideband amplitude-shift keying

**DR** Divide ratio

**EPC** Electronic product code

**FCC** Federal Communications Commission

**FT** Frequency tolerance

**LF** Link frequency ( $LF=1/T_{pri}$ )

**MFM** Modified Frequency Modulation

**N/A** Not Applicable

**NSI** Numbering system identifier

**PIE** Pulse-interval encoding

**PJM** Phase Jitter Modulation

**ppm** Parts-per-million

**PC** Protocol Control

**RF** Radio frequency

**RFU** Reserved for future use

**RN16** 16-bit random or pseudo-random number

**RNG** Random or pseudo-random number generator

<b>TDM</b>	Time-division multiplexing or time-division multiplexed (as appropriate)
<b>TID</b>	Tag-identification or tag identifier, depending on context
<b>UMI</b>	User-memory indicator
<b>XI</b>	XPC_W1 indicator
<b>XPC</b>	Extended protocol control
<b>XPC_W1</b>	<b>XPC word 1</b>
<b>XPC_W2</b>	<b>XPC word 2</b>
<b>XEB</b>	<b>XPC extension bit</b>

### 5.3 Notation

This specification uses the following notational conventions:

- States and flags are denoted in bold. Example: **ready**.
- Commands are denoted in italics. Variables are also denoted in italics. Where there might be confusion between commands and variables, this specification shall make an explicit statement. Example: *BeginRound*.
- Procedures are shown as ***italics underline***
- Command parameters are underlined. Example: Pointer.
- For logical negation, labels are preceded by '~'. Example: If flag is true, then ~flag is false.
- The symbol, R=>T, refers to commands or signalling from an interrogator to a tag (reader-to-tag).
- The symbol, T=>R, refers to commands or signalling from a tag to an interrogator (tag-to-reader).

## 6 Requirements: Physical layer, collision management system and protocol values for 13,56MHz systems

Annex L provides a summary of the optional Tag features

### 6.1 Protocol overview

#### 6.1.1 Physical layer

An Interrogator sends information to one or more Tags by modulating an RF carrier using double-sideband amplitude shift keying (ASK) using a pulse-interval encoding (PIE) format. Tags receive their operating energy from this same modulated RF carrier.

An Interrogator receives information from a Tag by transmitting an unmodulated RF carrier and listening for a loadmodulated reply. Tags communicate information by loadmodulating the amplitude and/or phase of the RF carrier.

The encoding format, selected in response to Interrogator commands, is either Manchester-, or Miller-modulated subcarrier or FMO baseband. The communications link between Interrogators and Tags is half-duplex, meaning that Tags shall not be required to demodulate Interrogator commands while loadmodulating. A Tag shall not respond to a mandatory or optional command using full-duplex communications.

Interrogators as well as Tags may optionally provide a physical layer with phase jitter modulation (PJM) using MFM (modified frequency modulation) encoding for Interrogator to Tag transmission and a MFM encoded, binary phase shift keying (BPSK) modulated subcarrier for Tag to Interrogator transmission.

#### 6.1.2 Tag-identification layer

An Interrogator manages Tag populations using three basic operations:

1. **Select.** The operation of choosing a Tag population for inventory and access. A *Select* command may be applied successively to select a particular Tag population based on user-specified criteria. This operation is analogous to selecting records from a database.
2. **Inventory.** The operation of identifying Tags. An Interrogator begins an inventory round by transmitting a *BeginRound* command in one of two sessions. One or more Tags may reply. The Interrogator detects a single Tag reply and requests the PC/XPC word(s), EPC, and if required the packet CRC-16 from the Tag. Inventory comprises multiple commands. An inventory round operates in one and only one session at a time.
3. **Access.** The operation of communicating with (reading from and/or writing to) a Tag. An individual Tag must be uniquely identified prior to access. Access comprises multiple commands, some of which may employ one-time-pad based cover-coding of the R=>T link.

### 6.2 General

#### 6.2.1 EPC™ Class-1 HF RFID AI interoperability

EPC™ Class-1 HF RFID AI operates at 13,56MHz. EPC™ Class-1 HF RFID AI is not interoperable with ISO/IEC 18000-3 Mode 1 and Mode 2, but all three are expected to operate in the same environment.

### 6.3 EPC™ Class-1 HF RFID AI: Physical layer, collision management system and protocols

#### 6.3.1 Normative aspects: physical and media access control (MAC) parameters

Table 6.1 and 0 provide an overview of parameters for R=>T and T=>R communications according to this specification; for detailed requirements refer to the referenced Sub-clause. For those parameters that do not apply, or are not used in this specification, the notation "N/A" shall indicate that the parameter is "Not Applicable". The table is as specified in ISO/IEC 18000-1.

**Table 6.1. Interrogator to tag (R=>T) communications**

Ref.	Parameter Name	Description	Sub-clause
Int:1	Operating Frequency Range	Fixed single frequency see Int:1a	6.3.3.1
Int:1a	Default Operating Frequency	13,56MHz	6.3.3.1
Int:1b	Operating Channels (spread-spectrum systems)	N/A	N/A
Int:1c	Operating Frequency Accuracy	In accordance with local regulations	6.3.3.1.2.1
Int:1d	Frequency Hop Rate (frequency-hopping [FHSS] systems)	N/A	N/A
Int:1e	Frequency Hop Sequence (frequency-hopping [FHSS] systems)	N/A	N/A
Int:2	Occupied Channel Bandwidth	N/A	N/A
Int:2a	Minimum Receiver Bandwidth	N/A	N/A
Int:3	Interrogator Transmit Maximum Magnetic Field Strength	In accordance with local regulations	N/A
Int:4	Interrogator Transmit Spurious Emissions	In accordance with local regulations	6.3.3.1.2.5
Int:4a	Interrogator Transmit Spurious Emissions, In-Band (spread-spectrum systems)	In accordance with local regulations	6.3.3.1.2.5
Int:4b	Interrogator Transmit Spurious Emissions, Out-of-Band	In accordance with local regulations	6.3.3.1.2.5
Int:5	Interrogator Transmitter Spectrum Mask	In accordance with local regulations	N/A
Int:6	Timing	As specified	6.3.3.1.3
Int:6a	Transmit-to-Receive Turn-Around Time	Less than 73.1 $\mu$ s maximum ( $1024/f_c - 32/f_c$ )	6.3.3.1.6, Figure 6.24, and Table 6.14
Int:6b	Receive-to-Transmit Turn-Around Time	When communicating with a tag, 151 $\mu$ s minimum; 1208 $\mu$ s maximum when tag is in <b>reply &amp; acknowledged</b> states; no maximum limit otherwise	6.3.3.1.2.5, Figure 6.24, and Table 6.14
Int:6c	Dwell Time or Interrogator Transmit Power-On Ramp	1500 $\mu$ s, maximum settling time	6.3.3.1.2.6, Table 6.7
Int:6d	Decay Time or Interrogator Transmit Power-Down Ramp	500 $\mu$ s, maximum	6.3.3.1.2.7, Table 6.7
Int:7	Modulation	ASK Method: min. 10%, max. 30% Optional PJM Method: min. deviation +/- 3,0deg. max. deviation +/- 6,0deg.	6.3.3.1.2.2
Int:7a	Spreading Sequence (direct-sequence [DSSS] systems)	N/A	N/A
Int:7b	Chip Rate (spread-spectrum systems)	N/A	N/A
Int:7c	Chip Rate Accuracy (spread-spectrum systems)	N/A	N/A
Int:7d	Modulation Index	ASK Method: (A-B)/(A+B) index 10% minimum to 30% maximum	6.3.3.1.2.5, Figure 6.3, Table 6.5
Int:7e	Duty Cycle	As specified	6.3.3.1.2.5
Int:7f	FM Deviation	N/A	N/A
Int:8	Data Coding	ASK Method: PIE Mode: MFM	6.3.3.1.2.3, Figure 6.1 and optionally Figure 6.2
Int:9	Bit Rate	ASK Method: 26,7 kbit/s to 100 kbit/s (assuming equally probable data) Optional PJM Method: 212 kbit/s	6.3.3.1.2.4 and optionally 6.3.3.1.2
Int:9a	Bit Rate Accuracy	+/- 1%, minimum	6.3.3.1.2.3

<b>Ref.</b>	<b>Parameter Name</b>	<b>Description</b>	<b>Sub-clause</b>
Int:10	Interrogator Transmit Modulation Accuracy	As specified	6.3.3.1.2.3
Int:11	Preamble	Required	6.3.3.1.2.8
Int:11a	Preamble Length	As specified	6.3.3.1.2.8
Int:11b	Preamble Waveform(s)	As specified	Figure 6.6 and optionally Figure 6.7
Int:11c	Bit Sync Sequence	None	N/A
Int:11d	Frame Sync Sequence	Required	6.3.3.1.2.8
Int:12	Scrambling (spread-spectrum systems)	N/A	N/A
Int:13	Bit Transmission Order	MSB is transmitted first	6.3.3.1.4
Int:14	Wake-up process	As specified	6.3.3.1.2.6
Int:15	Polarization	N/A	N/A

**Table 6.2. Tag-to-interrogator (T=>R) communications**

Ref.	Parameter Name	Description	Sub-clause
Tag:1	Operating Frequency Range	13,56MHz +/- tag sub-carrier frequencies as specified in Tag:7e	6.3.3.1.3, Table 6.9 and Table 6.10
Tag:1a	Default Operating Frequency	Fixed carrier frequency as specified in Int:1a.	6.3.3.1.3
Tag:1b	Operating Channels (spread-spectrum systems)	N/A	N/A
Tag:1c	Operating Frequency Accuracy	As specified	6.3.3.1.2.1
Tag:1d	Frequency Hop Rate (frequency-hopping [FHSS] systems)	N/A	N/A
Tag:1e	Frequency Hop Sequence tags respond to interrogator signals that satisfy (frequency-hopping [FHSS] systems)	N/A	N/A
Tag:2	Occupied Channel Bandwidth	In accordance with local regulations	N/A
Tag:3	Transmit Maximum Magnetic Field Strength	In accordance with local regulations	N/A
Tag:4	Transmit Spurious Emissions	In accordance with local regulations	N/A
Tag:4a	Transmit Spurious Emissions, In-Band (spread spectrum systems)	In accordance with local regulations	N/A
Tag:4b	Transmit Spurious Emissions, Out-of Band	In accordance with local regulations	N/A
Tag:5	Transmit Spectrum Mask	In accordance with local regulations	N/A
Tag:6	Timing	See below	6.3.3.1.2.5,
Tag:6a	Transmit-to-Receive Turn-Around Time	Less than 151 $\mu$ s;	6.3.3.1.2.5, Figure 6.3, and Table 6.14
Tag:6b	Receive-to-Transmit Turn-Around Time	75,5 $\mu$ s nominal ( $1024/f_c$ )	6.3.3.1.2.5, Figure 6.3, and Table 6.14
Tag:6c	Dwell Time or Transmit Power-On Ramp	Ready to receive commands in less than 1500 $\mu$ s	6.3.3.1.2.6
Tag:6d	Decay Time or Transmit Power-Down Ramp	N/A	N/A
Tag:7	Modulation	By Load Modulation	6.3.3.1.3.1
Tag:7a	Spreading Sequence (direct sequence [DSSS] systems)	N/A	N/A
Tag:7b	Chip Rate (spread spectrum systems)	N/A	N/A
Tag:7c	Chip Rate Accuracy (spread spectrum systems)	N/A	N/A
Tag:7d	On-Off Ratio	Tag dependent; not specified by this document	N/A

Ref.	Parameter Name	Description	Sub-clause
Tag:7e	Sub-carrier Frequency	ASK Method: 424kHz ( $f_c/32$ ) or 847kHz ( $f_c/16$ ) (selected by the interrogator) Optional PJM Method: Channel A 969kHz (divide by 14) Channel B 1233kHz (divide by 11) Channel C 1507kHz (divide by 9) Channel D 1808kHz (divide by 7,5) Channel E 2086kHz (divide by 6,5) Channel F 2465kHz (divide by 5,5) Channel G 2712kHz (divide by 5) Channel H 3013kHz (divide by 4,5) for tag replies	6.3.3.1.3.9, Table 6.9 and optionally 6.3.3.1.3.11, and Table 6.10
Tag:7f	Sub-carrier Frequency Accuracy	Carrier frequency synchronized	6.3.3.1.3.9, Table 6.9
Tag:7g	Sub-carrier Modulation	ASK Method: Manchester or Miller, see Tag: 9 and Table 6.8 Optional PJM Method: BPSK at 106 kbit/s	6.3.3.1.3.5 and optionally 6.3.3.1.3.9
Tag:7h	Duty Cycle	FM0: 50%, nominal Sub-carrier: 50%, nominal	6.3.3.1.3.5
Tag:7l	FM Deviation	N/A	N/A
Tag:8	Data Coding	ASK Method: Baseband FM0 or Manchester or Miller-modulated sub-carrier (selected by the interrogator) Optional PJM Mode: MFM for replies	6.3.3.1.3.2
Tag:9	Bit Rate	ASK Method: FM0, 424kbit/s or 848kbit/s; Sub-carrier modulated, 53kbit/s to 212kbit/s Optional PJM Method: 106 kbit/s on each reply channel	6.3.3.1.3.9, Table 6.9 and optionally 6.3.3.1.3.11
Tag:9a	Bit Rate Accuracy	Same as Sub-carrier Frequency Accuracy; see Tag:7f	N/A
Tag:10	Tag Transmit Modulation Accuracy (frequency-hopping [FHSS] systems)	N/A	N/A
Tag:11	Preamble	Required	6.3.3.1.3.2
Tag:11a	Preamble Length	As specified	6.3.3.1.3.2
Tag:11b	Preamble Waveform	As specified	6.3.3.1.3.2, Figure 6.11, Figure 6.16 and optionally Figure 6.23
Tag:11c	Bit-Sync Sequence	None	N/A
Tag:11d	Frame-Sync Sequence	ASK Method: None Optional PJM Method: as specified for replies	N/A and optionally 6.3.3.1.3.10
Tag:12	Scrambling (spread-spectrum systems)	N/A	N/A
Tag:13	Bit Transmission Order	MSB is transmitted first	6.3.3.1.4
Tag:14	Reserved	Purposely left blank	N/A
Tag:15	Polarization	N/A	N/A
Tag:16	Minimum tag Receiver Bandwidth	N/A	N/A

### 6.3.2 Logical – Operating procedure parameters

Table 6.3 and Table 6.4 identify and describe parameters used by an interrogator during the selection, inventory, and access of tags according to this specification. For those parameters that do not apply to or are not used in this specification, the notation “N/A” shall indicate that the parameter is “Not Applicable”.

**Table 6.3. Tag inventory and access parameters**

Ref.	Parameter Name	Description	Sub-clause
P:1	Who talks first	Interrogator	0
P:2	Tag addressing capability	As specified	6.3.4.1
P:3	Tag EPC	Contained in tag memory	6.3.4.1.2
P:3a	EPC Length	As specified	6.3.4.1.2
P:3b	EPC Format	NSI < 100 <sub>h</sub> : As specified in EPCglobal Tag Data Standards NSI ≥ 100 <sub>h</sub> : As specified in ISO/IEC 15961	6.3.4.1.2.2, 6.3.4.1.2.3, and 6.3.4.1.2.4
P:4	Read size	Multiples of 16-bits	6.3.4.11.3.2, Table 6.34
P:5	Write Size	Multiples of 16-bits	6.3.4.11.3.3, Table 6.37, Figure 6.30, Table 6.48
P:6	Read Transaction Time	Varied with R=>T and T=>R link rate and number of bits being read	6.3.4.11.3.2
P:7	Write Transaction Time	20ms (maximum) after end of <i>Write</i> command	6.3.4.11.3.3, Figure 6.33, Figure 6.30
P:8	Error detection	Interrogator-to-tag: CRC-5 or CRC-16 as defined in the relative clauses Tag-to-interrogator: CRC-5 or CRC-16 as defined in the relative clauses	6.3.4.10 and its subsections
P:9	Error correction	None	N/A
P:10	Memory size	Tag dependent	N/A
P:11	Command structure and extensibility	As specified	Table 6.19

**Table 6.4. Collision management parameters**

Ref.	Parameter Name	Description	Sub-clause
A:1	Type (Probabilistic or Deterministic)	Probabilistic	6.3.4.6
A:2	Linearity	Linear up to 2 <sup>15</sup> tags in the interrogator's RF field	6.3.4.8
A:3	Tag inventory capacity	>2 <sup>15</sup> tags	6.3.4.8

### 6.3.3 Description of operating procedure

The operating procedure defines the physical and logical requirements for an interrogator-talks-first, random slotted anti-collision, RFID system operating at 13,56MHz frequency.

This specification details two methods of operation:

- ASK Method – (Mandatory).  
The interrogator shall communicate with one or more tags using ASK modulated PIE signalling ASK Method.

A tag shall reply to interrogator ASK commands using the tag to interrogator link modulation specified in clause 6.3.3.1.3.11 Table 6.9 and Table 6.10. The tag shall not change the modulation format and data rate.

- **PJM Method – (Optional).**

The interrogator may optionally communicate with one or more tags using PJM-modulated, MFM-encoded signalling PJM Method. Tags that support PJM Method shall reply to interrogator PJM Method commands using the tag to interrogator link modulation specified in clause 6.3.3.1.3.11 and Table 6.11. Tags that do not support PJM Method shall not respond. The tag shall not change the modulation format and data rate.

Both methods use a common memory structure and protocol engine with a shared logical command set.

*Note: Reference to specific ASK or PJM functions or commands in this specification are referenced "ASK Method:" or "PJM Method:" as appropriate.*

### **6.3.3.1 Signalling**

The signalling interface between an interrogator and a tag may be viewed as the physical layer in a layered network communication system. This interface defines frequencies, modulation, data coding, RF envelope, data rates, and other parameters required for RF communications.

#### **6.3.3.1.1 Operational frequencies**

Tags shall be capable of receiving power from and communicating with interrogators at the frequency of 13,56 MHz.

#### **6.3.3.1.2 Interrogator-to-tag (R=>T) communications**

**ASK Method:** An interrogator shall communicate with one or more tags by modulating an RF carrier using ASK with PIE encoding. Interrogators shall use a fixed modulation format and data rate for the duration of an inventory round where “inventory round” is defined in 6.3.4.8.

**PJM Method:** Interrogators shall communicate to one or more tags by modulating the RF carrier using PJM with MFM encoding at a fixed data rate of 212 kbit/s.

##### **6.3.3.1.2.1 Interrogator frequency accuracy**

Interrogator frequency accuracy shall comply with local radio regulations.

##### **6.3.3.1.2.2 Modulation**

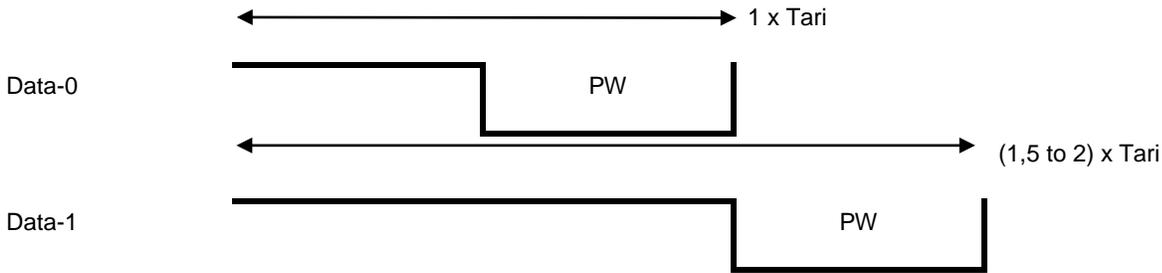
**ASK Method:** All interrogators shall communicate using ASK, detailed in Annex H.

**PJM Method:** Interrogators may support PJM as detailed in Annex G.

##### **6.3.3.1.2.3 Data encoding**

**ASK Method:** The R=>T link shall use PIE encoding, shown in Figure 6.1. Tari is the reference time interval for interrogator-to-tag signalling, and is the duration of a data-0. High values represent transmitted CW; low values represent attenuated CW. The tolerance on all parameters shall be +/-1%, except as otherwise specified..

Pulse modulation depth, rise time, fall time, and PW shall be as specified in Table 6.5, and shall be the same for a data-0 and a data-1. Interrogators shall use a fixed modulation depth, rise time, fall time, PW, and Tari for the duration of an inventory round. The RF envelope shall be as specified in Figure 6.3.

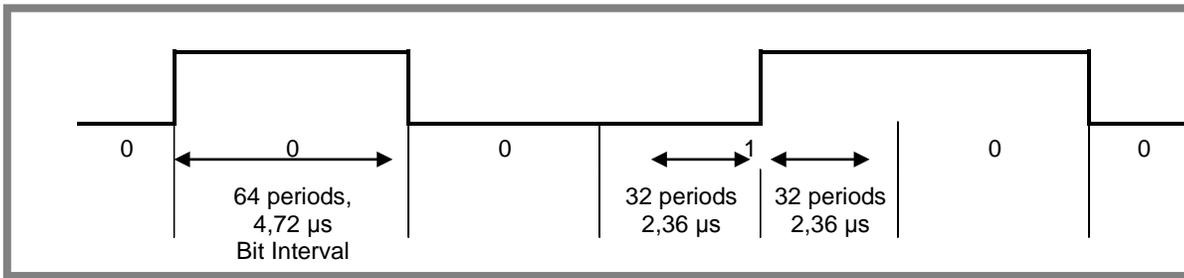


**Figure 6.1 ASK Method: PIE symbols**

PJM Method: The R=>T link shall use PJM with MFM encoding at 212 kbit/s. The period of a bit interval used for encoding a command is 4,72 us (64 periods of the 13.56 MHz carrier) The rate of phase change is specified in Figure 6.4. Interrogators shall use a fixed phase change value for the duration of an inventory round.

The bit value is defined by a change in state. Bits are encoded using MFM encoding rules. These encoding rules are defined as follows:

- A data-1 is defined by a state change at the middle of a bit interval.
- A data-0 is defined by a state change at the beginning of a bit interval.
- Where a data-0 immediately follows a data-1 there is no state change.



**Figure 6.2 PJM Method: Command MFM encoding and timing of binary 000100**

An example of command MFM encoding of the command binary string is shown in Figure 6.2. The edges shown represent small (+/- 3deg for example) phase changes. Typically the the edges shown in Figures 6.2 shall be synchronised to the frequency carrier. If not synchronised then these edges will be generated at the Interrogator with a tolerance of +/- one period of the frequency carrier.

#### 6.3.3.1.2.4 ASK Method: Tari values

Interrogators shall communicate using Tari values between 8 μs and 25 μs, inclusive. An interrogator shall use fixed data-0 and data-1 symbol lengths for the duration of an inventory round, where “inventory round” is defined in 6.3.4.8. The choice of Tari value shall be in accordance with local radio regulations.

*Note: Interrogator compliance shall be evaluated using at least one Tari value between 8 μs and 25 μs with at least one value of the parameter  $x=1,5$  Tari and  $x=2,0$  Tari.*

#### 6.3.3.1.2.5 R=>T RF envelope

ASK Method: The R=>T RF envelope shall comply with Figure 6.3 and Table 6.5. The magnetic field strength A is the maximum amplitude of the RF envelope, measured in A/m, Tari is defined in Figure 6.1. The pulse width is measured at the 50% point on the pulse.

# ASK Modulation

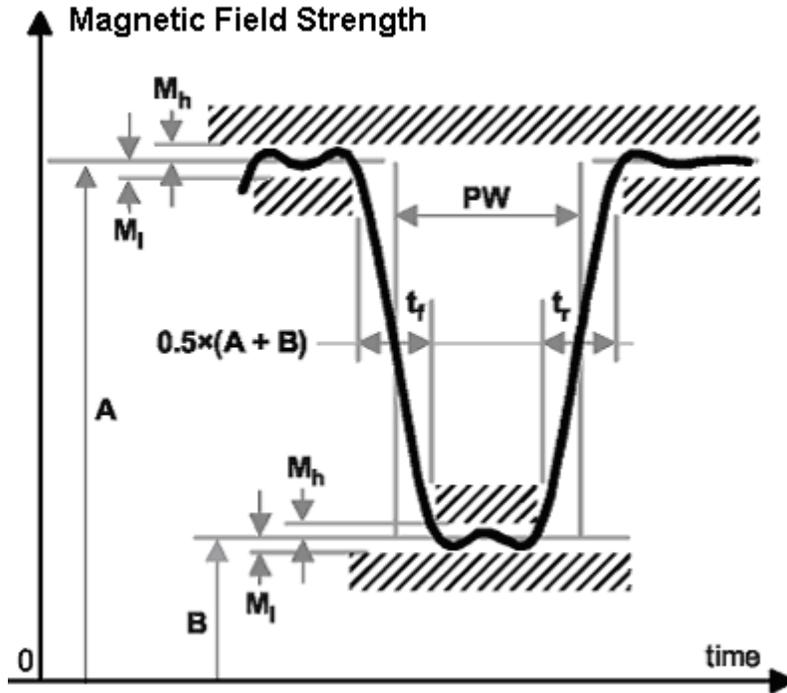
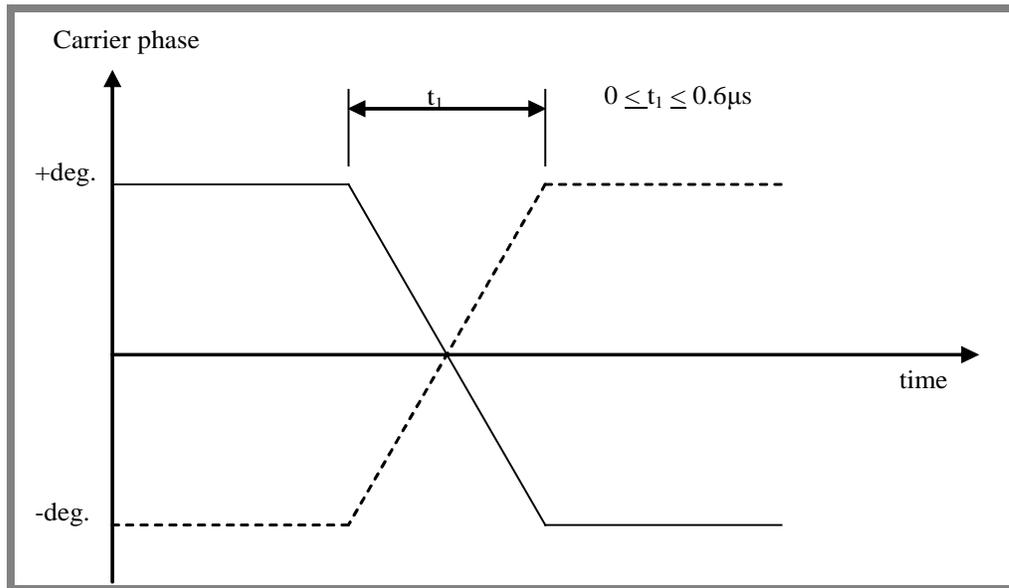


Figure 6.3 ASK Method: Interrogator-to-tag RF envelope

Table 6.5. ASK Method: RF envelope parameters

Tari	Parameter	Symbol	Minimum	Typical	Maximum	Units
8 $\mu$ s to 25 $\mu$ s	Modulation Index	$(A-B)/(A+B)$	10	15	30	%
	RF Envelope Overshoot Ripple	$M_h$	0		0,1 (A-B)	A/m
	RF Envelope Undershoot Ripple	$M_l$	0		0,1 (A-B)	A/m
	RF Envelope Rise Time	$t_{r,10-90\%}$	0		MIN(0,33Tari, 4,5)	$\mu$ s
	RF Envelope Fall Time	$t_{f,10-90\%}$	0		MIN(0,33Tari, 4,5)	$\mu$ s
	RF Pulsewidth	PW	MAX(0,265Tari, 4)		MIN(0,525Tari, 9,44)	$\mu$ s

PJM Method: The R=>T link shall use PJM. PJM Method data is transmitted as very small phase changes in the interrogator RF carrier. The PJM Method phase shift waveform of the interrogator magnetic field is described in Figure 6.4 and Table 6.6.



**Figure 6.4 PJM Method: Command modulation scheme**

**Table 6.6. Command modulation parameters**

Parameter	Symbol	Nominal	Maximum	Units
Phase Shift	+deg., -deg.	3,0	6,0	deg.
Transition Time	$t_1$	0,0	0,6	$\mu s$

Note: the phase shift cannot exceed the final phase value at any time and the transition time ( $t_1$ ) is the time allowed to reach 95% of the full phase change.

#### 6.3.3.1.2.6 Interrogator power-up waveform

The interrogator power-up RF envelope shall comply with Figure 6.5 and Table 6.7. Once the carrier level has risen above the 10% level, the power-up envelope shall rise monotonically until at least the ripple limit  $M_l$ . The RF envelope shall not fall below the 90% point in Figure 6.5 or rise above the 110% point during interval  $T_s$  and after interval  $T_s$  shall not fall below 99% or rise above 101%. Interrogators shall not issue commands before the end of the maximum settling-time interval in Table 6.7 (*i.e.* before  $T_s$ ). Interrogators shall meet the frequency-accuracy requirement specified in 6.3.3.1.2.1 by the end of interval  $T_s$  in Figure 6.5.

Note: When specifying compliance test there must be no moving tag in the field.

#### 6.3.3.1.2.7 Interrogator power-down waveform

The interrogator power-down RF envelope shall comply with Figure 6.5 and Table 6.8. Once the carrier level has fallen below the 99% level, the power-down envelope shall fall monotonically until the power-off limit  $M_s$ . Once powered off, an interrogator shall remain powered off for at least 1ms before powering up again.

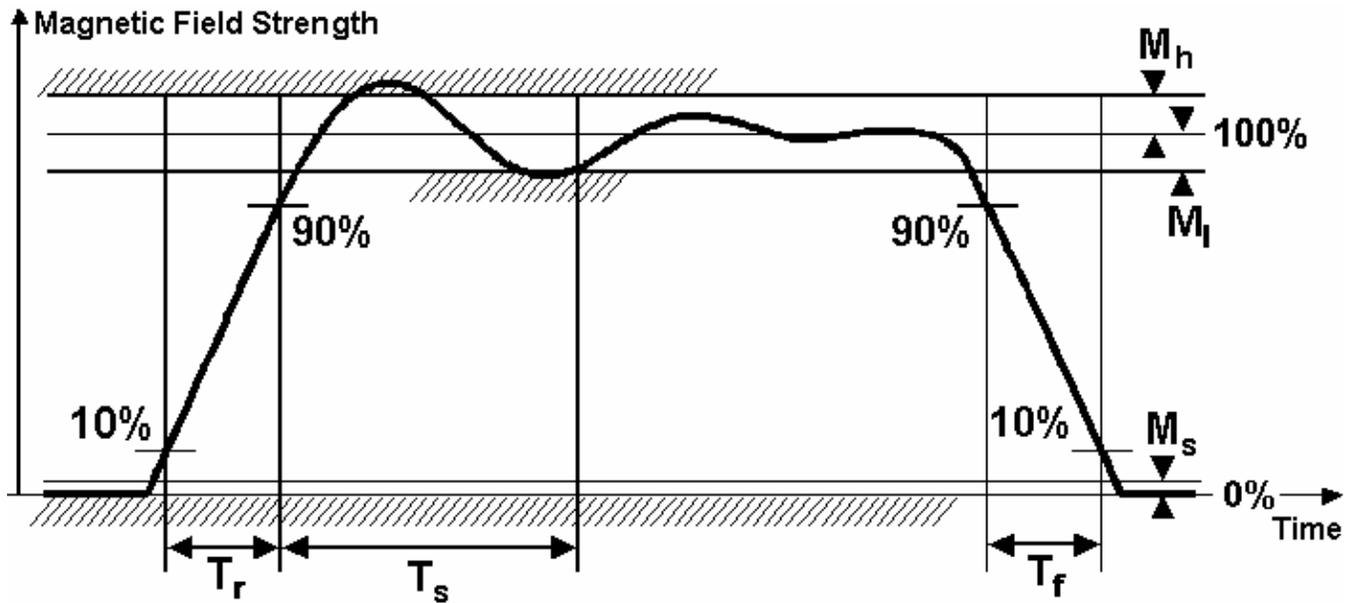


Figure 6.5 Interrogator power-up and power-down RF envelope

Table 6.7. Interrogator power-up waveform parameters

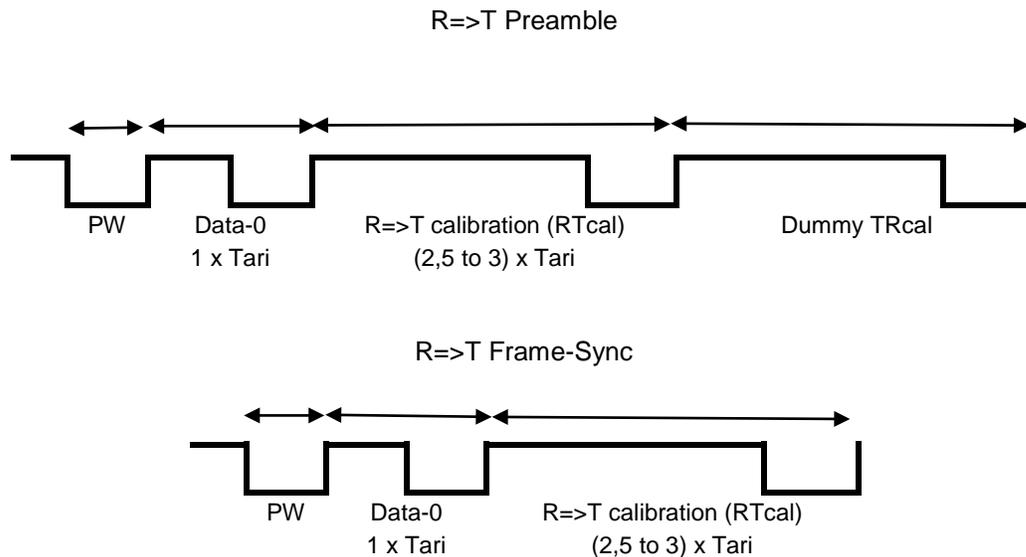
Parameter	Definition	Minimum	Typical	Maximum	Units
$T_r$	Rise time	1		500	$\mu\text{s}$
$T_s$	Settling time			1500	$\mu\text{s}$
$M_s$	Signal level when OFF			0.1	% full scale
$M_i$	Undershoot			10	% full scale
$M_h$	Overshoot			10	% full scale

Table 6.8. Interrogator power-down waveform parameters

Parameter	Definition	Minimum	Typical	Maximum	Units
$T_f$	Fall time	1		500	$\mu\text{s}$
$M_s$	Signal level when OFF			0.1	% full scale

### 6.3.3.1.2.8 R=>T preamble and frame-sync

ASK Method: An interrogator shall begin all R=>T signalling with either a preamble or a frame-sync, both of which are shown in Figure 6.6. A preamble shall precede a *BeginRound* command (see 6.3.4.11.2.1) and denotes the start of an inventory round. All other signalling shall begin with a frame-sync. The tolerance on all parameters specified in units of  $T_{ari}$  shall be  $\pm 1\%$ . PW shall be as specified in Table 6.5. The RF envelope shall be as specified in Figure 6.3. A tag may compare the length of the data-0 with the length of RTcal to validate the preamble.



**Figure 6.6 ASK Method: R=>T preamble and frame-sync**

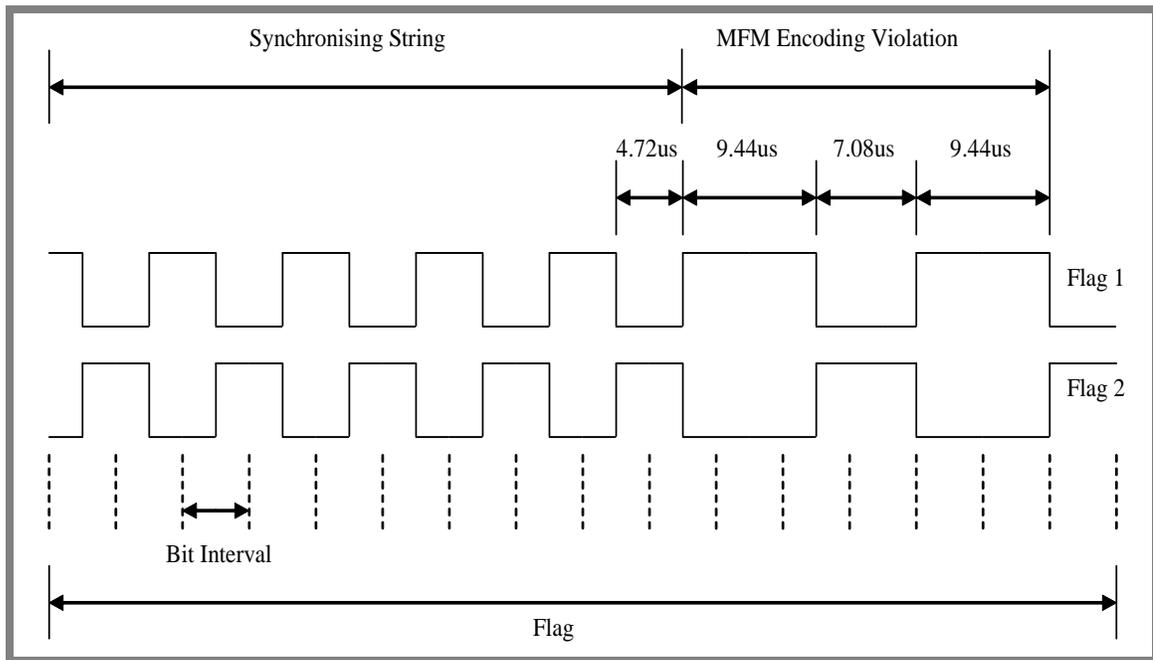
A preamble shall comprise a modulation with the same length as used in the following data-0 symbol, a data-0 symbol, an R=>T calibration (RTcal) symbol, and a dummy T=>R calibration (TRcal) symbol.

- RTcal: An interrogator shall set RTcal equal to the length of a data-0 symbol plus the length of a data-1 symbol ( $RTcal = \text{data-0 symbol length} + \text{data-1 symbol length}$ ). A tag shall measure the length of RTcal and compute  $\text{pivot} = RTcal / 2$ . The tag shall interpret subsequent interrogator symbols shorter than pivot to be data-0s, and subsequent interrogator symbols longer than pivot to be data-1s. The tag shall interpret symbols longer than 4 RTcal to be invalid. Prior to changing RTcal, an interrogator shall transmit CW for a minimum of 8 RTcal.
- TRcal: For the HF protocol, the TRcal is not used to define the T=>R return link rate. The TRcal value shall be between  $1,1 * RTcal$  and  $3 * RTcal$  ( $1,1 * RTcal \leq TRcal \leq 3 * RTcal$ ).
- A frame-sync is identical to a preamble, minus the TRcal symbol. An interrogator, for the duration of an inventory round, shall use the same length RTcal in a frame-sync as it used in the preamble that initiated the round.

PJM Method: The R=>T link shall use PJM with MFM encoding. An interrogator shall begin all R=>T signalling with a MFM flag (or frame sync). The flag defines the start of a command and the bit interval timings. The flag comprises three parts:

- A synchronising string of 9 bits of valid MFM encoded data. "As an example Figure 6.7 shows 9 data bits with the value "1"
- A MFM encoding violation not present in normal data. The violation consists of a sequence of 4 state changes separated by a 2 bit interval, a 1,5 bit interval and 2 bit interval. The edge of the fourth transition defines the beginning of a bit interval.
- A trailing 0 defining the end of a flag.

The synchronising string, encoding violation and a trailing zero for two possible command flags are illustrated in Figure 6.7.



**Figure 6.7 PJM Method: MFM Encoding and timing for two possible command flags**

### 6.3.3.1.3 Tag-to-interrogator (T=>R) communications

The tag communicates with an interrogator using loadmodulation. Loadmodulation is a method of impressing a data signal onto the carrier wave by changing the electrical "load" (impedance) of the tag. A tag is able to transmit data by varying its "load", thus effecting voltage changes at the interrogator antenna. The interrogator can then translate the voltage changes in a binary signal.

Load modulation can be achieved in several ways, e.g. by switching:

- load resistors
- shunt diodes.
- the antenna tuning capacitance value or taps on the antenna coil (adjusting the tag tuning). It is possible to consider the switched tuning capacitance as part of the antenna and therefore the load seen by the tag antenna during the reply is fixed. Likewise the tuning capacitor and chip load presented to a tapped coil are constant.

ASK Method: A tag shall loadmodulate using a fixed modulation format, data encoding, and data rate for the duration of an inventory round, where "inventory round" is defined in 6.3.3.4.8. The interrogator sets the encoding and data rate by means of the BeginRound command that initiates the round. From this BeginRound command, the tag selects the T=>R modulation format.

PJM Method: The reply data rate is 106 kbit/s encoded using MFM and modulated onto the sub-carrier as BPSK. Tags can select from one of eight sub-carrier frequencies between 969 kHz and 3013 kHz. The sub-carrier is derived from division of the interrogator operating frequency. During an inventory round a tag shall loadmodulate using a fixed sub-carrier frequency as described in PJM Method sub clause of 6.3.3.1.3.10. The interrogator sets the reply channel by means of the BeginRound command that initiates the round.

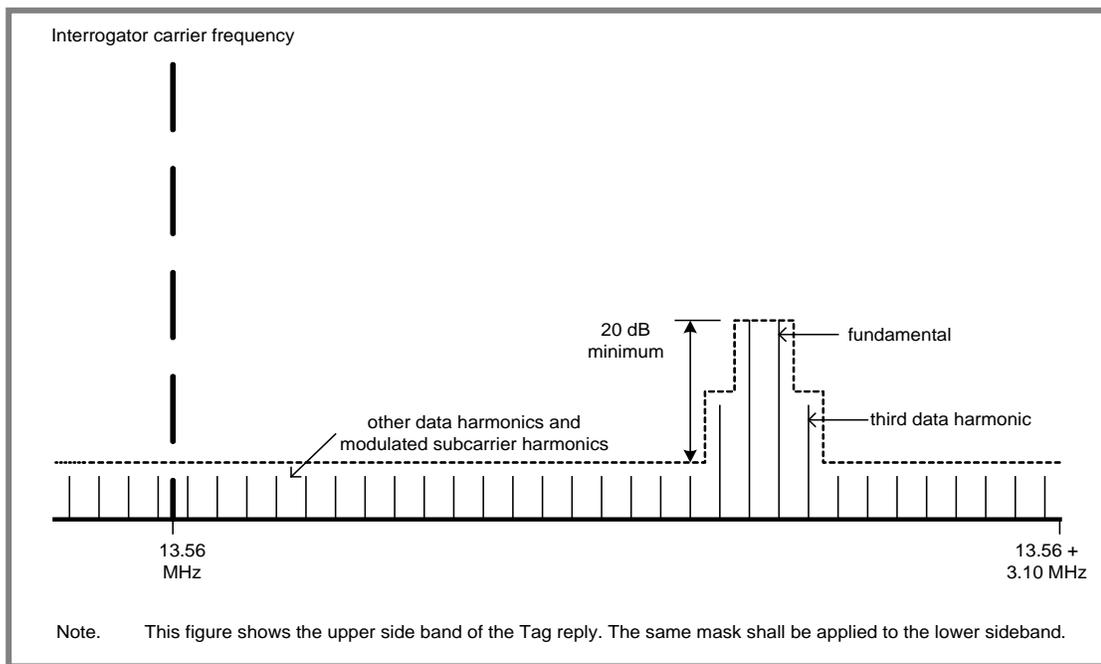
### 6.3.3.1.3.1 Modulation

For subsequent figures, the low values correspond to the tag antenna having a load impedance equal to the load impedance during the CW period immediately before a data transmission, whereas the high values correspond to the tag antenna having a different load impedance to the CW period.

**ASK Method:** The tag reply waveform may be baseband (for FM0 encoding) or modulated sub-carrier (for Miller or Manchester encoding) as specified in clause 6.3.3.1.3.2 to 6.3.3.1.3.10.

**PJM Method:** The tag reply waveform is BPSK modulated sub-carrier.

In order to ensure that tags replying on different channels are simultaneously received, all tag replies shall be band limited to reduce data and sub-carrier harmonic levels as shown in Figure 6.8. Intermediate load modulation impedances can be used for band limiting purposes.



**Figure 6.8 PJM Method: Tag reply mask**

### 6.3.3.1.3.2 Data encoding

**ASK Method:** Tag data shall encode the loadmodulated reply as either FM0 baseband, or as Manchester-encoded sub-carrier, or as Miller-encoded sub-carrier. The interrogator sets the choice of encoding and data rate by parameters in the *BeginRound* command.

**PJM Method:** Tag data shall encode the loadmodulated reply as MFM of one of eight sub-carriers of different frequencies at a data rate of 106 kbit/s. The selection of sub-carrier frequency is either randomly selected by the tag, or directly selected by parameters in the *BeginRound* command.

### 6.3.3.1.3.3 ASK Method: FM0 baseband

Figure 6.9 shows basis functions for generating FM0 (bi-phase space) encoding. FM0 inverts the baseband phase at every symbol boundary; a data-0 has an additional mid-symbol phase inversion.

Figure 6.10 shows generated baseband FM0 symbols and sequences. The duty cycle of a 00 or 11 sequence, measured at the modulator output, shall be 50%. FM0 encoding has memory; consequently, the choice of FM0 sequences in Figure 6.10 depends on prior transmissions. FM0 signalling shall always end with a “dedicated EOF at the end of a transmission, as shown in Figure 6.12.

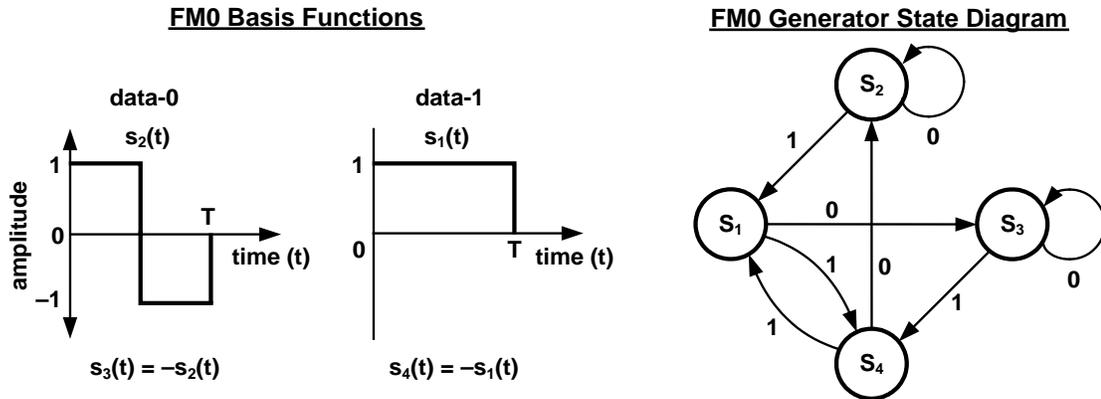


Figure 6.9 ASK Method: FM0 basis functions and generator state diagram

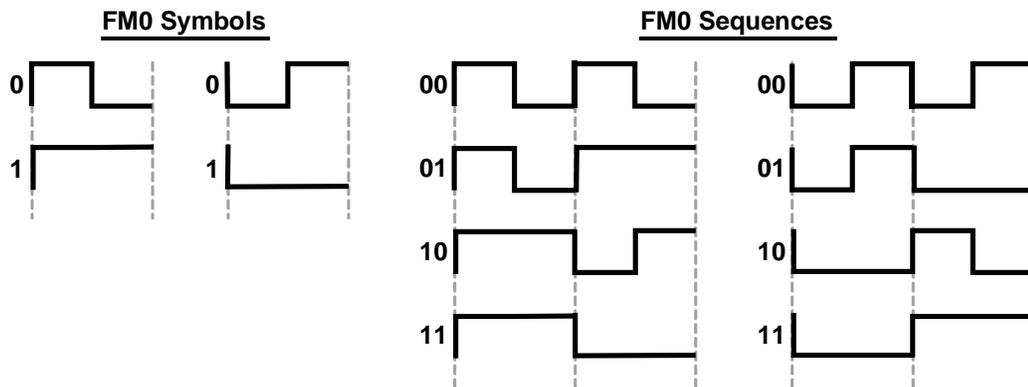


Figure 6.10 ASK Method: FM0 symbols and sequences

#### 6.3.3.1.3.4 ASK Method: FM0 Preamble SOF and EOF

T=>R FM0 signalling shall begin with one of the two preambles shown in Figure 6.11. The preamble comprises four data-0 followed by an FM0-violation of one bit duration, and ending with one data-0. The choice depends on the value of the TR<sub>ext</sub> bit specified in the *BeginRound* command that initiated the inventory round. In Figure 6.11 the pilot tone for TR<sub>ext</sub>=1 consists of additional 12 leading 0s. All tag answers are finalized by an EOF shown in Figure 6.12.

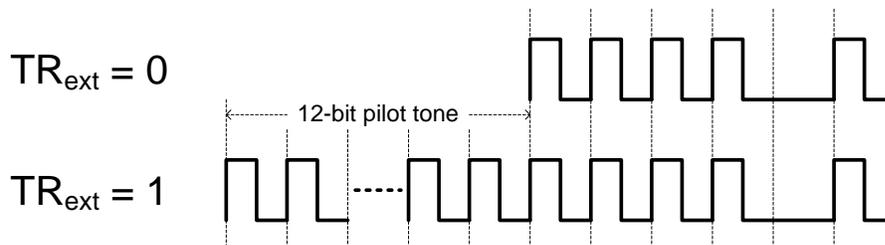
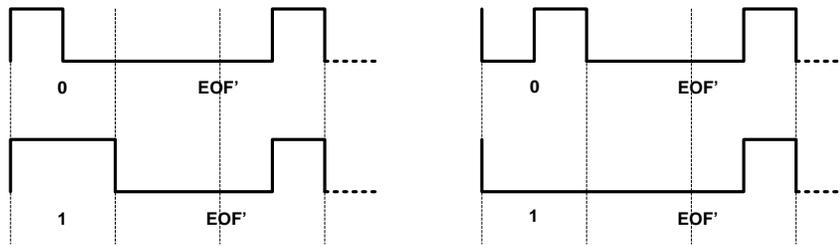


Figure 6.11 ASK Method: FM0 Preamble SOF



**Figure 6.12 ASK Method: Terminating FM0 transmissions EOF**

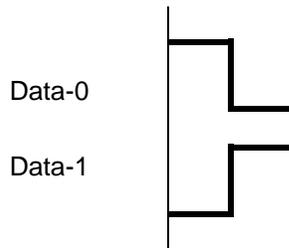
**6.3.3.1.3.5 ASK Method: Manchester-modulated sub-carrier**

Figure 6.13 shows basis functions for generating Manchester encoding.

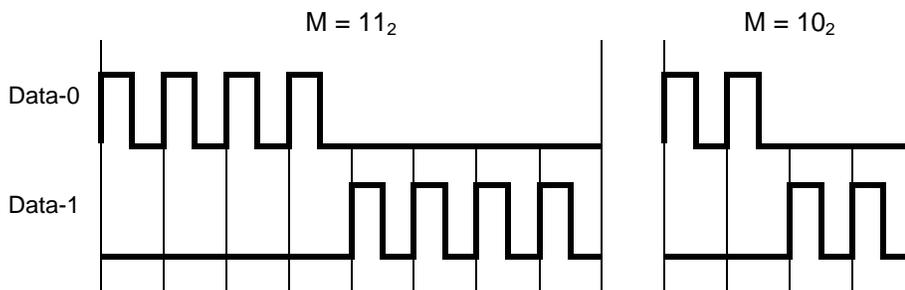
Manchester encoding uses a high to low transition for data-0 and a low to high transition for data-1.

Manchester-modulated sub-carrier provides sub-carrier pulses followed by un-modulated time to encode data-0. Data-1 is encoded by un-modulated time followed by sub-carrier pulses.

Figure 6.14 shows Manchester-modulated sub-carrier sequences; the Manchester sequence shall contain exactly four, or eight cycles per bit, depending on the M value specified in the *BeginRound* command that initiated the inventory round (see Table 6.9). The two possible values of the sub-carrier frequency ( $f_c/32$  and  $f_c/16$ ) are also defined in the *BeginRound* command by the DR bit.



**Figure 6.13 ASK Method: Manchester basis functions**

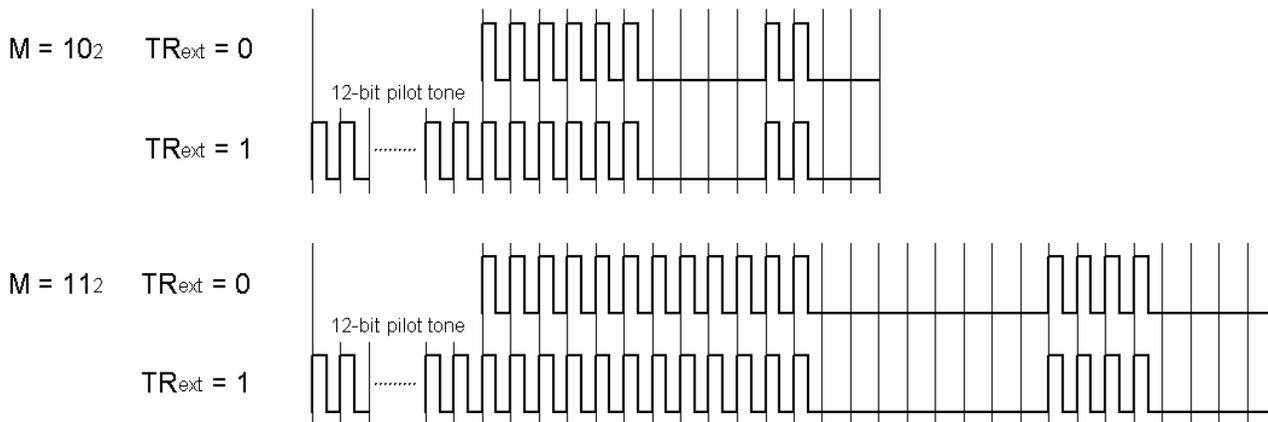


**Figure 6.14 ASK Method: Manchester sub-carrier sequences**

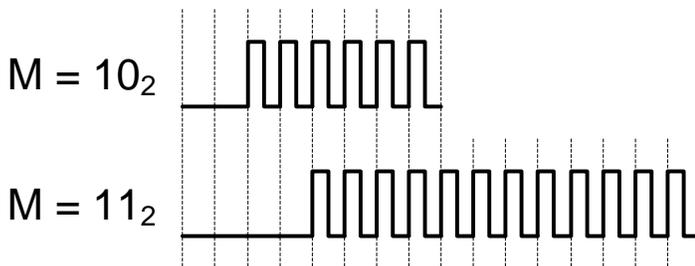
**6.3.3.1.3.6 ASK Method: Manchester sub-carrier preamble SOF and EOF**

T=>R sub-carrier signalling shall begin with one of the two preambles shown in Figure 6.15. They contain exactly six (or twelve) sub-carrier pulses followed by an un-modulated time of four (or eight) sub-carrier cycles (that corresponds to a Manchester violation) and ended by a bit "0". The choice depends on the value of the TRext bit specified in the *BeginRound* command that initiated the inventory round. The pilot tone for TRext=1 consists of additional

continuous cycles at the sub-carrier frequency for the duration of 12 bits.. All tag answers are finalized by an EOF shown in Figure 6.16. The EOF comprises a bit "1" followed by a Manchester violation as a group of four (or eight) sub-carrier pulses.



**Figure 6.15 ASK Method: Sub-carrier T=>R preamble SOF**



**Figure 6.16 ASK Method: Terminating sub-carrier transmissions EOF**

### 6.3.3.1.3.7 ASK Method: Miller-modulated sub-carrier

Figure 6.17 shows basis functions for generating Miller encoding. Baseband Miller inverts its phase between two data-0s in sequence. Baseband Miller also places a phase inversion in the middle of a data-1 symbol. The state diagram in Figure 6.17 maps a logical data sequence to baseband Miller basis functions. The state labels,  $S_1$ – $S_4$ , indicate four possible Miller-encoded symbols, represented by the two phases of each of the Miller basis functions. The state labels also represent the baseband Miller waveform that is generated upon entering the state. The transmitted waveform is the baseband waveform multiplied by a square-wave at 8 times the symbol rate. The labels on the state transitions indicate the logical values of the data sequence to be encoded. For example, a transition from state  $S_1$  to  $S_3$  is disallowed because the resulting transmission would have a phase inversion on a symbol boundary between a data-0 and a data-1.

Figure 6.18 shows a Miller-modulated sub-carrier sequence; the Miller sequence shall contain exactly eight sub-carrier cycles per bit (see Table 6.9). Miller encoding has memory; consequently, the choice of Miller sub-carrier sequences as shown in Figure 6.18 depends on prior transmissions.

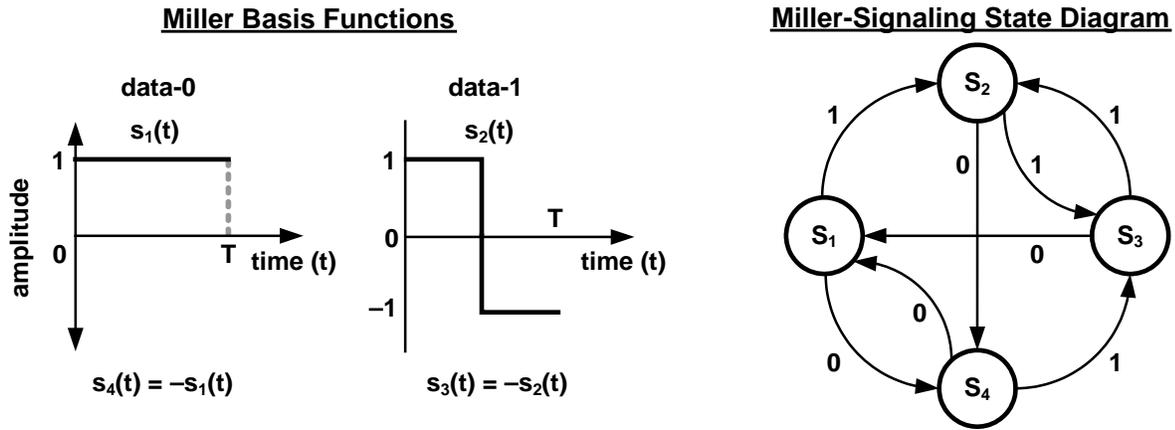


Figure 6.17 ASK Method: Miller basis functions and generator state diagram

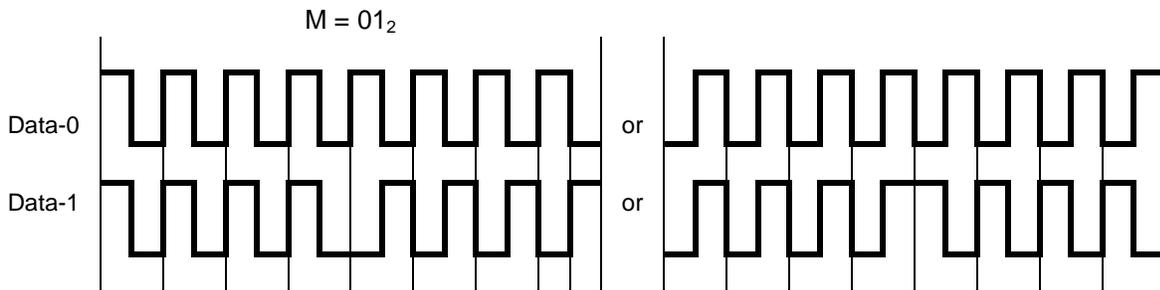


Figure 6.18 ASK Method: Miller sub-carrier sequences

### 6.3.3.1.3.8 ASK Method: Miller sub-carrier preamble SOF and EOF

T=>R sub-carrier signalling shall begin with one of the two preambles shown in Figure 6.19. The choice depends on the value of the TRext bit specified in the *BeginRound* command that initiated the inventory round. Figure 6.19 shows the preamble for each TRext. This pilot tone consists of continuous cycles at the sub-carrier frequency. Miller encoded communication shall always end with dedicated EOF at the end of a transmission, as shown in Figure 6.20.

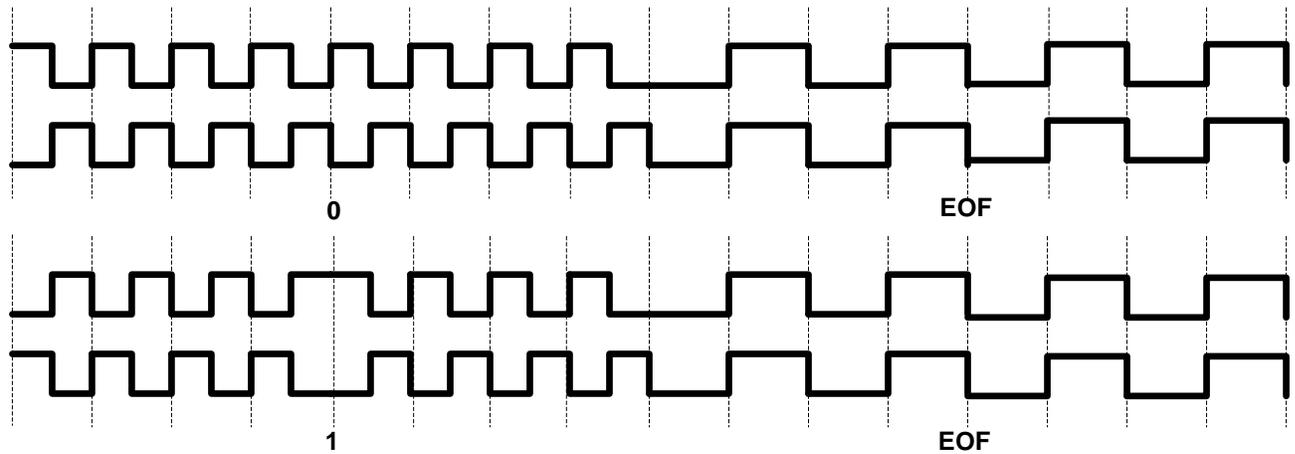
#### TRext = 0



#### TRext = 1



Figure 6.19 ASK Method: Miller Sub-carrier T=>R preamble SOF



**Figure 6.20 ASK Method: Terminating sub-carrier transmissions EOF**

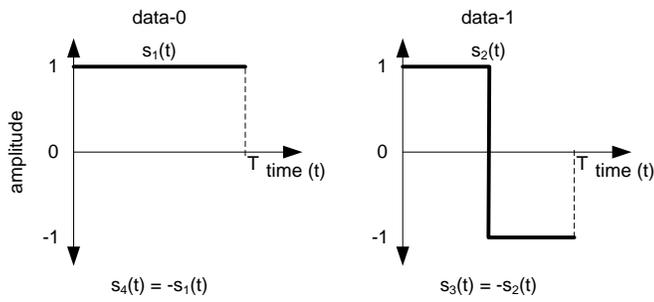
### 6.3.3.1.3.9 PJM Method: MFM modulated sub-carrier

Figure 6.21 shows basis functions for generating MFM encoding. Baseband MFM inverts its phase between two data-0s in sequence. Baseband MFM also places a phase inversion in the middle of a data-1 symbol. The state diagram in Figure 6.21 maps a logical data sequence to baseband MFM basis functions. The state labels,  $S_1$ – $S_4$ , indicate four possible MFM-encoded symbols, represented by the two phases of each of the MFM basis functions. The state labels also represent the baseband MFM waveform that is generated upon entering the state. The transmitted waveform is the baseband waveform multiplied by a square wave at  $f_c$  divided by  $n$ , where  $n$  is the division ratio for the 8 sub-carriers defined in Table 6.11. The labels on the state transitions indicate the logical values of the data sequence to be encoded. For example, a transition from state  $S_1$  to  $S_3$  is disallowed because the resulting transmission would have a phase inversion on a symbol boundary between a data-0 and a data-1.

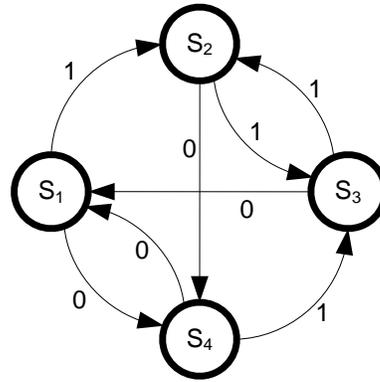
Figure 6.22 shows an example for the BPSK phase changes without sub-carrier and the corresponding MFM-modulated sub-carrier sequence. The phase changes align with MFM state changes shown in Figure 6.21. For clarity the sub-carrier example shows eight cycles per bit period, however the real sub-carrier will have between nine and 28 cycles depending upon the reply channel selected. Also for clarity the phase change shown occurs at the beginning and the middle of a sub-carrier cycle; however the phase change can occur at any point during a sub-carrier cycle because the reply data rate and the sub-carriers are unsynchronized.

The sub-carrier is derived by division of the powering field's frequency and is determined from the DR, M and TRext values specified in the *BeginRound* command that initiated the inventory round (see Table 6.11). The period  $T$  of a bit interval used for encoding command data is  $9,44\mu\text{s}$ . MFM encoding has memory; consequently, the choice of MFM sequences in Figure 6.22 depends on prior transmissions.

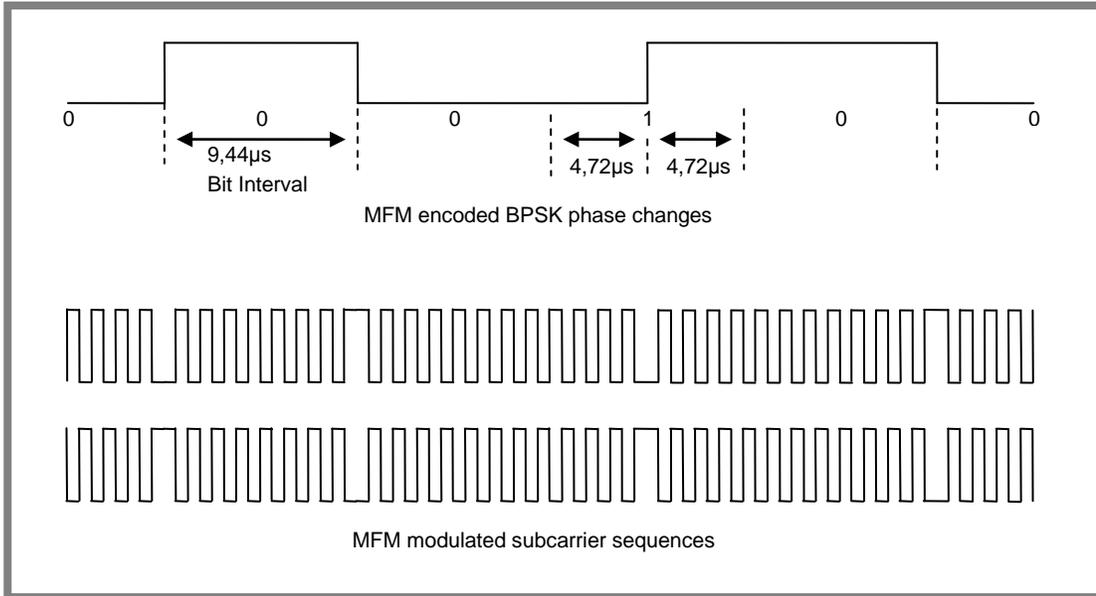
**MFM Basis Functions**



**MFM Signaling State Diagram**



**Figure 6.21** PJM Method: MFM basis functions and generator state diagram



**Figure 6.22** PJM Method: Reply MFM encoding and timing of binary 000100

### 6.3.3.1.3.10 PJM Method: MFM sub-carrier flag (preamble SOF)

PJM Method: The T=>R sub-carrier signalling shall begin with either of the two flags shown in Figure 6.23. This figure shows the flag timing for the BPSK sub-carrier phase changes.

The flag defines the start of a reply and the bit interval timings. The flag is comprised of three parts:

1. A synchronising string of 9 bits of valid MFM data. "As an example Figure 6.23 shows 9 data bits with the value "1".
2. A MFM encoding violation which is not present in normal data. The violation consists of a sequence of 4 state changes separated by a 2 bit interval, a 1,5 bit interval and 2 bit interval. The edge of the fourth transition defines the beginning of a bit interval, and
3. A trailing 0.

A synchronising string, encoding violation and a trailing zero for two possible reply flags are illustrated in Figure 6.23.

Note: an EOF is NOT required

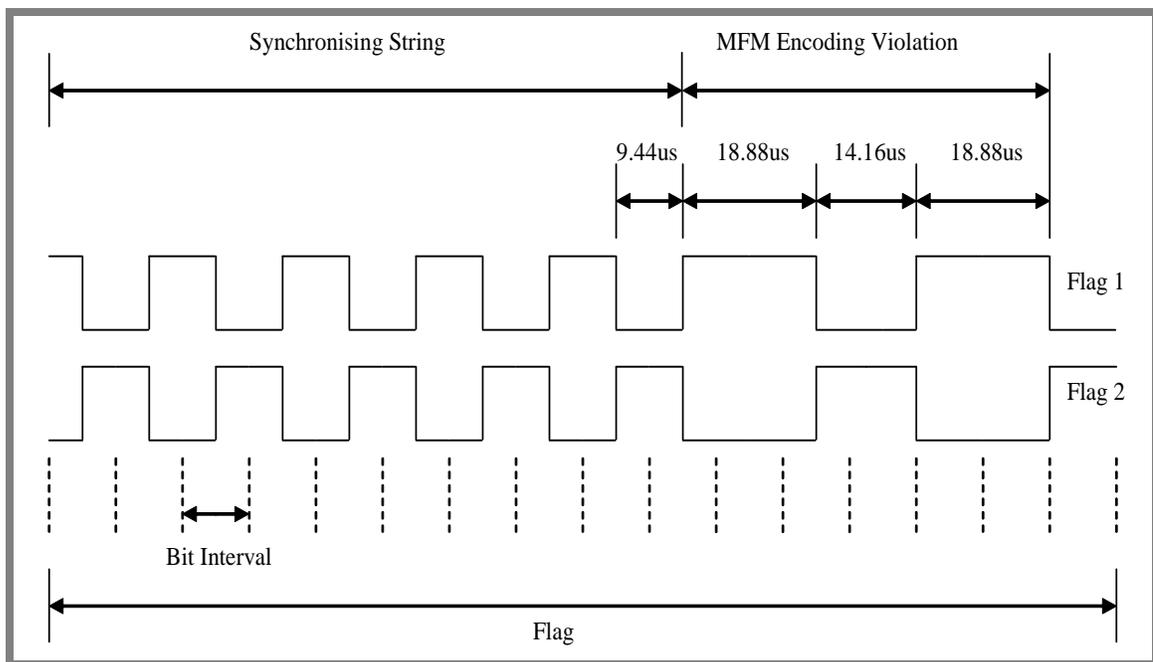


Figure 6.23 PJM Method: Sub-carrier T=>R flags

### 6.3.3.1.3.11 ASK Method and PJM Method: Selection of modulation type and data rate

- ASK Method: Tags shall support the R=>T Tari values specified in 6.3.3.1.2.4; the T=>R link frequencies specified in Table 6.9; and the T=>R data rates specified in Table 6.10. The *BeginRound* command that initiates an inventory round specifies DR in Table 6.9 and M in Table 6.10.

**Table 6.9. ASK Method: Tag-to-interrogator link frequencies**

M value	Bit modulation	Subcarrier with DR=0 LF = 424kHz ( $f_c/32$ )	Subcarrier with DR=1 LF = 847kHz ( $f_c/16$ )
11 <sub>2</sub>	4 subcarrier pulse Manchester	53 kbit/s ( $f_c/256$ )	106 kbit/s ( $f_c/128$ )
10 <sub>2</sub>	2 subcarrier pulse Manchester	106 kbit/s ( $f_c/128$ )	212 kbit/s ( $f_c/64$ )
01 <sub>2</sub>	8 subcarrier pulse Miller	53 kbit/s ( $f_c/256$ )	106 kbit/s ( $f_c/128$ )
00 <sub>2</sub>	FM0	424kbit/s ( $f_c/32$ )	848kbit/s ( $f_c/16$ )

**Table 6.10. ASK Method: Tag-to-interrogator data rates**

M: Number of subcarrier cycles per symbol	Modulation type	Data rate (kbit/s)
M = 00 <sub>2</sub> : 1 cycles per symbol	FM0 baseband	LF
M = 01 <sub>2</sub> : 8 cycles per symbol	Miller subcarrier	LF/8
M = 10 <sub>2</sub> : 4 cycles per symbol	Manchester subcarrier	LF/4
M = 11 <sub>2</sub> : 8 cycles per symbol	Manchester subcarrier	LF/8

- PJM Method: The T=>R modulation shall be MFM at a data rate of 106 kbit/s on a sub-carrier selected by the *BeginRound* command that initiates an inventory round. The *BeginRound* command provides the DR, M, and TRext bits which are (for PJM Method commands) decoded by capable tags as shown in Table 6.11.

**Table 6.11. PJM Method: Sub-carrier selection commands**

DR	M	TRext	reply channel selected	division ratio	subcarrier frequency kHz
0	00	0	A	14	969
0	00	1	B	11	1233
0	01	0	C	9	1507
0	01	1	D	7,5	1808
0	10	0	E	6,5	2086
0	10	1	F	5,5	2465
0	11	0	G	5	2712
0	11	1	H	4,5	3013
1	00	0	Subcarrier frequency hopping		
all other states			reserved for future use		

When frequency hopping is selected by the *BeginRound* command tags shall select a reply channel. A tag shall use this reply channel for all T=>R communications. The channel selected by a tag shall be determined from the three least significant bits of the StoredCRC. The three least significant bits of the StoredCRC shall be decoded as for the M and TRext bits in Table 6.11 above. For example, if the three least significant bits of the StoredCRC are 110 then channel G would be selected

#### 6.3.3.1.4 Both Methods: Transmission order

The transmission order for all R=>T and T=>R communications shall respect the following conventions:

- within each message, the most-significant word shall be transmitted first; and
- within each word, the most-significant bit (MSB) shall be transmitted first.

#### 6.3.3.1.5 Both Methods: Cyclic-redundancy check (CRC)

A CRC is a cyclic-redundancy check that a Tag uses to ensure the validity of certain R=>T commands, and an Interrogator uses to ensure the validity of certain loadmodulated T=>R replies. This protocol uses two CRC types: (i) a CRC-16, and (ii) a CRC-5. Annex F describes both CRC types.

To generate a CRC-16 a Tag or Interrogator shall first generate the CRC-16 precursor shown in Table 6.12, and then take the ones-complement of the generated precursor to form the CRC-16.

**Table 6.12. CRC-16 precursor**

CRC Type	Length	Polynomial	Preset	Residue
ISO/IEC 13239	16 bits	$x^{16} + x^{12} + x^5 + 1$	FFFF <sub>h</sub>	1D0F <sub>h</sub>

A Tag or Interrogator shall verify the integrity of a received message that uses a CRC-16. The Tag or Interrogator may use one of the methods described in Annex F to verify the CRC-16.

At power-up, a Tag calculates and saves into memory a 16-bit StoredCRC — see 6.3.4.1.2.1.

Tags shall append a CRC-16 to those replies that use a CRC-16 — see 6.3.4.11 for command-specific reply formats.

To generate a CRC-5 an Interrogator shall use the definition in Table 6.13.

**Table 6.13. CRC-5 definition. See also Annex F**

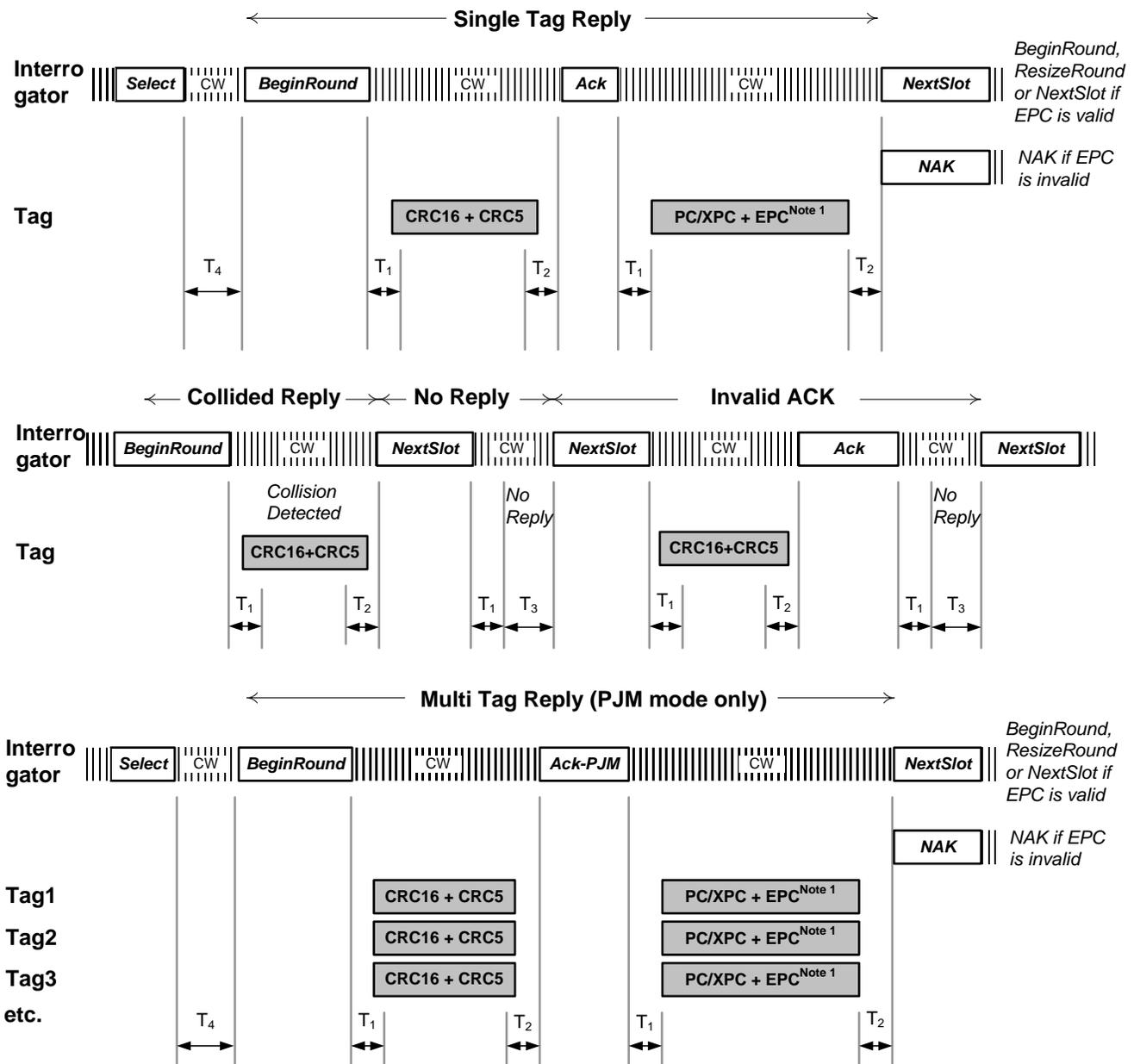
CRC Type	Length	Polynomial	Preset	Residue
—	5 bits	$x^5 + x^3 + 1$	01001 <sub>2</sub>	00000 <sub>2</sub>

A Tag shall verify the integrity of a received message that uses a CRC-5. The Tag may use the method described in Annex F to verify a CRC-5.

Interrogators shall append the appropriate CRC to R=>T transmissions as specified in Table 6.19.

#### 6.3.3.1.6 Link timing – Both Methods

Figure 6.24 illustrates R=>T and T=>R link timing. Figure 6.24 (not drawn to scale) defines interrogator interactions with a tag population. Table 6.14 shows the timing requirements for Figure 6.24, while 6.3.4.11 describes the commands. Tags and interrogators shall meet all timing requirements shown in Table 6.14. RTcal is defined in 6.3.3.1.2.8. As described in 6.3.3.1.2.8, an interrogator shall use a fixed R=>T link rate for the duration of an inventory round; prior to changing the R=>T link rate, an interrogator shall transmit CW for a minimum of 8 RTcal.



Note 1: In certain cases a PacketCRC shall be added if required. See Table 6.15 for the definition of the cases.

Figure 6.24 Link timing – Both Methods

**Table 6.14. Link timing parameters**

Parameter	Minimum	Typical	Maximum	Description
T <sub>1</sub>	73,1 μs (1024-32)/f <sub>c</sub>	75,5 μs (1024/f <sub>c</sub> )	77,9 μs (1024+32)/f <sub>c</sub>	Time from interrogator transmission to tag response (specifically, the time from the last rising edge of the last bit of the interrogator transmission to the first rising edge of the tag response), measured at the tag antenna terminals.
T <sub>2</sub>	151 μs (2048/f <sub>c</sub> )		1208 μs (16384/f <sub>c</sub> )	Interrogator response time required if a tag is to demodulate the Interrogator signal, measured from the end of the tag response to the first falling edge of the Interrogator transmission
T <sub>3</sub>	T <sub>sof_tag</sub>			Time an interrogator waits, after T <sub>1</sub> , before it issues another command
T <sub>4</sub>	T <sub>1Typ</sub> + T <sub>3Min</sub>			Minimum time between interrogator commands

Note 1: A tag may exceed the maximum value of T<sub>1</sub> when responding to commands that write to memory.

Note 2: The maximum value for T<sub>2</sub> shall apply only to tags in the **reply** or **acknowledged** states (see 6.3.4.4.3 and 6.3.4.4.4).

For a tag in the **reply** or **acknowledged** states, if T<sub>2</sub> expires (*i.e.* reaches its maximum value):

- Without the tag receiving a valid command, the tag shall transition to the **arbitrate** state (see 6.3.4.4.2);
  - During the reception of a valid command, the tag shall execute the command;
  - During the reception of an invalid command, the tag shall transition to **arbitrate** upon determining that the command is invalid.
- In all other states the maximum value for T<sub>2</sub> shall be unrestricted. "Invalid command" is defined in 6.3.4.11.

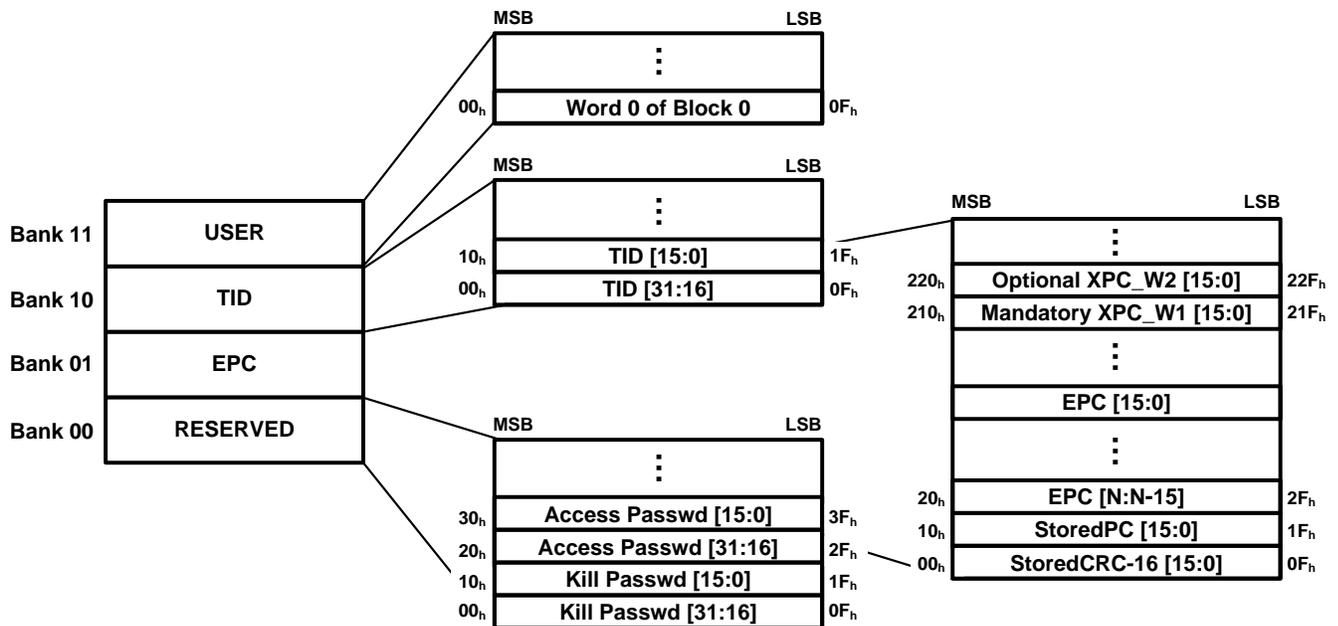
Note 3: A Tag shall be allowed a tolerance of  $16384/f_c \leq T_{2max} \leq 16448/f_c$  in determining whether T<sub>2</sub> has expired.

### 6.3.4 Tag selection, inventory, and access

Tag selection, inventory, and access may be viewed as the lowest level in the data link layer of a layered network communication system.

#### 6.3.4.1 Tag memory

Tag memory shall be logically separated into four distinct banks, each of which may comprise zero or more memory words. A logical memory map is shown in Figure 6.25.



**Figure 6.25 Logical memory map**

The memory banks are:

**Reserved memory** shall contain the kill and and/or access passwords, if passwords are implemented on the Tag. The kill password shall be stored at memory addresses 00<sub>h</sub> to 1F<sub>h</sub>; the access password shall be stored at memory addresses 20<sub>h</sub> to 3F<sub>h</sub>. See 6.3.4.1.1.

**EPC memory** shall contain a CRC-16 at memory addresses 00<sub>h</sub> to 0F<sub>h</sub>; a Protocol-Control (PC) word at addresses 10<sub>h</sub> to 1F<sub>h</sub>; a code (such as an EPC, and hereafter referred to as an EPC) that identifies the object to which the Tag is or will be attached beginning at address 20<sub>h</sub>; and either one (mandatory) or two (optional) Extended Protocol Control (XPC) word(s) beginning at address 210<sub>h</sub>. See 6.3.4.1.2.

**TID memory** shall contain an 8-bit ISO/IEC 15963 allocation class identifier at memory locations 00<sub>h</sub> to 07<sub>h</sub>. TID memory shall contain sufficient identifying information above 07<sub>h</sub> for an Interrogator to uniquely identify the custom commands and/or optional features that a Tag supports. See 6.3.4.1.3.

**User memory** is optional. See 6.3.4.1.4.

The logical addressing of all memory banks shall begin at zero (00<sub>h</sub>). The physical memory map is vendor-specific. Commands that access memory have a MemBank parameter that selects the bank, an address length parameter and an address parameter to select a particular memory location within that bank. The address parameter has a length of 8, 16, 24 or 32 bits as defined in the address length parameter. Interrogators shall use the shortest address length possible to encode the memory location. When Tags loadmodulate memory contents, this modulation shall fall on word boundaries (except in the case of a truncated reply – see 6.3.4.11.1.1).

MemBank is defined as follows:

- 00<sub>2</sub> Reserved
- 01<sub>2</sub> EPC
- 10<sub>2</sub> TID
- 11<sub>2</sub> User

Operations in one logical memory bank shall not access memory locations in another bank.

Memory writes, detailed in 6.3.4.9, involve the transfer of 16-bit words from Interrogator to Tag. A Write command writes 16 bits (*i.e.* one word) at a time, optionally using link cover-coding to obscure the data during R=>T transmission. The optional BlockWrite command writes one or more 16-bit words at a time, without link cover-coding. The optional BlockErase command erases one or more 16-bit words at a time. A Write, BlockWrite, or BlockErase shall not alter a killed Tag's permanently non-responsive status regardless of the memory address (whether valid or invalid) specified in the command.

Interrogators may lock, permanently lock, unlock, or permanently unlock the kill password, access password, EPC memory, TID memory, and User memory, thereby preventing or allowing subsequent changes (as appropriate). A Tag may optionally have its User memory partitioned into blocks; if it does, then an Interrogator may permanently lock these individual blocks. Recommissioning may alter the memory locking and/or permalocking. See 6.3.4.9 for a description of memory locking and unlocking, and 6.3.4.10 for a description of Tag recommissioning. If the kill and/or access passwords are locked they are usable by only the Kill and Access commands, respectively, and are rendered both unwriteable and unreadable by any other command. Locking or permanently locking the EPC, TID, or User memory banks, or permanently locking blocks within the User memory bank, renders the locked memory location unwriteable but leaves it readable.

#### 6.3.4.1.1 Reserved Memory

Reserved memory contains the kill (see 6.3.4.1.1.1) and/or access (see 6.3.4.1.1.2) passwords, if passwords are implemented on the Tag. If a Tag does not implement the kill and/or access password(s), the Tag shall logically operate as though it has zero-valued password(s) that are permanently read/write locked (see 6.3.4.11.3.5), and the corresponding physical memory locations in Reserved memory need not exist.

#### 6.3.4.1.1.1 Kill password

The kill password is a 32-bit value stored in Reserved memory 00<sub>h</sub> to 1F<sub>h</sub>, MSB first. The default (unprogrammed) value shall be zero. An Interrogator may use the kill password to: recommission a Tag, and/or kill a Tag and render it nonresponsive thereafter. A Tag shall not execute a recommissioning or kill operation if its kill password is zero. A Tag that does not implement a kill password operates as if it has a zero-valued kill password that is permanently read/write locked.

#### 6.3.4.1.1.2 Access password

The access password is a 32-bit value stored in Reserved memory 20<sub>h</sub> to 3F<sub>h</sub>, MSB first. The default (unprogrammed) value shall be zero. A Tag with a nonzero access password shall require an Interrogator to issue this password before transitioning to the secured state. A Tag that does not implement an access password operates as if it has a zero-valued access password that is permanently read/write locked.

#### 6.3.4.1.2 EPC Memory

EPC memory contains a StoredCRC at memory addresses 00<sub>h</sub> to 0F<sub>h</sub>, a StoredPC at 10<sub>h</sub> to 1F<sub>h</sub>, an EPC beginning at 20<sub>h</sub>, and a first XPC word (XPC\_W1) at 210<sub>h</sub> to 21F<sub>h</sub> and an optional second XPC word (XPC\_W2) at 220<sub>h</sub> to 22F<sub>h</sub>. The StoredCRC, StoredPC, EPC, and XPC word or words shall be stored MSB first (i.e. the EPC's MSB is stored in location 20<sub>h</sub>).

The StoredCRC is described in 6.3.4.1.2.1.

The StoredPC, as described in 6.3.4.1.2.2, is subdivided into an EPC length field in memory locations 10<sub>h</sub> to 14<sub>h</sub>, a User-memory indicator (UMI) in location 15<sub>h</sub>, an XPC indicator (XI) in location 16<sub>h</sub>, and a Numbering System Identifier (NSI) in locations 17<sub>h</sub> to 1F<sub>h</sub>.

The EPC is a code that identifies the object to which a Tag is affixed. The EPC for EPCglobal™ Applications is described in 6.3.4.1.2.3 and the EPC for non-EPCglobal™ Applications is described in 6.3.4.1.2.4. Interrogators may issue a Select command that includes all or part of the EPC in the mask. Interrogators may issue an ACK command to cause a Tag to loadmodulate its PC word, XPC word (if XI is asserted), EPC, and a PacketCRC if required. Under certain circumstances the Tag may truncate its reply (see 6.3.2.11.1.1). An Interrogator may issue a Read command to read all or part of the EPC.

The XPC word, as described in 6.3.4.1.2.5, indicates whether and how a Tag has been recommissioned.

##### 6.3.4.1.2.1 CRC-16 (StoredCRC and PacketCRC)

All Tags shall implement a StoredCRC. Tags shall also implement a PacketCRC.

At power-up a Tag shall calculate a CRC-16 over (a) the StoredPC and (b) the EPC specified by the EPC length field in the StoredPC (see 6.3.4.1.2.1) and shall map the calculated CRC-16 into EPC memory 00<sub>h</sub> to 0F<sub>h</sub>, MSB first. This CRC is denoted the StoredCRC. Because the StoredPC and EPC comprise an integer number of EPC-memory words, a Tag calculates this StoredCRC on word boundaries. Although Tags include the XI value in the StoredPC in their StoredCRC calculation, regardless of the XI value a Tag shall omit XPC\_W1 and XPC\_W2 from the calculation. A Tag shall finish its StoredCRC calculation and memory mapping by the end of interval T<sub>s</sub> in Figure 6.5. Interrogators may issue a Select command that includes all or part of the StoredCRC in Mask. Interrogators may issue a Read command to instruct a Tag to loadmodulate its StoredCRC. A Tag shall not recalculate this StoredCRC for a truncated reply (see 6.3.4.11.1.1).

In response to an ACK command a Tag loadmodulates a protocol-control (PC) word (either StoredPC or PacketPC – see 6.3.4.1.2.2), a first XPC word (XPC\_W1) and an optional second XPC word (XPC\_W2), depending on XI (see 6.3.4.1.2.5), EPC (see 6.3.4.1.2.3 and 6.3.4.1.2.4), and a CRC-16 only in case of a PackedCRC is required according to Table 6.15 below. The CRC-16 in this case shall be a PacketCRC that the Tag shall calculate dynamically over the loadmodulated PC word, XPC word or words (if supported), and EPC. Whether a Tag omits the CRC16 or loadmodulates its PacketCRC, shall be as defined in Table 6.15, depending on the Tag's XI value and whether truncation (see 6.3.4.11.1.1) is asserted or deasserted.

**Table 6.15. Tag data and CRC-16 loadmodulated in response to an ACK command**

XI	XEB	Truncation	Tag Loadmodulation			
			PC	XPC	EPC	CRC-16
0	0	Deasserted	StoredPC	None	Full	no CRC16 in reply
0	0	Asserted	00000 <sub>2</sub>	None	Truncated	no CRC16 in reply
0	1	Deasserted	Invalid <sup>1</sup>			
0	1	Asserted	Invalid <sup>1</sup>			
1	0	Deasserted	PacketPC	XPC_W1	Full	PacketCRC
1	0	Asserted	00000 <sub>2</sub>	None	Truncated	no CRC16 in reply
1	1	Deasserted	PacketPC	Both XPC_W1 and XPC_W2	Full	PacketCRC
1	1	Asserted	00000 <sub>2</sub>	None	Truncated	no CRC16 in reply

Note 1: XI is the bitwise logical OR of the 16 bits of XPC\_W1, and XEB is the MSB (bit F<sub>h</sub>) of XPC\_W1, so if XEB=1 then XI=1

Note 2: The StoredCRC may be different from the Packet CRC if the tag memory has been programmed and the tag has not undergone a power-on-reset.

A Tag shall loadmodulate its CRC MSB first, regardless of the CRC type.

If XI is asserted then a Tag's PacketCRC is different from its StoredCRC.

As required by 6.3.3.1.5 an Interrogator shall verify, using a Tag's loadmodulated CRC-16, the integrity of a received PC word, first XPC word (XPC\_W1), optional second XPC word (XPC\_W2), and EPC.

#### 6.3.4.1.2.2 Protocol-control (PC) word (StoredPC and PacketPC)

All Tags shall implement a StoredPC whose fields, comprising EPC length, a UMI, an XI, and an NSI, shall be as defined below. Tags shall also implement a PacketPC that differs from the StoredPC in its EPC length field. The type of PC (StoredPC or PacketPC) that a Tag loadmodulates in response to an ACK shall be as defined in Table 6.15.

The StoredPC shall be located in EPC memory at addresses 10<sub>h</sub> to 1F<sub>h</sub>, with bit values defined as follows:

- Bits 10<sub>h</sub> – 14<sub>h</sub>: EPC length field. The length of the EPC, in words:
  - 00000<sub>2</sub>: Zero words.
  - 00001<sub>2</sub>: One word (addresses 20<sub>h</sub> to 2F<sub>h</sub> in EPC memory).
  - 00010<sub>2</sub>: Two words (addresses 20<sub>h</sub> to 3F<sub>h</sub> in EPC memory).
  - 
  - 
  - 
  - 11101<sub>2</sub>: 29 words (addresses 20<sub>h</sub> to 1EF<sub>h</sub> in EPC memory).

The maximum value of the EPC length field in the StoredPC shall be 11101<sub>2</sub> (allows a 464-bit EPC). A Tag shall ignore a *Write* or *BlockWrite* command to the StoredPC if the EPC length field exceeds 11101<sub>2</sub>, and shall instead loadmodulate an error code (see Annex I).

- Bit 15<sub>h</sub>: A User-memory indicator (UMI). If bit 15<sub>h</sub> is deasserted then the Tag either does not implement User memory or User memory contains no information. If bit 15<sub>h</sub> is asserted then User memory contains information. A Tag may implement the UMI using Method 1 or Method 2 described below, unless the Tag implements block perma-locking and/or recommissioning, in which case the Tag shall use Method 1. In case that only the mandatory recommissioning option with asserted Recom bit 3SB is supported and no block perma-locking is supported also Method 2 may be used instead of Method 1.
  - Method 1: The Tag computes the UMI. At power-up, and prior to calculating its StoredCRC (see 6.3.4.1.2.1), a Tag shall compute the logical OR of bits 03<sub>h</sub> to 07<sub>h</sub> of User memory and map the

computed value into bit 15<sub>h</sub>. A Tag shall use this computed UMI value in its StoredCRC calculation. If an Interrogator modifies any of bits 03<sub>h</sub> to 07<sub>h</sub> of User memory then the Tag shall recompute and remap its UMI into bit 15<sub>h</sub>. If recommissioning renders User memory inaccessible (see 6.3.4.10) then the Tag shall deassert and remap its UMI into bit 15<sub>h</sub>. After remapping the UMI the StoredCRC may be incorrect until the Interrogator power cycles the Tag. The UMI shall not be directly writeable by an Interrogator — when an Interrogator writes the StoredPC the Tag shall ignore the data value that the Interrogator provides for bit 15<sub>h</sub>.

- Method 2: An Interrogator writes the UMI. If an Interrogator writes a zero value into bits 03<sub>h</sub> to 07<sub>h</sub> of User memory then the Interrogator shall deassert bit 15<sub>h</sub>. If an Interrogator writes a nonzero value into 03<sub>h</sub> to 07<sub>h</sub> of User memory then the Interrogator shall assert bit 15<sub>h</sub>. If an Interrogator locks or permalocks EPC memory then the Interrogator shall also lock or permalock, respectively, the word located at address 00<sub>h</sub> of User memory, and vice versa. This latter requirement ensures that a condition in which User memory previously contained data but was subsequently erased does not cause a Tag to wrongly indicate the presence of User memory, and vice versa.
- Bit 16<sub>h</sub>: An XPC\_W1 indicator (XI). If bit 16<sub>h</sub> is deasserted then the XPC\_W1 is zero-valued, in which case the Tag shall loadmodulate its StoredPC but not an XPC\_W1 during inventory (see 6.3.4.1.2 and Table 6.15). If bit 16<sub>h</sub> is asserted then one or more bits of XPC\_W1 have nonzero values, indicating that the Tag has been previously re-commissioned (see 6.3.4.1.2.5). In this latter case the Tag shall loadmodulate its XPC\_W1 immediately after the PacketPC, and before the EPC, during inventory.

At power-up, and prior to calculating its StoredCRC (see 6.3.4.1.2.1), the Tag shall compute the bitwise logical OR of its XPC\_W1 and map the computed value into bit 16<sub>h</sub> (i.e. into the XI). A Tag shall use this computed XI value in its StoredCRC calculation. If an Interrogator recommissions the Tag (see 6.3.4.10) then the Tag shall recompute and remap its XI into bit 16<sub>h</sub> after recommissioning. After recomputing the XI the StoredCRC may be incorrect until the Interrogator power cycles the Tag. The XI bit shall not be directly writeable by an Interrogator — when an Interrogator writes the StoredPC the Tag shall ignore the data value that the Interrogator provides for bit 16<sub>h</sub>.

- Bits 17<sub>h</sub> – 1F<sub>h</sub>: A numbering system identifier (NSI). The MSB of the NSI is stored in memory location 17<sub>h</sub>. If bit 17<sub>h</sub> contains a logical 0, then the application is referred to as an EPCglobal™ Application and bits 18<sub>h</sub> – 1F<sub>h</sub> shall be as defined in the EPCglobal™ Tag Data Standards. If bit 17<sub>h</sub> contains a logical 1, then the application is referred to as a non-EPCglobal™ Application and bits 18<sub>h</sub> – 1F<sub>h</sub> shall contain the entire AFI defined in ISO/IEC 15961 parts 2 and 3. The default value for bits 18<sub>h</sub> – 1F<sub>h</sub> is 00000000<sub>2</sub>.

The default (unprogrammed) StoredPC value shall be 0000<sub>h</sub>.

If an Interrogator changes the EPC length (via a memory write operation), and if it wishes the Tag to subsequently loadmodulate the new EPC length, then it must write a new EPC length field into the Tag's StoredPC. Note: After changing the EPC length field an Interrogator should power-cycle the Tag to ensure a correct StoredCRC.

A Tag shall loadmodulate an error code (see Annex I) if an Interrogator attempts to write an EPC length field that the Tag does not support.

The PacketPC differs from the StoredPC in its EPC length field, which a Tag shall adjust to match the length of the loadmodulated data that follow the PC word. Specifically, if XI is asserted but XEB is not asserted then the Tag loadmodulates an XPC\_W1 before the EPC, so the Tag shall add one to (i.e. increment) its EPC length field. If both XI and XEB are asserted then the Tag loadmodulates both an XPC\_W1 and an XPC\_W2 before the EPC, so the Tag shall add two to (i.e. double increment) its EPC length field. Because Tags that support XPC functionality have a maximum EPC length field of 11101<sub>2</sub>, double incrementing will increase the value to 11111<sub>2</sub>. A Tag shall not, under any circumstances, allow its EPC length field to roll over to 0000<sub>2</sub>. Note that incrementing or double incrementing the EPC length field does not alter the values stored in bits 10<sub>h</sub> – 14<sub>h</sub> of EPC memory; rather, a Tag increments the EPC length field in the loadmodulated PacketPC but leaves the memory contents unaltered.

If an Interrogator that does not support an XPC\_W2 receives a Tag reply with XEB asserted then the Interrogator shall treat the Tag's reply as though its CRC-16 integrity check had failed.

During truncated replies a Tag substitutes 0000<sub>2</sub> for the PC word — see Table 6.15 and 6.3.4.11.1.1.

### 6.3.4.1.2.3 EPC for an EPCglobal™ Application

The EPC structure for an EPCglobal™ Application shall be as defined in the EPCglobal™ Tag Data Standards.

### 6.3.4.1.2.4 EPC for a non-EPCglobal™ Application

The EPC structure for a non-EPCglobal™ Application shall be as defined in ISO/IEC 15961.

### 6.3.4.1.2.5 Extended Protocol Control (XPC) word (mandatory) or words (optional)

A Tag shall implement an XPC\_W1 logically located at addresses 210<sub>h</sub> to 21F<sub>h</sub> of EPC memory. A tag may additionally implement an XPC\_W2 logically located at address 220<sub>h</sub> to 22F<sub>h</sub> of EPC memory. These XPC words shall be exactly 16 bits in length and are stored MSB first. If a Tag does not support XPC\_W2 then the specified memory locations need not exist.

A Tag shall not implement any non-XPC memory element at EPC memory locations 210<sub>h</sub> to 22F<sub>h</sub>, inclusive. This requirement shall apply both to Tags that support an XPC\_W2 word and to those that do not.

If a Tag implements an XPC\_W2 then, at power-up, the Tag shall compute the bitwise logical OR of the XPC\_W2 and map the computed value into bit 210<sub>h</sub> of EPC memory (i.e. into the most significant bit of XPC\_W1). Bit 210<sub>h</sub> is denoted the XPC Extension Bit (XEB). If a Tag does not implement an XPC\_W2 then the XEB shall be zero.

The remainder of this section 6.3.4.1.2.5 assumes that a Tag implements an XPC\_W1 (mandatory) and an XPC\_W2 (optional).

When this document refers to the 3 least-significant-bits (LSBs) of XPC\_W1 it specifically means locations 21D<sub>h</sub>, 21E<sub>h</sub>, and 21F<sub>h</sub> of EPC memory. The 3 LSBs of XPC\_W1 indicate whether and how a Tag was recommissioned.

For virgin Class-1 Tags the 3 LSBs of XPC\_W1 shall be zero-valued. A Tag writes a nonzero value to one or more of these 3 LSBs during Tag recommissioning (see 6.3.4.10). For Class-1 Tags all the other bits in XPC\_W1, namely EPC memory locations 210<sub>h</sub> to 21C<sub>h</sub>, inclusive, as well as all the bits in XPC\_W2, shall be RFU and zero-valued. Tag vendors and end users shall not use these RFU bits for proprietary purposes.

The 3 LSBs of XPC\_W1 are not writeable using a *Write* or *BlockWrite*, nor erasable using a *BlockErase*. They can only be asserted by a *Kill* command, meaning that the Tag asserts these bits upon receiving a valid *Kill* command sequence with asserted recommissioning bits (see 6.3.4.11.3.4). A Tag shall not modify the 3 LSBs of XPC\_W1 except during Tag recommissioning.

If a Class-1 Tag receives a *Write*, *BlockWrite*, or *BlockErase* command that attempts to write to XPC\_W1 or to XPC\_W2 it responds with an error code (see Annex I).

An Interrogator may issue a *Select* command (see 6.3.4.11.1) with a Mask that covers all or part of XPC\_W1 and/or XPC\_W2. For example, Mask may have the value 000<sub>2</sub> for the 3 LSBs of XPC\_W1, in which case recommissioned Tags shall be non-matching.

An Interrogator may read a Tag's XPC\_W1 and XPC\_W2 using a *Read* command (see 6.3.4.11.3.2).

Bit E<sub>h</sub> of XPC\_W1 (EPC memory location 211<sub>h</sub>) is reserved for use as a protocol functionality indicator. See 2.4.

The following encoding provides a general mapping between the 3 XPC\_W1 LSBs and a Tag's recommissioned status. Table 6.16 provides a detailed mapping between these 3 LSBs and a Tag's recommissioned status:

- **An asserted LSB** (EPC memory location 21F<sub>h</sub>) indicates that block permalocking has been disabled, and any blocks of User memory that were previously block permalocked are no longer block permalocked. An asserted LSB also indicates that the BlockPermalock command has been disabled. If a Tag did not implement block permalocking prior to recommissioning then block permalocking shall remain disabled.
- **An asserted 2SB** (EPC memory location 21E<sub>h</sub>) indicates that User memory has been rendered inaccessible. The 2SB has precedence over the LSB — if both are asserted then User memory is inaccessible.

- **An asserted 3SB** (EPC memory location 21D<sub>h</sub>) indicates that the Tag has unlocked its EPC, TID, and User memory banks. The Tag has also write-unlocked its kill and access passwords, and left the read lock status of the kill and access passwords in the state prior to the recommissioning. If an Interrogator subsequently attempts to read the Tag's kill or access passwords, the Tag loadmodulates an error code (see Annex I) if the kill or access password was unreadable prior to the recommissioning. Note that portions or banks of Tag memory, if factory set and locked, may not be unlockable regardless of recommissioning. Note also that an Interrogator may subsequently re-lock any memory banks that have been unlocked by recommissioning.

**Table 6.16. XPC\_W1 LSBs and a Tag's recommissioned status**

LSBs of XPC Word	Tag Status	Notes
000 <sub>2</sub>	1. The tag has not been recommissioned	1. The tag's XI bit is deasserted
001 <sub>2</sub>	1. Block permalocking has been disabled, and any blocks of User memory that were previously block permalocked are no longer block permalocked 2. The <i>BlockPermalock</i> command has been disabled	1. The lock bits are the sole determinant of the User memory bank's lock status (6.3.4.11.3.5)
010 <sub>2</sub>	1. The User memory bank has been rendered inaccessible 2. A tag whose XPC_W1 LSBs are 010 acts identically to one whose XPC_W1 LSBs are 011	1. The tag deasserts its UMI bit 2. User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled
011 <sub>2</sub>	1. The User memory bank has been rendered inaccessible 2. A tag whose XPC_W1 LSBs are 011 acts identically to one whose XPC_W1 LSBs are 010	1. The tag deasserts its UMI bit 2. User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled
100 <sub>2</sub>	1. The EPC, TID, and User memory banks have been unlocked 2. The kill and access passwords have been write-unlocked 3. The <u>Read/Lock</u> status of the kill and access passwords shall be the same state as prior to the recommissioning	1. If the tag supports block permalocking then the <i>BlockPermalock</i> command remains enabled 2. Any blocks of User memory that were previously block permalocked remain block permalocked, and vice versa 3. Portions or banks of tag memory, if factory set and locked, may not be unlockable regardless of recommissioning 4. If an Interrogator attempts to read the tag's kill or access passwords the tag responds by loadmodulating an error code (see Annex I) if the kill or access password was unreadable prior to the recommissioning 5. The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning
101 <sub>2</sub>	1. Block permalocking has been disabled, and any blocks of User memory that were previously block permalocked are no longer block permalocked 2. The <i>BlockPermalock</i> command has been disabled 3. The EPC, TID, and User memory banks have been unlocked 4. The kill and access passwords have been write-unlocked 5. The <u>Read/Lock</u> status of the kill and access passwords shall be the same state as prior to the recommissioning	1. The lock bits are the sole determinant of the User memory bank's lock status (see 6.3.4.11.3.5) 2. Portions or banks of tag memory, if factory set and locked, may not be unlockable regardless of recommissioning 3. If an Interrogator attempts to read the tag's kill or access passwords the tag responds by loadmodulating an error code (see Annex I) if the kill or access password was unreadable prior to the recommissioning 4. The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning

LSBs of XPC Word	Tag Status	Notes
110 <sub>2</sub>	<ol style="list-style-type: none"> <li>1. The EPC and TID memory banks have been unlocked</li> <li>2. The kill and access passwords have been write-unlocked</li> <li>3. The <u>Read/Lock</u> status of the kill and access passwords shall be the same state as prior to the recommissioning</li> <li>4. The User memory bank has been rendered inaccessible</li> <li>5. A tag whose XPC_W1 LSBs are 110<sub>2</sub> acts identically to one whose XPC_W1 LSBs are 111<sub>2</sub></li> </ol>	<ol style="list-style-type: none"> <li>1. Portions or banks of tag memory, if factory set and locked, may not be unlockable regardless of recommissioning</li> <li>2. If an Interrogator attempts to read the tag's kill or access passwords the tag responds by loadmodulating an error code (see Annex I) if the kill or access password was unreadable prior to the recommissioning</li> <li>3. The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning</li> <li>4. The tag deasserts its UMI bit</li> <li>5. User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled</li> </ol>
111 <sub>2</sub>	<ol style="list-style-type: none"> <li>1. The EPC and TID memory banks have been unlocked</li> <li>2. The kill and access passwords have been write-unlocked</li> <li>3. The <u>Read/Lock</u> status of the kill and access passwords shall be the same state as prior to the recommissioning</li> <li>4. The User memory bank has been rendered inaccessible</li> <li>5. A tag whose XPC_W1 LSBs are 111<sub>2</sub> acts identically to one whose XPC_W1 LSBs are 110<sub>2</sub></li> </ol>	<ol style="list-style-type: none"> <li>1. Portions or banks of tag memory, if factory set and locked, may not be unlockable regardless of recommissioning</li> <li>2. If an Interrogator attempts to read the tag's kill or access passwords the tag responds by loadmodulating an error code (see Annex I) if the kill or access password was unreadable prior to the recommissioning</li> <li>3. The kill and/or access passwords, as well as one or more memory blocks and/or banks, may be changed and/or relocked after recommissioning</li> <li>4. The tag deasserts its UMI bit</li> <li>5. User memory is inaccessible, so block permalocking and the <i>BlockPermalock</i> command are disabled</li> </ol>

#### 6.3.4.1.3 TID Memory

TID memory locations 00<sub>h</sub> to 07<sub>h</sub> shall contain one of two ISO/IEC 15963 class-identifier values — either E0<sub>h</sub> or E2<sub>h</sub>. TID memory locations above 07<sub>h</sub> shall be defined according to the registration authority defined by this class-identifier value and shall contain, at a minimum, sufficient identifying information for an Interrogator to uniquely identify the custom commands and/or optional features that a Tag supports. TID memory may also contain Tag- and vendor-specific data (for example, a Tag serial number).

Note: The Tag manufacturer assigns the class-identifier value (i.e. E0<sub>h</sub> or E2<sub>h</sub>), for which ISO/IEC 15963 defines the registration authorities. The class-identifier does not specify the Application. If the class identifier is E0<sub>h</sub>, TID memory locations 08<sub>h</sub> to 0F<sub>h</sub> contain an 8-bit manufacturer identifier, TID memory locations 10<sub>h</sub> to 3F<sub>h</sub> contain a 48-bit Tag serial number (assigned by the Tag manufacturer), the composite 64-bit Tag ID (i.e. TID memory 00<sub>h</sub> to 3F<sub>h</sub>) is unique among all classes of Tags defined in ISO/IEC 15693, and TID memory is permalocked at the time of manufacture. If the class identifier is E2<sub>h</sub>, TID memory location 08<sub>h</sub> to 13<sub>h</sub> contain a 12-bit Tag mask-designer identifier (obtainable from the registration authority), TID memory locations 14<sub>h</sub> to 1F<sub>h</sub> contain a vendor-defined 12-bit Tag model number, and the usage of TID memory locations above 1F<sub>h</sub> is defined in the EPCglobal™ Tag Data Standards.

#### 6.3.4.1.4 User Memory

A Tag may contain User memory. User memory allows user-specific data storage.

If a Tag's User memory has not yet been programmed, then the 5 LSBs of the first byte of User memory (i.e. memory addresses 03<sub>h</sub> to 07<sub>h</sub>) shall have the default value 00000<sub>2</sub>.

During recommissioning an Interrogator may instruct a Tag to render its User memory inaccessible, causing the entire memory bank to become unreadable, unwriteable, and unselectable. A Tag with inaccessible User memory shall function as though its User memory bank no longer exists.

#### 6.3.4.1.4.1 User memory for an EPCglobal™ Application

If User memory is included on a Tag, then its encoding shall be as defined in the EPCglobal™ Tag Data Standards.

#### 6.3.4.1.4.2 User memory for a non-EPCglobal™ Application

If User memory is included on a Tag, then its encoding shall be as defined in ISO/IEC 15961 and ISO/IEC 15962.

### 6.3.4.2 ASK and PJM Method: Sessions and inventoried flags

Note: An interrogator chooses one of two sessions and inventories tags within that session. The interrogator and associated tag population operate in one and only one session for the duration of an inventory round (defined above). For each session, tags maintain a corresponding inventoried flag. Sessions allow tags to keep track of their inventoried status separately for each of two possible time-interleaved inventory processes, using an independent inventoried flag for each process.

Interrogators shall support and tags shall provide two mandatory sessions (denoted S0 and S2) and two optional sessions (denoted S1 and S3). Tags shall participate in one and only one session during an inventory round. Two or more interrogators can use sessions to independently inventory a common tag population. The session's concept is illustrated in Figure 6.26.

Tags shall maintain an independent **inventoried** flag for each session. Each of the two mandatory **inventoried** flags has two values, denoted *A* and *B*. Tags participating in an inventory round in one session shall neither use nor modify the **inventoried** flag for a different session. The **inventoried** flags are the only resource a tag provides separately and independently to a given session; all other tag resources are shared among sessions.

Sessions allow tags to associate a separate and independent **inventoried** flag to each of several Interrogators.

After singulating a tag an interrogator may issue a command that causes the tag to set its **inventoried** flag for that session ( $A \rightarrow B$ ).

Only tags with an inventoried Flag of *A* respond in an inventory round.

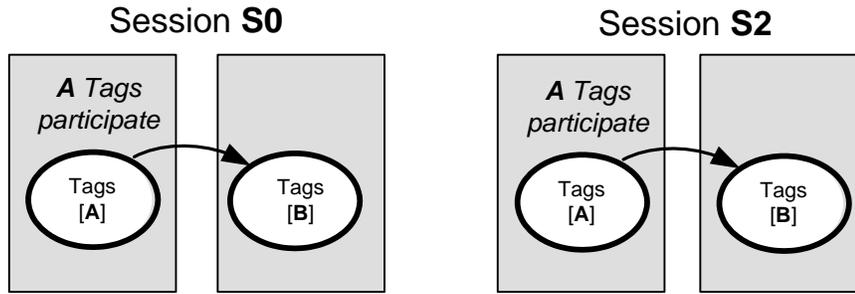
Note: A change from *B* to *A* can be achieved with a select command. (Reset)

The following example illustrates how two interrogators can use sessions and **inventoried** flags to independently and completely inventory a common tag population, on a time-interleaved basis:

- Interrogator #1 powers-on, then
  - It initiates an inventory round during which it singulates *A* tags in session S0 to *B*,
  - It powers off.
- Interrogator #2 powers-on, then
  - It initiates an inventory round during which it singulates *A* tags in session S2 to *B*,
  - It powers off.

This process repeats until interrogator #1 has placed all tags in session S0 into *B*. Similarly, interrogator #2 places all tags in session S2 into *B*.

Note: Tags maintain a separate inventoried flag for each of two mandatory sessions.



**Figure 6.26 Session diagram**

A tag **inventoried** flags shall have the persistence times shown in Table 6.17. A tag shall power-up with its **inventoried** flags set as follows:

- The S0 **inventoried** flag shall be set to *A*.
- The S1 **inventoried** flag (optional) shall be set to either *A* or *B*, depending on its stored value, unless the flag was set longer in the past than its persistence time, in which case the tag shall power-up with its S1 inventoried flag set to *A*. Because the S1 **inventoried** flag (optional) is not automatically refreshed, it may revert from *B* to *A* even when the tag is powered.
- The S2 **inventoried** flag shall be set to either *A* or *B*, depending on its stored value, unless the tag has lost power for a time greater than its persistence time, in which case the tag shall power-up with the S2 inventoried flag set to *A*.
- The S3 **inventoried** flag (optional) shall be set to either *A* or *B*, depending on its stored value, unless the tag has lost power for a time greater than its persistence time, in which case the tag shall power-up with its S3 inventoried flag (optional) set to *A*.

A tag shall be capable of setting any of its inventoried flags to either *A* or *B* in 2ms or less, regardless of the initial flag value. A tag shall refresh its S2 and S3 flags while powered, meaning that every time a tag loses power its S2 and S3 inventoried flags shall have the persistence times shown in Table 6.17.

**Table 6.17. Tag flags and persistence values**

Flag	Required persistence
S0 <b>inventoried</b> flag (mandatory)	Tag energized: Indefinite Tag not energized: None
S1 <b>inventoried</b> flag (optional)	Tag energized: Nominal temperature range: 500ms < persistence < 5s Extended temperature range: Not specified Tag not energized: Nominal temperature range: 500ms < persistence < 5s Extended temperature range: Not specified
S2 <b>inventoried</b> flag (mandatory)	Tag energized: Indefinite Tag not energized: Nominal temperature range: 2s < persistence Extended temperature range: Not specified
S3 <b>inventoried</b> flag (optional)	Tag energized: Indefinite Tag not energized: Nominal temperature range: 2s < persistence Extended temperature range: Not specified
Selected (SL) flag	Tag energized: Indefinite Tag not energized: Nominal temperature range: 2s < persistence Extended temperature range: Not specified

Note 1: Nominal temperature range is  $-25\text{ }^{\circ}\text{C}$  to  $+40\text{ }^{\circ}\text{C}$  (see extended temperature range)

Note 2: Extended temperature range is  $-40\text{ }^{\circ}\text{C}$  to  $+65\text{ }^{\circ}\text{C}$  (see nominal temperature range)

#### 6.3.4.3 ASK and PJM Method: Selected flag

Tags shall implement a selected flag, **SL**, which an interrogator may assert or deassert using a *Select* command. The *Select* parameter in the *BeginRound* command allows an interrogator to inventory tags that have **SL** either asserted or deasserted (i.e. **SL** or **~SL**), or to ignore the flag and inventory tags regardless of their **SL** value. **SL** is not associated with any particular session; **SL** applies to all tags regardless of session.

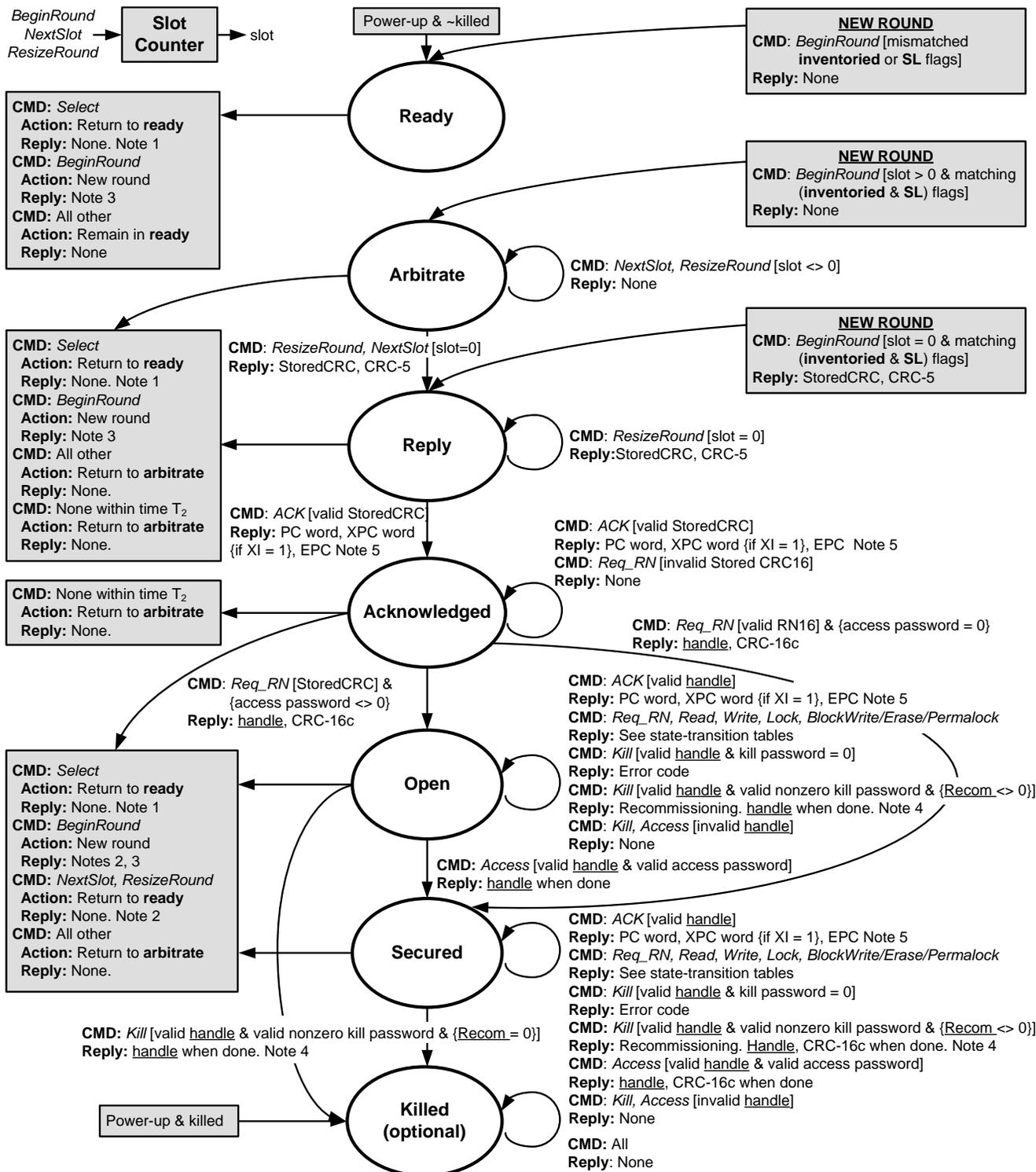
A tag's **SL** flag shall have the persistence times shown in Table 6.17. A tag shall power-up with its **SL** flag either asserted or deasserted, depending on the stored value, unless the tag has lost power for a time greater than the **SL** persistence time, in which case the tag shall power-up with its **SL** flag deasserted (set to **~SL**). A tag shall be capable of asserting or deasserting its **SL** flag in 2ms or less, regardless of the initial flag value. A tag shall refresh its **SL** flag when powered, meaning that every time a tag loses power its **SL** flag shall have the persistence times shown in Table 6.17.

#### 6.3.4.4 ASK and PJM Method: Tag states and slot counter

Tags shall implement the states and the slot counter shown in Figure 6.27. Annex B shows the associated state transition tables; Annex C shows the associated command-response tables.

Note: The tag collision arbitration protocol uses the popular and industry proven slotted Aloha algorithm. Slotted ALOHA is a refinement over the pure Aloha. It requires that time be segmented into slots that are separated by slot markers. The probability that a tag chooses a timeslot is equal to  $1/n$  (where "n" is the number of timeslots and equals the maximum value of the described Q-bit random number). This algorithm ensures that each tag, if selected, replies once and only once during an inventory round.

Example: for  $Q=3$  the maximum number of slots is 8,  $Q$  is a 3 bit random number, ranging from 0-7, in each slot the probability of a specific tag reply is  $1/8$ .



**NOTES**

1. *Select*: Assert/deassert **SL** or set **inventoried** to *A* or *B*.
2. *BeginRound*: *A* → *B* if the new session matches the prior session; otherwise no change to the **inventoried** flag.  
*NextSlot/ResizeRound*: *A* → *B* if the session matches the prior *BeginRound*; otherwise, the command is invalid and ignored by the Tag.
3. *BeginRound* starts a new round and may change the session. Tags may go to **ready**, **arbitrate**, or **reply**.
4. If a Tag does not implement **Recom** Bits LSB and 2SB then the Tag treats nonzero **Recom** bits as though **Recom** = 0. Two part reply see *Kill*.
5. In certain cases a PacketCRC should be added if required. See Table 6.15 for the definition of the cases.

Figure 6.27 Tag state diagram

#### 6.3.4.4.1 Ready state

Tags shall implement a **ready** state. **Ready** can be viewed as a “holding state” for energized tags that are neither killed nor currently participating in an inventory round. Upon entering an energizing RF field a tag that is not killed shall enter **ready**. The tag shall remain in **ready** until it receives a *BeginRound* command (see 6.3.4.11.2.1) whose inventoried parameter (for the session specified in the *BeginRound*) and *Select* parameter match its current flag values. Matching tags shall draw a Q-bit number from their RNG (see 6.3.4.5), load this number into their slot counter, and transition to the **arbitrate** state if the number is non-zero, or to the **reply** state if the number is zero. If a tag in any state except **killed** loses power, it shall return to **ready** upon regaining power.

#### 6.3.4.4.2 Arbitrate state

Tags shall implement an **arbitrate** state. **Arbitrate** can be viewed as a “holding state” for tags that are participating in the current inventory round but whose slot counters (see 6.3.4.4.8) hold non-zero values. A tag in **arbitrate** shall decrement its slot counter every time it receives a *NextSlot* command (see 6.3.4.11.2.3) whose session parameter matches the session for the inventory round currently in progress, and it shall transition to the **reply** state when its slot counter reaches 0000<sub>h</sub>. Tags that return to **arbitrate** (for example, from the **reply** state) with a slot value of 0000<sub>h</sub> shall decrement their slot counter from 0000<sub>h</sub> to 7FFF<sub>h</sub> at the next *NextSlot* (with matching session) and, because their slot value is now non-zero, shall remain in **arbitrate**.

#### 6.3.4.4.3 Reply state

Tags shall implement a **reply** state. Upon entering **reply** a tag shall loadmodulate the StoredCRC. If the tag receives a valid acknowledgement (*ACK*), it shall transition to the **acknowledged** state, loadmodulates its PC word, XPC word (if XI is asserted), EPC and PacketCRC if required (see Table 6.15). If the tag fails to receive an *ACK*, or receives an invalid *ACK*, it shall return to **arbitrate**. Tag and interrogator shall meet all timing requirements specified in Table 6.14.

#### 6.3.4.4.4 Acknowledged state

Tags shall implement an **acknowledged** state. A Tag in the **acknowledged** state may transition to any state except **killed**, depending on the received command (see Figure 6.27). If a Tag in the **acknowledged** state receives a valid *ACK* containing the correct StoredCRC it shall loadmodulate the reply shown in Table 6.15. If a Tag in the **acknowledged** state fails to receive a valid command within time  $T_{2(max)}$ , it shall return to **arbitrate**. Tag and interrogator shall meet all timing requirements specified in Table 6.14.

#### 6.3.4.4.5 Open state

Tags shall implement an **open** state. A tag in the **acknowledged** state whose access password is non-zero shall transition to **open** upon receiving a *Req\_RN* command, loadmodulating an RN16 (denoted handle) that the interrogator shall use in subsequent commands and that the tag shall use in subsequent replies. Tags in the **open** state can execute all access commands except *Lock* and *BlockPermalock*. A tag in **open** may transition to any state except **acknowledged**, depending on the received command (see Figure 6.27). If a Tag in the **open** state receives a valid *ACK* containing the correct handle, it shall loadmodulate the reply shown in Table 6.15. Tag and interrogator shall meet all timing requirements specified in Table 6.14 except  $T_{2(max)}$ ; in the **open** state the maximum delay between tag response and interrogator transmission is unrestricted.

#### 6.3.4.4.6 Secured state

Tags shall implement a **secured** state. A tag in the **acknowledged** state whose access password is zero shall transition to **secured** upon receiving a *Req\_RN* command, loadmodulate an RN16 (denoted handle) that the interrogator shall use in subsequent commands and the tag shall use in subsequent replies. A tag in the **open** state whose access password is non-zero shall transition to **secured** upon receiving a valid *Access* command, maintaining the same handle that it previously transmitted when it transitioned from the **acknowledged** state to the **open** state. Tags in the **secured** state can execute all access commands. A tag in **secured** state may transition to any state except **open** or **acknowledged**, depending on the received command (see Figure 6.27). If a Tag in the **secured** state receives a valid *ACK* containing the correct handle, it shall loadmodulate the reply

shown in Table 6.15. Tag and interrogator shall meet all timing requirements specified in Table 6.14 except  $T_{2(max)}$ ; in the **secured** state the maximum delay between tag response and interrogator transmission is unrestricted.

#### 6.3.4.4.7 Killed state (optional)

Tags may implement a **killed** state. If the killed state is supported, a tag in either the **open** or **secured** states shall enter the **killed** state upon receiving a valid *Kill* command (see 6.3.4.11.3.4) with a valid non-zero kill password, zero-valued Recom bits (see 6.3.4.10), and valid handle. If a Tag does not implement Recom bits LSB and 2SB, it then treats nonzero Recom bits as though Recom=0. *Kill* permanently disables a tag. Upon entering the **killed** state a tag shall notify the interrogator that the kill operation was successful, and shall not respond to an interrogator thereafter. Killed tags shall remain in the **killed** state under all circumstances, and shall immediately enter the killed state upon subsequent power-ups. Killing a tag is not reversible.

#### 6.3.4.4.8 Slot counter

Tags shall implement a 15-bit slot counter. Upon receiving a *BeginRound* or *ResizeRound* command, a tag shall preload a value between 0 and  $2^Q-1$ , drawn from the tag RNG (see 6.3.4.5), into its slot counter. Q is an integer in the range (0 - 15). A *BeginRound* specifies Q; a *ResizeRound* may modify Q from the prior *BeginRound* or prior *ResizeRound*. Upon receiving a *NextSlot* command a tag shall decrement its slot counter. The slot counter shall be capable of continuous counting: meaning that, after the slot counter decrements to 0000<sub>h</sub>, it shall roll over and begin counting down from 7FFF<sub>h</sub>. See also Annex J.

Tags shall generate 16-bit random or pseudo-random numbers (RN16) using the RNG, and shall have the ability to extract Q-bit subsets from an RN16 to preload the tag slot counter.

Tags in the **arbitrate** state decrement their slot counter every time they receive a *NextSlot* with matching session, transitioning to the **reply** state and loadmodulating the StoredCRC when their slot counter reaches 0000<sub>h</sub>. Tags whose slot counter reached 0000<sub>h</sub>, who replied, and who were not acknowledged (including Tags that responded to an original *BeginRound* and were not acknowledged) shall return to **arbitrate** with a slot value of 0000<sub>h</sub> and shall decrement this slot value from 0000<sub>h</sub> to 7FFF<sub>h</sub> at the next *NextSlot*. The slot counter shall be capable of continuous counting, meaning that, after the slot counter rolls over to 7FFF<sub>h</sub> it begins counting down again, thereby effectively preventing subsequent replies until the Tag loads a new random value into its slot counter. See also Annex J.

Note: An interrogator commands tags in an inventory round to load a Q-bit random (or pseudo-random) number into their slot counter; the interrogator may also command tags to decrement their slot counter. Tags reply when the value in their slot counter (i.e. their slot – see below) is zero. Q is an integer in the range (0 - 15); the corresponding tag response probabilities range from  $2^0 = 1$  to  $2^{-15} = 0,000031$ .

#### 6.3.4.5 ASK and PJM Method: Tag random or pseudo-random number generator

Tags shall implement a random or pseudo-random number generator (RNG). The RNG shall meet the following randomness criteria independent of the strength of the energizing field, the R=>T link rate, and the data stored in the tag (including but not limited to the StoredPC, XPC word or words, EPC, and StoredCRC). The RNG is used to produce pseudo-random numbers for RN16, Handle, and Slot Counter. Tags that support cover-coding shall have the ability to temporarily store at least two RN16s while powered, to use, for example, as a handle and a 16-bit cover-code during password transactions (see Figure 6.31 or Figure 6.33).

- **Probability of a single RN16:** The probability that any RN16 drawn from the RNG has value  $RN16 = j$ , for any  $j$ , shall be bounded by  $0.8/2^{16} < P(RN16 = j) < 1.25/2^{16}$ .
- **Probability of simultaneously identical sequences:** For a tag population of up to ten thousand tags, the probability that any two or more tags simultaneously generate the same sequence of RN16s shall be less than 0.1%, regardless of when the tags are energized.
- **Probability of predicting an RN16:** An RN16 drawn from a tag RNG 10ms after the end of  $T_r$  in Figure 6.3 shall not be predictable with a probability greater than 0,025% if the outcomes of prior draws from the RNG, performed under identical conditions, are known.

### 6.3.4.6 ASK and PJM Method: Managing tag populations

Interrogators manage tag populations using the three basic operations shown in Figure 6.28. Each of these operations comprises one or more commands. The operations are defined as follows:

- Select (ASK and PJM Method):** The process by which an interrogator selects a tag population for inventory and access. Interrogators may use one or more Select commands to select a particular tag population prior to inventory.
- Inventory ASK Method:** The process by which an interrogator identifies tags. An interrogator begins an inventory round by transmitting a *BeginRound* command in one of two sessions. One or more tags may reply. The interrogator detects a single tag reply and requests the PC word XPC\_W1 if XI is set and optionally XPC\_W2, EPC, and PacketCRC is required (see Table 6.15) from the tag. An inventory round operates in one and only one session at a time. Annex E shows an example of an interrogator inventorying and accessing a single tag.

**Inventory PJM Method:** The process by which an interrogator identifies tags. An interrogator begins an inventory round by transmitting a *BeginRound* command in one of two sessions. One or more tags may reply. The interrogator detects one or more tag replies and requests the PC, EPC, and CRC-16 from the tag or tags. An inventory round operates in one and only one session at a time. Annex E shows an example of an interrogator inventorying and accessing a single tag or multiple tags.

- Access ASK Method:** The process by which an interrogator transacts with (reads from or writes to) individual tags. An individual tag must be uniquely identified prior to access. Access comprises multiple commands, some of which may employ one-time-pad based cover-coding of the R=>T link.

**Access PJM Method:** The process by which an interrogator transacts with (reads from or writes to) individual or multiple tags. Tags must be uniquely identified prior to access. Access comprises multiple commands, some of which may employ one-time, pad based cover-coding of the R=>T link.

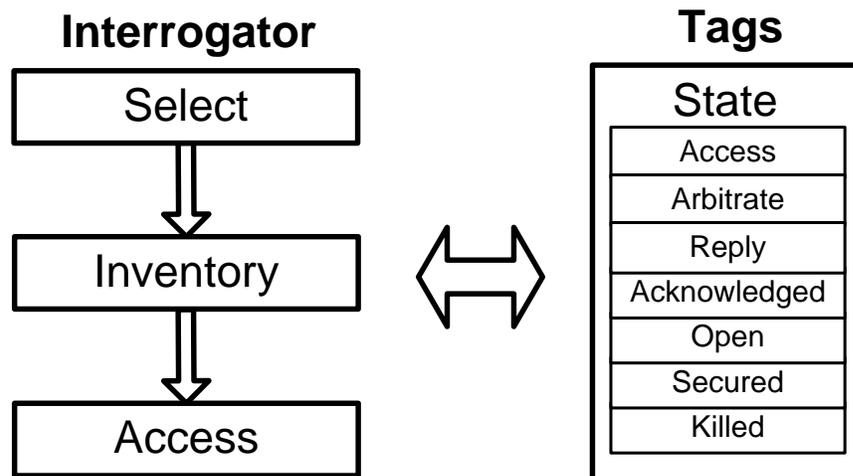


Figure 6.28 Interrogator/tag operations and tag state

### 6.3.4.7 ASK and PJM Method: Selecting tag populations

The selection process employs a single command, *Select*, which an interrogator may apply successively to select a particular tag population based on user-defined criteria, enabling union (U), intersection ( $\cap$ ), and negation ( $\neg$ ) based tag partitioning. Interrogators perform U and  $\cap$  operations by issuing successive *Select* commands. *Select* can assert or deassert a tag **SL** flag, or it can set a tag **inventoried** flag to either *A* or *B* in any one of the four sessions. *Select* contains the parameters Target, Action, MemBank, Pointer, Length, Mask, and Truncate.

- Target and Action indicate whether and how a *Select* modifies a tag **SL** or **inventoried** flag, and in the case of the **inventoried** flag, for which session. A *Select* that modifies **SL** shall not modify **inventoried**, and vice versa.

- MemBank specifies if the mask applies to EPC, TID, or User memory. *Select* commands apply to a single memory bank. Successive *Selects* may apply to different memory banks.
- Pointerlength, Pointer, Length, and Mask: Pointer and Length describe a memory range. Pointer references a memory bit address (Pointer is not restricted to word boundaries) and has a length of 8, 16, 24 or 32 bits as defined in Pointerlength. Length is 8-bits, allowing Masks from 0 to 255 bits in length. Mask, which is Length bits long, contains a bit string that a tag compares against the memory location that begins at Pointer and ends Length bits later.
- Truncate specifies whether a tag loadmodulates its entire EPC, or only that portion of the EPC immediately following Mask. Truncated EPCs are always followed by the Tag's StoredCRC (see Table 6.15). A tag does not recompute its StoredCRC for a truncated reply.

By issuing multiple identical *Select* commands an interrogator can asymptotically single out all tags matching the selection criteria even though tags may undergo short-term RF fades.

A *BeginRound* command uses **inventoried** and **SL** to decide which tags participate in an inventory. Interrogators may inventory and access **SL** or **~SL** tags, or they may choose to ignore the **SL** flag entirely.

#### 6.3.4.8 ASK and PJM Method: Inventorying tag populations

The inventory command set includes *BeginRound*, *ResizeRound*, *NextSlot*, *ACK*, and *NAK*. *BeginRound* initiates an inventory round and decides which tags participate in the round (where “inventory round” is defined as the period between successive *BeginRound* commands).

*BeginRound* contains a slot-count parameter  $Q$ . Upon receiving a *BeginRound* participating tags shall pick a random value in the range (0 to  $2^Q-1$ ), inclusive, and shall load this value into their slot counter. Tags that pick a zero shall transition to the **reply** state and reply immediately. Tags that pick a non-zero value shall transition to the **arbitrate** state and await a *ResizeRound* or a *NextSlot* command. Assuming that a single tag replies, the query-response algorithm proceeds as follows:

- a. The tag loadmodulates the StoredCRC as it enters **reply**,
- b. The interrogator acknowledges the tag with an *ACK* containing this same StoredCRC,
- c. The acknowledged tag transitions to the **acknowledged** state, loadmodulating the reply shown in Table 6.15,
- d. The interrogator issues a *ResizeRound* or *NextSlot*, causing the identified tag to set its **inventoried** flag ( $A \rightarrow B$ ) and transition to **ready**, and potentially causing another tag to initiate a query/response dialog with the interrogator, starting in step (a), above.

If the tag fails to receive the *ACK* in step (b) within time  $T_2$  (see Figure 6.24 and Figure 6.27), or receives the *ACK* with an erroneous StoredCRC, it shall return to **arbitrate**.

If multiple tags reply in step (a) but the interrogator, by detecting and resolving collisions at the waveform level, can resolve a StoredCRC from one of the tags, the interrogator can *ACK* the resolved tag. Unresolved tags receive erroneous StoredCRC s and return to **arbitrate** without loadmodulating the reply shown in Table 6.15.

If the interrogator sends a valid *ACK* (i.e. an *ACK* containing the correct StoredCRC) to the tag in the **acknowledged** state, the tag shall loadmodulate the reply shown in Table 6.15.

At any point the interrogator may issue a *NAK*, in response to which all tags in the inventory round shall return to **arbitrate** without changing their **inventoried** flag.

After issuing a *BeginRound* to initiate an inventory round, the interrogator typically issues one or more *ResizeRound* or *NextSlot* commands. *ResizeRound* repeats a previous *BeginRound* and may increment or decrement  $Q$ , but does not introduce new tags into the round. *NextSlot* repeats a previous *BeginRound* without changing any parameters and without introducing new tags into the round. An inventory round can contain multiple *ResizeRound* or *NextSlot* commands. At some point the interrogator shall issue a new *BeginRound*, thereby starting a new inventory round.

Tags in the **arbitrate** or **reply** states that receive a *ResizeRound* first adjust  $Q$  (increment, decrement, or leave unchanged), then pick a random value in the range  $(0 \text{ to } 2^Q - 1)$ , inclusive, and load this value into their slot counter. Tags that pick zero shall transition to the **reply** state and reply immediately. Tags that pick a non-zero value shall transition to the **arbitrate** state and await a *ResizeRound* or a *NextSlot* command.

Tags in the **arbitrate** state decrement their slot counter every time they receive a *NextSlot*, transitioning to the **reply** state and loadmodulate the StoredCRC when their slot counter reaches  $0000_h$ . Tags whose slot counter reached  $0000_h$ , who replied, and who were not acknowledged (including tags that responded to the original *BeginRound* and were not acknowledged), shall return to **arbitrate** with a slot value of  $0000_h$  and shall decrement this slot value from  $0000_h$  to  $7FFF_h$  at the next *NextSlot*, thereby effectively preventing subsequent replies until the tag loads a new random value into its slot counter. Tags shall reply at least once in  $2^Q - 1$  *NextSlot* commands.

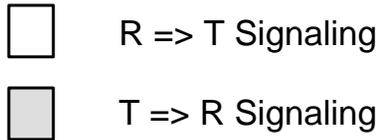
Annex D describes an exemplary interrogator algorithm for choosing  $Q$ .

The scenario outlined above assumed a single interrogator operating in a single session. However, as described in 6.3.4.2, an interrogator can inventory a tag population in one of two sessions. Furthermore, as described in 6.3.4.11.2, the *BeginRound*, *ResizeRound*, and *NextSlot* commands, each contain a session parameter. How a tag responds to these commands varies with the command, session parameter, and tag state, as follows:

- *BeginRound*: A *BeginRound* command starts an inventory round and chooses the session for the round. Tags in any state except **killed** shall execute a *BeginRound*, starting a new round in the specified session and transitioning to **ready**, **arbitrate**, or **reply**, as appropriate (see Figure 6.27).
  - If a tag in the **acknowledged**, **open**, or **secured** states receives a *BeginRound* whose session parameter matches the prior session it shall set its **inventoried** flag ( $A \rightarrow B$ ) for the session before it evaluates whether to transition to **ready**, **arbitrate**, or **reply**.
  - If a tag in the **acknowledged**, **open**, or **secured** states receives a *BeginRound* whose session parameter does not match the prior session it shall leave its **inventoried** flag for the prior session unchanged as it evaluates whether to transition to **ready**, **arbitrate**, or **reply**.
- *ResizeRound*, *NextSlot*: Tags in any state except **ready** or **killed** shall execute a *ResizeRound* or *NextSlot* command if, and only if, the session parameter in the command matches the session parameter in the *BeginRound* that started the round. Tags shall ignore a *ResizeRound* or *NextSlot* with mismatched session.
  - If a tag in the **acknowledged**, **open**, or **secured** states receives a *ResizeRound* or *NextSlot* whose session parameter matches the session parameter in the prior *BeginRound*, it shall set its **inventoried** flag ( $A \rightarrow B$ ) for the current session then transition to **ready**.

To illustrate an inventory operation, consider a specific example: Assume a population of 64 powered tags in the **ready** state. An interrogator first issues a *Select* to select a subpopulation of tags. Assume that 16 tags match the selection criteria. Further assume that 12 of the 16 selected tags have their **inventoried** flag set to  $A$  in session  $S_0$ . The interrogator issues a *BeginRound* specifying ( $SL, Q=4, S_0$ ). Each of the 12 tags picks a random number in the range  $(0 - 15)$  and loads the value into its slot counter. Tags that pick a zero respond immediately. The *BeginRound* has 3 possible outcomes:

- 1) **No tags reply**: The interrogator may issue another *BeginRound*, or it may issue a *ResizeRound* or *NextSlot*.
- 2) **One tag replies** (see Figure 6.29): The tag transitions to the **reply** state and loadmodulates the StoredCRC. The interrogator acknowledges the tag by sending an *ACK*. If the tag receives the *ACK* with a correct StoredCRC it loadmodulates the reply shown in Table 6.15 and transitions to the **acknowledged** state. The diagram in Figure 6.29 assumes that  $XI$  is deasserted. If the tag receives the *ACK* with an incorrect StoredCRC, it transitions to **arbitrate**. Assuming a successful *ACK*, the interrogator may either access the acknowledged tag or issue a *ResizeRound* or *NextSlot* to set the tag **inventoried** flag from  $A \rightarrow B$  and send the tag to **ready** (a *BeginRound* with matching prior-round session parameter shall also set the **inventoried** flag from  $A \rightarrow B$ ).



<u>Symbol</u>	<u>Description</u>
P	Preamble (R=>T or T=> R)
FS	Frame-Sync



Note 1: In certain cases a PacketCRC shall be added if required. See Table 6.15 for the definition of the cases.

**Figure 6.29 One tag reply**

- 3) **Multiple tags reply:** The interrogator observes a loadmodulated waveform comprising multiple StoredCRC s. It may try to resolve the collision and issue an *ACK*; not resolve the collision and issue a *ResizeRound*, *NextSlot*, or *NAK*; or quickly identify the collision and issue a *ResizeRound* or *NextSlot* before the collided tags have finished loadmodulation. In the latter case the collided tags, not observing a valid reply within  $T_2$  (see Figure 6.24), shall return to **arbitrate** and await the next *BeginRound* or *ResizeRound* command.

**PJM Method:** Interrogators may receive multiple tag replies on multiple channels in response to parameters in the *BeginRound*, *NextSlot* or *ResizeRound* command. It is possible that such an interrogator may receive (without any clashing error) up to eight tags per *BeginRound*, *NextSlot* or *ResizeRound* command. However, with a correctly set Q, compared with the unknown tag population, the average reception rate for an interrogator equipped with eight reply channels would be as follows, per *BeginRound*, *NextSlot* or *ResizeRound* command:

- three channels with one tag in each (therefore three tags correctly received, for example, tag 1 on channel A, tag 2 on channel B and tag 3 on channel E),
- one channel with two tags clashing,
- one channel with three tags clashing, and
- three empty channels.

Channel selection is determined by the StoredCRC (see clause 6.3.3.1.3.11) and the RN16 is produced by the RNG (see clause 6.3.4.5).

If three tags are correctly received the interrogator can acknowledge all three tags by sending a single *ACK-PJM*, see 6.3.4.11.2.4. In the current example, the *ACK-PJM* includes the three tag StoredCRC s that have just been received. At the completion of the *ACK-PJM*, if a tag has received a correct StoredCRC it loadmodulates its PC, EPC and PacketCRC if required (see Table 6.15) using the same reply channel used to respond to the previous *BeginRound*, *NextSlot* or *ResizeRound* command. The tag then transitions to the **acknowledged** state. In this case the three tags shall simultaneously reply their PC, EPC and PacketCRC if required (see Table 6.15) each on a different channel (tag 1 on channel A, tag 2 on channel B and tag 3 on channel E). If required, the interrogator can correlate the tag StoredCRCs to the EPC codes, because each tag uses the same channel for replies to the *BeginRound*, *NextSlot* or *ResizeRound* commands and the subsequent *ACK-PJM*.

If a tag receives the *ACK-PJM* where all StoredCRCs are incorrect, it transitions to **arbitrate**.

Assuming a successful *ACK-PJM* the interrogator may either access the acknowledged tags or issue a *ResizeRound* or *NextSlot* to set the tag's **inventoried** flags from  $A \rightarrow B$  and send the tags to **ready** (a *BeginRound* with prior-round session parameter shall also set the **inventoried** flags from  $A \rightarrow B$ ).

The tags referred to above are the types that are equipped to receive PJM commands.

#### 6.3.4.9 ASK and PJM Method: Accessing individual tags

After acknowledging a tag, an interrogator may choose to access it. The access command set comprises *Req\_RN*, *Read*, *Write*, *Kill*, *Lock*, *Access*, *BlockWrite*, *BlockErase* and *BlockPermalock*. Tags execute *Req\_RN* from the **acknowledged**, **open**, or **secured** states. Tags execute *Read*, *Write*, *Kill*, *Access*, *BlockWrite*, and *BlockErase* from the **open** or **secured** states. Tags execute *Lock* only from the **secured** state.

An interrogator accesses a tag in the **acknowledged** state as follows:

Step 1. The interrogator issues a *Req\_RN* to the acknowledged tag.

Step 2. The tag generates and stores an RN16 (denoted handle), loadmodulates the handle, and transitions to the open state if its access password is non-zero, or transitions to the secured state if its access password is zero. The interrogator may now issue further access commands.

All access commands issued to a tag in the **open** or **secured** states include the tag handle as a parameter in the command. When in either of these two states, tags shall verify that the handle is correct prior to executing an access command, and shall ignore access commands with an incorrect handle. The handle value is fixed for the entire duration of an access sequence.

Tags in the **open** state can execute all access commands except *Lock* and *BlockPermalock*. Tags in the **secured** state can execute all access commands. A tag response to an access command includes, at a minimum, the tag handle; the response may include other information as well (for example, the result of a *Read* operation).

An interrogator may issue an *ACK* to a tag in the **open** or **secured** states, causing the tag to loadmodulate the reply shown in Table 6.15

Interrogator and tag can communicate indefinitely in the **open** or **secured** states. The interrogator may terminate the communications at any time by issuing a *BeginRound*, *ResizeRound*, *NextSlot*, or a *NAK*. The tag response to a *BeginRound*, *ResizeRound*, or *NextSlot* is described in 6.3.4.8. A *NAK* causes all tags in the inventory round to return to **arbitrate** without changing their **inventoried** flag.

The *Write*, *Kill*, and *Access* commands send 16-bit words (either data or half-passwords) from interrogator to tag. These commands could optionally use one-time, pad-based link cover-coding to obscure the word being transmitted as follows:

Step 1. The interrogator issues a *Req\_RN*, to which the tag responds by loadmodulating a new RN16. The interrogator then generates a 16-bit cover-coded text string comprising a bit-wise EXOR of the 16-bit word to be transmitted with this new RN16, both MSB first, and issues the command with this cover-coded text string as a parameter.

Step 2. The tag decrypts the received cover-coded text string by performing a bit-wise EXOR of the received 16-bit cover-coded text string with the original RN16.

An interrogator shall not use handle for cover-coding purposes.

An interrogator shall not re-use an RN16 for cover-coding, if it is used. If an interrogator reissues a command that contained cover-coded data, then the interrogator shall reissue the command unchanged. If the interrogator changes the data and uses cover-coding, then it shall first issue a *Req\_RN* to obtain a new RN16 and shall use this new RN16 for cover-coding.

If no RN16 is requested the following 16 bit words (either data or half-passwords) shall be transmitted in plain text without cover-coding. The interrogator shall decide at the beginning of an access sequence whether to use cover-coding or not. If a tag does not support cover-coding it shall respond with 0000<sub>h</sub> to each *Req\_RN* command which requests a RN16 for cover-coding.

**Table 6.18. Access commands and tag states in which they are permitted**

Command	State			Remark
	Acknowledged	Open	Secured	
<i>Req_RN</i>	Permitted	Permitted	Permitted	-
<i>Read</i>	-	Permitted	Permitted	-
<i>Write</i>	-	Permitted	Permitted	Optional prior <i>Req_RN</i>
<i>Kill</i>	-	Permitted	Permitted	Optional prior <i>Req_RN</i>
<i>Lock</i>	-	-	Permitted	
<i>Access</i>	-	Permitted	Permitted	Optional command: Optional prior <i>Req_RN</i>
<i>BlockWrite</i>	-	Permitted	Permitted	Optional command
<i>BlockErase</i>	-	Permitted	Permitted	Optional command
<i>BlockPermalock</i>	-	-	Permitted	Optional command

The *BlockWrite* command (see Table 6.48) communicates multiple 16-bit words from interrogator to tag. Unlike *Write*, *BlockWrite* does not use link cover-coding.

A Tag responds to a command that writes to or erases memory (*i.e. Write, Kill, Lock, BlockWrite, BlockErase, and BlockPermalock* with Read/Lock=1 (see 6.3.4.11.3.9)) by loadmodulating its handle, indicating that the operation was successful, or by loadmodulating an error code (see Annex I), indicating that the operation was unsuccessful. The Tag reply uses the preamble shown in Figure 6.11, Figure 6.15, and Figure 6.19, as appropriate. See 6.3.4.11.3 for detailed descriptions of a Tag's reply to each particular access command.

Issuing an access password to a Tag is a multi-step procedure described in 6.3.4.11.3.6 and outlined in Figure 6.33.

Tag memory may be unlocked or locked. The lock status may be changeable or permalocked (*i.e. permanently unlocked or permanently locked*). Recommissioning the Tag may change the lock status, even if the memory was previously permalocked. An Interrogator may write to unlocked memory from either the **open** or **secured** states. An Interrogator may write to locked memory that is not permalocked from the **secured** state only. See 6.3.4.10, 6.3.4.11.3.5, 6.3.4.11.3.9, Table 6.43, and Table 6.53 for a detailed description of memory lock, permalock, recommissioning, and the Tag state required to modify memory.

Killing a tag is a multi-step procedure, described in 6.3.4.11.3.4 and outlined in Figure 6.31.

Issuing an access password to a tag is a multi-step procedure, described in 6.3.4.11.3.6 and outlined in Figure 6.33.

Interrogator and tag shall transmit all strings MSB first.

Interrogators shall not power-off while a tag is in the **reply**, **acknowledged**, **open** or **secured** states. Interrogators should end their dialog with a tag before powering off, leaving the tag in either the **ready** or **arbitrate** state.

PJM Method: Interrogators can communicate to and receive replies from multiple tags simultaneously.

Note: This is specific to PJM multi-channel method. For *Kill* and *Access* commands the interrogator shall communicate with only one tag at a time. However for *Req\_RN, Read, Write, Lock, BlockWrite, BlockErase* and *BlockPermalock* commands the interrogator can extend the RN field to include multiple StoredCRCs or handles, see 6.3.4.11, such that the interrogator can communicate with multiple tags at a time. A tag shall reply to such commands using the same channel that was used for its reply to the previous *BeginRound, NextSlot* or *ResizeRound* command.

#### 6.3.4.10 Killing (optional) or recommissioning a Tag

Killing or recommissioning a Tag is a multi-step procedure, described in 6.3.4.11.3.4 and shown in Figure 6.31, in which an Interrogator sends two successive *Kill* commands to a Tag. The first *Kill* command contains the first half of the kill password, and the second *Kill* command contains the second half. Each *Kill* command also contains 3 RFU/Recom bits. In the first *Kill* command these bits are RFU and zero valued; in the second *Kill* command they are called recommissioning (or Recom) bits and may be nonzero valued. The procedures for killing or recommissioning a Tag are identical, except that the recommissioning bits in the second *Kill* command are zero when killing a Tag “dead”, and are nonzero when recommissioning it. Regardless of the intended operation, a Tag shall not kill or re-commission itself without first receiving the correct kill password by the procedure shown in Figure 6.31.

If a Tag does not implement Recom bits LSB and 2SB, then it shall ignore these recommissioning bits and treat them as though they were zero; If the Tag receives a properly formatted *Kill* command sequence with the correct kill password and all three Recom bits set to zero and it does not support this command as it is optional it shall interpret it in the same way as with 3SB of the Recom bits is set to one. The remainder of this section 6.3.4.10 assumes that a Tag implements Recom bits LSB and 2SB.

Upon receiving a properly formatted *Kill* command sequence with the correct kill password and one or more non-zero recommissioning bits, a Tag shall assert those LSBs of its XPC\_W1 word that are asserted in the recommissioning bits (for example, if a Tag receives 100<sub>2</sub> for the recommissioning bits, then it asserts the 3SB of its XPC\_W1 word). The XPC LSBs shall be one-time-writeable, meaning that they cannot be deasserted after they are asserted. By storing the Tag’s recommissioned status in the XPC\_W1 word, a subsequent Interrogator can know how the Tag was re-commissioned (see Table 6.16). A Tag shall perform the following operations based on the recommissioning bit values it receives (see also 6.3.4.1.2.5):

- **Asserted LSB:** The Tag shall disable block permalocking and unlock any blocks of User memory that were previously permalocked. The Tag shall disable support for the the BlockPermalock command. If the Tag did not implement block permalocking prior to recommissioning, then block permalocking shall remain disabled. The lock status of User memory shall be determined solely by the lock bits (see 6.3.4.11.3.5).
- **Asserted 2SB:** The Tag shall render its User memory inaccessible; causing the entire memory bank to become unreadable, unwriteable, and unselectable (*i.e.* the Tag functions as though its User memory bank no longer exists). The 2SB has precedence over the LSB — if both are asserted then User memory is inaccessible.
- **Asserted 3SB:** The Tag shall unlock its EPC, TID, and User memory banks, regardless of whether these banks were locked or permalocked. Portions of User memory that were block permalocked shall remain block permalocked, and vice versa; unless the LSB is also asserted, the Tag shall unlock its permalocked blocks. The Tag shall write-unlock its kill and access passwords The Read/Lock state of the kill and access passwords shall be the same as prior to the recommissioning.. If an Interrogator subsequently attempts to read the Tag’s kill or access passwords the Tag shall loadmodulate an error code (see Annex I) if the kill or access password was unreadable prior to the recommissioning. A Tag that receives a subsequent Lock command with pwd-read/write=0 shall lock or permalock the indicated password(s) in the writeable state.

A Tag shall not execute any of the above recommissioning operations more than once. As one example, a Tag does not allow any of its memory banks to be unlocked more than once by recommissioning.

A Tag may execute multiple *Kill* command sequences, depending on the nature and ordering of the operations specified in these command sequences. Specifically:

- A Tag that is killed shall not allow a subsequent recommissioning.
- A previously recommissioned Tag that receives a properly formatted *Kill* command sequence with the correct kill password and Recom=000<sub>2</sub> shall be killed.
- A Tag that receives a properly formatted *Kill* command sequence with the correct kill password, but with redundant recommissioning bits (for example, the recommissioning bits are 100<sub>2</sub> but the Tag’s XPC\_W1 word already contains 100<sub>2</sub>), shall not perform the requested recommissioning operation again. Instead, the Tag shall merely verify that its XPC\_W1 word contains the asserted values and respond affirmatively to the Interrogator. An Interrogator may choose to send a Kill sequence with redundant recommissioning bits if, for example, it had sent a prior Kill sequence but did not observe an affirmative response from the Tag.
- A Tag that receives a properly formatted *Kill* command sequence with the correct kill password and with newly asserted recommissioning bits shall perform the recommissioning operation indicated by the newly asserted

bits, responding affirmatively to the Interrogator when done. A Tag shall compute the logical OR of the LSBs of its current XPC\_W1 word and the recommissioning bits, and shall store the resulting value into its XPC\_W1 word. For example, if a Tag whose XPC\_W1 LSBs are  $100_2$  receives a *Kill* command sequence whose recommissioning bits are  $010_2$ , the Tag renders its User memory bank inaccessible and stores  $110_2$  into its XPC\_W1 LSBs.

An Interrogator may subsequently re-lock any of the memory banks or passwords that have been unlocked by recommissioning.

Portions or entire banks of Tag memory, if factory locked, may not be unlocked by recommissioning. An Interrogator may determine whether a Tag supports Recom bits LSB and 2SB, and if so which (if any) memory portions are not recommissionable, by reading the Tag's TID memory prior to recommissioning.

A Tag that does not implement a kill password, or a Tag whose kill password is zero, shall not execute a kill or recommissioning operation. Such a Tag shall respond with an error code (as shown in Figure 6.23) to a Kill command sequence regardless of the RFU or recommissioning bit settings.

A Tag shall accept all eight possible combinations of the three recommissioning bits if Recom bits LSB and 2SB are supported, executing those portions that it is capable of executing, ignoring those it cannot, and responding affirmatively to the Interrogator when done. Several examples of operations that a Tag may be incapable or partially capable of executing are as follows:

- a Tag that does not have User memory cannot unlock it,
- a Tag that does not implement an Access password cannot unlock it for writing, and
- a Tag in which a portion of TID memory is factory locked cannot unlock this portion.

#### 6.3.4.11 ASK and PJM Method: Interrogator commands and tag replies

Interrogator-to-tag commands shall have the format shown in Table 6.19.

- *ACK* has a 2-bit command code  $01_2$ .
- *BeginRound*, *NextSlot*, *ResizeRound*, and *Select* have 4-bit command codes (see Table 6.19).
- All other base commands have 8-bit command codes beginning with  $110_2$ .
- All extended commands have 16-bit command codes beginning with  $1110_2$ .
- *NextSlot*, *ACK*, *BeginRound*, *ResizeRound*, and *NAK* have the unique command lengths shown in Table 6.19. No other commands shall have these lengths. If a tag receives one of these commands with an incorrect length it shall ignore the command.
- *BeginRound*, *ResizeRound*, and *NextSlot* contain a session parameter.
- *BeginRound* is protected by a CRC-5, shown in Table 6.22 and detailed in Annex F.
- *Select*, *Req\_RN*, *Read*, *Write*, *Kill*, *Lock*, *Access*, *BlockWrite*, *BlockErase* and *BlockPermalock* are protected by a CRC-16c, defined in 6.3.4.1.4 and detailed in Annex F.
- R=>T commands begin with either a preamble or a frame-sync, as described in 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8. The command code lengths specified in Table 6.19 do not include the preamble or frame-sync.
- Tags shall ignore invalid commands. In general, "invalid" means a command that (1) is incorrect given the current tag state, (2) is unsupported by the tag, (3) has incorrect parameters, (4) has a CRC error, (5) specifies an incorrect session, or (6) is in any other way not recognized or not executable by the tag. The actual definition of "invalid" is state-specific and defined, for each tag state, in Annex B and Annex C.

**Table 6.19. Commands**

Command	Code	Length (bits)	Mandatory?	Protection
<i>ACK (ASK Method)</i>	01	18	Yes	Unique command length
<i>ACK (PJM Method)</i>	01	>= 23	Yes	CRC-5
<i>NextSlot</i>	0000	6	Yes	Unique command length
<i>BeginRound</i>	1000	22	Yes	Unique command length and a CRC-5
<i>ResizeRound</i>	1001	9	Yes	Unique command length
<i>Select</i>	1010	> 46	Yes	CRC-16c
<i>Reserved for future use</i>	1011	–	–	–
<i>NAK</i>	11000000	8	Yes	Unique command length
<i>Req_RN</i>	11000001	40	Yes	CRC-16c
<i>Read</i>	11000010	> 59	Yes	CRC-16c
<i>Write</i>	11000011	> 60	Yes	CRC-16c
<i>Kill</i> <sup>1</sup>	11000100	59	Yes	CRC-16c
<i>Lock</i>	11000101	60	Yes	CRC-16c
<i>Access</i>	11000110	56	No	CRC-16c
<i>BlockWrite</i>	11000111	> 59	No	CRC-16c
<i>BlockErase</i>	11001000	> 59	No	CRC-16c
<i>BlockPermalock</i>	11001001	> 68	No	CRC-16c
<i>Reserved for future use</i>	11001010 ... 11011111	–	–	–
<i>Reserved for custom commands</i>	11100000 00000000 ... 11100000 11111111	–	–	Manufacturer specified
<i>Reserved for proprietary commands</i>	11100001 00000000 ... 11100001 11111111	–	–	Manufacturer specified
<i>Reserved for future use</i>	11100010 00000000 ... 11101111 11111111	–	–	–

Note 1: For the *Kill* command only the support of asserted Recom bit 3SB is mandatory.  
See section 6.3.4.10

PJM Method: The *ACK-PJM*, *Req\_RN*, *Read*, *Write*, *Lock*, *BlockWrite*, *BlockErase* and *BlockPermalock* commands can include multiple StoredCRCs or handles (within the RN field). PJM Method *Kill* and *Access* commands only include a single RN16 or handle.

Therefore for PJM Method the *ACK-PJM*, *Req\_RN*, *Read*, *Write*, *Lock*, *BlockWrite*, *BlockErase* and *BlockPermalock* commands may have different lengths than those shown in Table 6.19. The length of these commands shown in the Table only include a single StoredCRC or handle. This length (or minimum length) shall be increased by 16 bits for each additional StoredCRC or handle.

R=>T commands begin with either a flag (preamble or a frame-sync), as described in 6.3.3.1.3.10. The command code lengths specified in Table 6.19 do not include the flag.

### 6.3.4.11.1 Select commands

The Select command set comprises a single command: *Select*.

#### 6.3.4.11.1.1 Select (mandatory)

The use of the multiple *Select* commands is optional.

*Select* selects a particular tag population based on user-defined criteria, enabling union (U), intersection ( $\cap$ ), and negation ( $\sim$ ) based tag partitioning. Interrogators perform U and  $\cap$  operations by issuing successive *Select* commands. *Select* can assert or deassert a tag **SL** flag, which applies across all four sessions, or it can set a tag **inventoried** flag to either *A* or *B* in any one of the four sessions.

Interrogators and tags shall implement the *Select* command shown in Table 6.20. Target shall indicate whether the *Select* modifies a tag **SL** or **inventoried** flag, and in the case of the **inventoried** flag, for which session. Action shall elicit the tag response shown in 0. The criteria for determining whether a tag is matching or non-matching are specified in the MemBank, Pointer, Length and Mask fields. Truncate indicates whether a tag transmitted reply shall be truncated to include only those EPC and StoredCRC bits that follow Mask. *Select* passes the following parameters from interrogator to tags:

- Target indicates whether the *Select* command modifies a tag **SL** flag or its **inventoried** flag, and in the case of **inventoried** it further specifies one of four sessions. A *Select* command that modifies **SL** does not modify **inventoried**, and vice versa. Class-1 tags shall ignore *Select* commands whose Target is 101<sub>2</sub>, 110<sub>2</sub>, or 111<sub>2</sub>.
- Action indicates whether matching tags assert or deassert **SL**, or set their **inventoried** flag to *A* or to *B*. Tags conforming to the contents of the MemBank, Pointer, Length, and Mask fields are considered matching. Tags not conforming to the contents of these fields are considered non-matching.
- MemBank specifies whether Mask applies to EPC, TID, or User memory. *Select* commands shall apply to a single memory bank. Successive *Selects* may apply to different banks. MemBank shall not specify Reserved memory; if a tag receives a *Select* specifying MemBank=00<sub>2</sub> it shall ignore the *Select*. MemBank parameter value 00<sub>2</sub> is reserved for future use (RFU).
- PointerLength, Pointer, Length, and Mask: Pointer and Length describe a memory range. Pointer references a memory bit address (Pointer is not restricted to word boundaries) and has a length of 8,16,24 or 32 bits as defined in Pointerlength. Length is 8-bits, allowing Masks from 0 to 255 bits in length. Mask, which is Length bits long, contains a bit string that a tag compares against the memory location that begins at Pointer and ends Length bits later. If Pointer and Length reference a memory location that does not exist on the tag, then the tag shall consider the *Select* to be non-matching. If Length is zero then all tags shall be considered matching, unless Pointer references a memory location that does not exist on the tag, or Truncate=1 and Pointer is outside the EPC specified in the StoredPC, in which case the tag shall consider the *Select* to be non-matching.
- Truncate: If an interrogator asserts Truncate, and if a subsequent *BeginRound* specifies Sel=10 or Sel=11, then a matching tag shall truncate its reply to an *ACK* to that portion of the EPC immediately following Mask, if remainder of EPC length > 0.

Interrogators shall assert Truncate:

- in the last (and only in the last) *Select* that the interrogator issues prior to sending a *BeginRound*,
- only if the *Select* has Target=100<sub>2</sub>, and
- only if Mask ends in the EPC.

These constraints *do not* preclude an interrogator from issuing multiple *Select* commands that target the **SL** and/or **inventoried** flags. They *do* require that an interrogator assert Truncate only in the last *Select*, and only if this last *Select* targets the **SL** flag. Tags shall power-up with Truncate deasserted.

Tags shall decide whether to truncate their loadmodulated EPC on the basis of the most recently received *Select*. If a tag receives a *Select* with Truncate=1 but Target $\neq$ 100<sub>2</sub> the tag shall ignore the *Select*. If a tag receives a *Select* in which Truncate=1 but MemBank $\neq$ 01, the tag shall consider the *Select* to be invalid. If a tag receives a *Select* in which Truncate=1, MemBank=01, but Mask ends outside the EPC specified in the StoredPC, the tag shall consider the *Select* to be not matching.

Mask may end at the last bit of the EPC, in which case a selected tag shall loadmodulate SOF followed by 00000<sub>2</sub> and EOF. Truncated replies never include XPC\_W1 or an XPC\_W2, because Mask must end in the EPC. A recommissioned tag shall not truncate its replies.

A recommissioned tag that receives a *Select* with Truncate=1 shall evaluate the *Select* normally, but when replying to a subsequent *ACK* it shall loadmodulate its PacketPC, XPC\_W1, optionally its XPC\_W2 (if XEB is asserted), an EPC whose length is as specified in the EPC length field in the StoredPC, and its PacketCRC. Interrogators can use a *Select* command to reset all tags in a session to **inventoried** state A, by issuing a *Select* with Action = 000<sub>2</sub> and a Length value of zero.

Because a Tag stores its StoredPC and StoredCRC in EPC memory, a *Select* command may select on them.

Because a Tag computes its PacketPC and PacketCRC dynamically and does not store them in memory, a *Select* command is unable to select on them.

Because a Tag may compute its PC and/or CRC dynamically, its response to a *Select* command whose Pointer, Length, and Mask include the StoredPC or StoredCRC may produce unexpected behavior. Specifically, a Tag's loadmodulated reply may appear to not match Mask even though the Tag's behavior indicates matching, and vice versa. For example, suppose an Interrogator sends a *Select* to match a 00100<sub>2</sub> EPC length field in the StoredPC. Further assume that a Tag matches, but has an asserted XI. The Tag will dynamically increment its EPC length field to 00101<sub>2</sub> when responding to an *ACK*, and will loadmodulate this incremented value in the PacketPC. The Tag was matching, but the loadmodulated EPC length field appears to be non-matching.

Interrogators shall prefix a *Select* with a frame-sync (see 6.3.4.3). The CRC-16c that protects a *Select* is calculated over the first command-code bit to the Truncate bit.

Tags shall not reply to a *Select*.

Note: The interrogator shall not transmit Target 001 and 011.

**Table 6.20. *Select* command**

	Com mand	Target	Action	Mem Bank	Pointer length	Pointer	Length	Mask	Truncate	CRC-16c
# of bits	4	3	3	2	2	8, 16, 24 or 32	8	Variabl e	1	16
Des cription	1010	000: <b>Inventoried</b> (S0) LSB always 0 001: Not Permitted 010: <b>Inventoried</b> (S2) LSB always 0 011: Not Permitted 100: <b>SL</b> 101: RFU 110: RFU 111: RFU	See Table 6.21	00: RFU 01: EPC 10: TID 11: User	Length of pointer 00: 8 bit 01: 16 bit 10: 24 bit 11: 32 bit	Starting Mask address	Mask length (bits)	Mask value	0: Disable truncation 1: Enable truncation	

**Table 6.21. Tag response to Action parameter**

<b>Action</b>	<b>Matching</b>	<b>Non-Matching</b>
000	assert <b>SL</b> or <b>inventoried</b> $\rightarrow A$	deassert <b>SL</b> or <b>inventoried</b> $\rightarrow B$
001	assert <b>SL</b> or <b>inventoried</b> $\rightarrow A$	do nothing
010	do nothing	deassert <b>SL</b> or <b>inventoried</b> $\rightarrow B$
011	negate <b>SL</b> or $(A \rightarrow B, B \rightarrow A)$	do nothing
100	deassert <b>SL</b> or <b>inventoried</b> $\rightarrow B$	assert <b>SL</b> or <b>inventoried</b> $\rightarrow A$
101	deassert <b>SL</b> or <b>inventoried</b> $\rightarrow B$	do nothing
110	do nothing	assert <b>SL</b> or <b>inventoried</b> $\rightarrow A$
111	do nothing	negate <b>SL</b> or $(A \rightarrow B, B \rightarrow A)$

### 6.3.4.11.2 Inventory commands

The inventory command set comprises *BeginRound*, *ResizeRound*, *NextSlot*, *ACK*, and *NAK*.

#### 6.3.4.11.2.1 *BeginRound* (mandatory)

Interrogators and tags shall implement the *BeginRound* command shown in Table 6.22. *BeginRound* initiates and specifies an inventory round. *BeginRound* includes the following fields:

- DR (divide ratio) sets the T=>R link frequency as described in 6.3.3.1.3.9 and Table 6.9,
- M (cycles per symbol) sets the T=>R data rate and modulation format as shown in Table 6.10,
- TRext chooses whether the T=>R preamble is prefixed with a pilot tone as described in 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8,
- Sel chooses which tags respond to the *BeginRound* (see 6.3.4.11.1.1 and 6.3.4.8),
- Session chooses a session for the inventory round (see 6.3.4.8),
- Q sets the number of slots in the round (see 6.3.4.8).

Interrogators shall prefix a *BeginRound* with a preamble.

The CRC-5 that protects a *BeginRound* is calculated over the first command-code bit to the last Q bit. If a tag receives a *BeginRound* with a CRC-5 error, it shall ignore the command.

Upon receiving a *BeginRound*, tags with matching *Select* and *Target* pick a random value in the range (0 to  $2^Q-1$ ), inclusive, and load this value into their slot counter. If a tag, in response to the *BeginRound*, loads its slot counter with zero, then its reply to a *BeginRound* shall be as shown in Table 6.23; otherwise the tag shall remain silent.

A *BeginRound* may initiate an inventory round in a new session, or in the prior session. If a tag in the **acknowledged**, **open**, or **secured** states receives a *BeginRound* whose *session* parameter matches the prior session, it shall set its **inventoried** flag (*A*→*B*) for the session. If a tag in the **acknowledged**, **open**, or **secured** states receives a *BeginRound* whose *session* parameter does not match the prior session it shall leave its **inventoried** flag for the prior session unchanged when beginning the new round.

ASK Method: The tags shall support all DR, M, and TRext parameters specified in Table 6.9 and Table 6.10, respectively.

PJM Method: The tags shall interpret DR, M, and TRext parameters as specified in Table 6.11.

Tags in any state other than **killed** shall execute a *BeginRound* command; tags in the **killed** state shall ignore a *BeginRound*.

**Table 6.22. *BeginRound* command**

	Com mand	DR	M	TRext	Sel	Session	RFU	Q	CRC-5
# of bits	4	1	2	1	2	2	1	4	5
description	1000	0: FL=424KHz (fc/32) 1: FL=847KHz (fc/16)	00: FM0 01: Miller 8 10: Manchester 2 11: Manchester 4	0: No pilot tone 1: Use pilot tone	00: All 01: All 10: ~SL 11: SL	00: S0 01: not permitted 10: S2 11: not permitted	0: A 1: RFU	0–15	

**Table 6.23. Tag reply to a *BeginRound* command**

	<b>Reply</b>	<b>CRC-5</b>
<b># of bits</b>	16	5
<b>description</b>	StoredCRC	

PJM Method: Tags equipped to receive PJM Method shall decode the DR, M, and T<sub>RExt</sub> bits as per Table 6.11.

### 6.3.4.11.2.2 *ResizeRound* (mandatory)

Interrogators and tags shall implement the *ResizeRound* command shown in Table 6.24. *ResizeRound* adjusts *Q* (i.e. the number of slots in an inventory round – see 6.3.4.8) without changing any other round parameters.

*ResizeRound* includes the following fields:

- Session corroborates the session number for the inventory round (see 6.3.4.8). If a tag receives a *ResizeRound* whose session number is different from the session number in the *BeginRound* that initiated the round, it shall ignore the command.
- UpDn determines whether and how the tag adjusts *Q*, as follows:  
 110: Increment *Q* (i.e.  $Q=Q + 1$ )  
 000: No change to *Q*  
 011: Decrement *Q* (i.e.  $Q=Q - 1$ )

If a tag receives a *ResizeRound* with an UpDn value different from those specified above it shall ignore the command. If a tag whose *Q* value is 15 receives a *ResizeRound* with UpDn =110 it shall change UpDn to 000 prior to executing the command; likewise, if a tag whose *Q* value is 0 receives a *ResizeRound* with UpDn=011 it shall change UpDn to 000 prior to executing the command.

Tags shall maintain a running count of the current *Q* value. The initial *Q* value is specified in the *BeginRound* command that started the inventory round; one or more subsequent *ResizeRound* commands may modify *Q*.

A *ResizeRound* shall be prefixed with a frame-sync (see 6.3.4.8).

Upon receiving a *ResizeRound* tags first update *Q*, then pick a random value in the range (0 to  $2^Q-1$ ), inclusive, and load this value into their slot counter. If a tag, in response to the *ResizeRound*, loads its slot counter with zero, then its reply to a *ResizeRound* shall be shown in Table 6.25; otherwise, the tag shall remain silent. Tags shall respond to a *ResizeRound* only if they received a prior *BeginRound*.

Tags in the **acknowledged**, **open**, or **secured** states that receive a *ResizeRound* set their **inventoried** flag ( $A \rightarrow B$ ) for the current session and transition to ready.

**Table 6.24. *ResizeRound* command**

	Command	Session	UpDn
<b># of bits</b>	4	2	3
<b>description</b>	1001	00: S0 01: Not permitted 10: S2 11: Not permitted	110: $Q = Q + 1$ 000: No change to <i>Q</i> 011: $Q = Q - 1$

**Table 6.25. Tag reply to a *ResizeRound* command**

	Reply	CRC-5
<b># of bits</b>	16	5
<b>description</b>	StoredCRC	

### 6.3.4.11.2.3 *NextSlot* (mandatory)

Interrogators and tags shall implement the *NextSlot* command shown in Table 6.26. *NextSlot* instructs tags to decrement their slot counters and, if slot=0 after decrementing, to loadmodulate the StoredCRC+CRC-5 to the interrogator.

*NextSlot* includes the following field.

- Session corroborates the session number for the inventory round (see 6.3.4.8 and Table 6.26). If a tag receives a *NextSlot* whose session number is different from the session number in the *BeginRound* that initiated the round, it shall ignore the command.

A *NextSlot* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

If a tag, in response to the *NextSlot*, decrements its slot counter and the decremented slot value is zero, then its reply to a *NextSlot* shall be as shown in Table 6.27; otherwise the tag shall remain silent. Tags shall respond to a *NextSlot* only if they received a prior *BeginRound*.

Tags in the **acknowledged**, **open**, or **secured** states that receive a *NextSlot* set their **inventoried** flag ( $A \rightarrow B$ ) for the current session and transition to **ready**.

**Table 6.26. *NextSlot* command**

	Command	Session
<b># of bits</b>	4	2
<b>description</b>	0000	00: S0 01: Not permitted 10: S2 11: Not permitted

**Table 6.27. Tag reply to a *NextSlot* command**

	Reply	CRC-5
<b># of bits</b>	16	5
<b>description</b>	StoredCRC	

#### 6.3.4.11.2.4 ACK (mandatory)

Interrogators and tags shall implement the *ACK* command shown in Table 6.28. An interrogator sends an *ACK* to acknowledge a single tag. *ACK* echoes the StoredCRC if the Tag is in the reply or in the acknowledged state or the tag handle if the tag is in the **open** or **secured** state.

An *ACK* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

The tag reply to a successful *ACK* shall be as shown in Table 6.29. As described in 6.3.4.11.1.1, the reply may be truncated. A tag that receives an *ACK* with an incorrect StoredCRC or an incorrect handle (as appropriate) shall return to **arbitrate** without responding, unless the tag is in **ready** or **killed**, in which case it shall ignore the *ACK* and remain in its present state.

**Table 6.28. ACK command**

	Command	RN
<b># of bits</b>	2	16
<b>description</b>	01	Echoed StoredCRC or <u>handle</u>

**Table 6.29. Tag reply to a successful ACK command**

	Reply
<b># of bits</b>	5 to 528
<b>description</b>	See Table 6.15

PJM Method: Refer to the PJM Method part of clauses 6.3.4.8 and 6.3.4.11.

Interrogators can simultaneously receive replies from up to eight tags at a time. This Method allows for these multiply received tags to all be addressed in certain commands by extending the RN field to include multiple StoredCRCs or handles. To acknowledge a tag or tags, this Method uses a *ACK-PJM* shown in Figure 6.24. This command includes one or more echoed StoredCRCs or handles. The selection of StoredCRC or handle shall be as described above for ASK Method.

One or more tags shall reply to a successful *ACK-PJM* as shown in Table 6.29. The tag or tags response to the *ACK-PJM* shall be as described above for ASK Method.

**Table 6.30. ACK-PJM command**

	Command	RN	CRC-5
<b># of bits</b>	2	Number of tags to be acknowledged multiplied by 16	5
<b>description</b>	01	Echoed StoredCRCs or <u>handles</u>	

#### 6.3.4.11.2.5 **NAK (mandatory)**

Interrogators and tags shall implement the *NAK* command shown in Table 6.31. *NAK* shall return all tags to the **arbitrate** state unless they are in **ready** or **killed**, in which case they shall ignore the *NAK* and remain in their current state.

A *NAK* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

Tags shall not reply to a *NAK*.

**Table 6.31. *NAK* command**

	<b>Command</b>
<b># of bits</b>	8
<b>description</b>	11000000

### 6.3.4.11.3 Access commands

The set of access commands comprises *Req\_RN*, *Read*, *Write*, *Kill*, *Lock*, *Access*, *BlockWrite*, and *BlockErase*, and *BlockPermalock*. As described in 6.3.4.9, tags execute *Req\_RN* from the **acknowledged**, **open**, or **secured** states. Tags execute *Read*, *Write*, *BlockWrite*, and *BlockErase* from the **secured** state; if allowed by the lock status of the memory location being accessed, they may also execute these commands from the **open** state. Tags execute *Access* and *Kill* from the **open** or **secured** states. Tags execute *Lock* and *BlockPermalock* only from the **secured** state.

All access commands issued to a tag in the **open** or **secured** states include the tag handle as a parameter in the command. When in either of these two states, tags verify that the handle is correct prior to executing an access command, and ignore access commands with an incorrect handle. The handle value is fixed for the duration of an access sequence.

A tag reply to all access commands that read or write memory (*i.e.* *Read*, *Write*, *Kill*, *Lock*, *BlockWrite*, and *BlockErase*, and *BlockPermalock*) includes a 1-bit header. Header=0 indicates that the operation was successful and the reply is valid; header=1 indicates that the operation was unsuccessful and the reply is an error code.

After an interrogator writes to a Tag's StoredPC or EPC, or changes bits 03<sub>h</sub> to 07<sub>h</sub> of User memory from zero to a nonzero value (or vice versa), or recommissions the Tag, the StoredCRC may be incorrect until the interrogator first powers-down and then re-powers-up its energizing RF field, because the Tag computes its StoredCRC at power-up.

If an Interrogator attempts to write to EPC memory locations 00<sub>h</sub> to 0F<sub>h</sub> (*i.e.* to the StoredCRC) using a *Write*, *BlockWrite*, or *BlockErase* command the Tag shall ignore the command and respond with an error code (see Annex I for error-code definitions and for the reply format).

If an Interrogator attempts to write to EPC memory locations 210<sub>h</sub> to 22F<sub>h</sub> (*i.e.* to the XPC\_W1 or XPC\_W2) of a Class-1 Tag using a *Write*, *BlockWrite*, or *BlockErase* command the Tag shall ignore the command and respond with an error code (see Annex I for error-code definitions and for the reply format).

If an Interrogator attempts to write to a memory bank or password that is permalocked unwriteable, to a memory bank or password that is locked unwriteable and the Tag is not in the **secured** state, or to a memory block that is permalocked, using a *Write*, *BlockWrite*, or *BlockErase* command; or if a portion of the Data in a *Write* command overlaps a permalocked memory block, the Tag shall ignore the command and respond with an error code (see Annex I for error-code definitions and for the reply format).

*Req\_RN*, *Read*, *Write*, *Kill* (only with asserted Recom bit 3SB), and *Lock* are required commands; *Access*, *BlockWrite*, *BlockErase*, and *BlockPermalock* are optional. Tags shall ignore optional access commands that they do not support.

See Annex K for an example of a data-flow exchange during which an interrogator accesses a tag and reads its kill password.

### 6.3.4.11.3.1 Req\_RN (mandatory)

Interrogators and tags shall implement the *Req\_RN* command shown in Table 6.32. *Req\_RN* instructs a tag to loadmodulate a new RN16. Both the interrogator's command, and the tag response, depends on the tag state:

- **Acknowledged** state: When issuing a *Req\_RN* command to a tag in the acknowledged state, an interrogator shall include the tag's StoredCRC as a parameter in the *Req\_RN*. The *Req\_RN* command is protected by a CRC-16c calculated over the command bits and the StoredCRC. If the tag receives the *Req\_RN* with a valid CRC-16c and a valid StoredCRC it shall generate and store an RN16 (denoted handle), loadmodulate this handle and transition to the open or secured state. The choice of ending state depends on the tag access password, as follows:
  - Access password<>0: tag transitions to **open** state.
  - Access password=0: tag transitions to **secured** state.

If the tag receives the *Req\_RN* command with a valid CRC-16c but an invalid StoredCRC it shall ignore the *Req\_RN* and remain in the **acknowledged** state.

- **Open** or **secured** states: When issuing a *Req\_RN* command to a tag in the open or secured states, an interrogator shall include the tag handle as a parameter in the *Req\_RN*. The *Req\_RN* command is protected by a CRC-16c calculated over the command bits and the handle. If the tag receives the *Req\_RN* with a valid CRC-16c and a valid handle, it shall generate and loadmodulate a new RN16. If the tag receives the *Req\_RN* with a valid CRC-16c but an invalid handle, it shall ignore the *Req\_RN*. In either case the tag shall remain in its current state (open or secured, as appropriate).

If an interrogator wishes to ensure that only a single tag is in the acknowledged state, it may issue a *Req\_RN*, causing the tag or tags to each loadmodulate a handle and transition to the open or secured state (as appropriate). The interrogator may then issue an *ACK* with handle as a parameter. Tags that receive an *ACK* with an invalid handle shall return to arbitrate (Note: If a tag receives an *ACK* with an invalid handle, it returns to arbitrate, whereas if it receives an access command with an invalid handle it ignores the command).

The first bit of the transmitted RN16 shall be denoted the MSB; the last bit shall be denoted the LSB.

A *Req\_RN* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

The tag reply to a *Req\_RN* shall be as shown in Table 6.33. The StoredCRC and handle are protected by a CRC-16c.

**Table 6.32. Req\_RN command**

	Command	RN	CRC-16c
<b># of bits</b>	8	16	16
<b>description</b>	11000001	StoredCRC or <u>handle</u>	

**Table 6.33. Tag reply to a Req\_RN command**

	RN	CRC-16c
<b># of bits</b>	16	16
<b>description</b>	New RN16 or <u>handle</u>	

PJM Method: Refer to the PJM Method part of clauses 6.3.4.9 and 6.3.4.11. As for the *ACK-PJM*, see 6.3.4.11.2.4 and Table 6.30, this command can include multiple StoredCRCs or handles in the RN field in order to address multiple tags. Interrogators and tags shall operate (when using this command) as described above for ASK

Method except that multiple tags can be addressed by this command and multiple tags can reply to this command.

### 6.3.4.11.3.2 Read (mandatory)

Interrogators and tags shall implement the *Read* command shown in Table 6.35. *Read* allows an interrogator to read part or all of a tag Reserved, EPC, TID, or User memory. *Read* has the following fields:

- MemBank specifies whether the *Read* accesses Reserved, EPC, TID, or User memory. *Read* commands shall apply to a single memory bank. Successive *Reads* may apply to different banks.
- WordPtrlength, WordPtr specifies the starting word address for the memory read, where words are 16-bits in length. For example, WordPtr=00<sub>h</sub> specifies the first 16-bit memory word, WordPtr=01<sub>h</sub> specifies the second 16-bit memory word, etc. WordPtr has a length of 8,16,24 or 32 bits as defined in WordPtrlength.
- WordCount specifies the number of 16-bit words to be read. If WordCount=00<sub>h</sub> the tag shall loadmodulate the contents of the chosen memory bank starting at WordPtr and ending at the end of the bank, unless MemBank=01<sub>2</sub>, in which case the tag shall loadmodulate the EPC memory contents specified in Table 6.34.

**Table 6.34. Tag loadmodulation when WordCount=00<sub>h</sub> and MemBank=01<sub>2</sub>**

WordPtr Memory Address	Tag Implements XPC_W2?	What the Tag Loadmodulates
Within the StoredCRC, StoredPC, or the EPC specified by bits 10 <sub>h</sub> –14 <sub>h</sub> of the StoredPC	Do not care	EPC memory starting at <u>WordPtr</u> and ending at the EPC length specified by bits 10 <sub>h</sub> –14 <sub>h</sub> of the StoredPC
Within physical EPC memory but above the EPC specified by bits 10 <sub>h</sub> –14 <sub>h</sub> of the StoredPC	No	EPC memory starting at <u>WordPtr</u> and ending at the end of physical EPC memory, including the XPC_W1 if <u>WordPtr</u> is less than or equal to 210 <sub>h</sub> and physical EPC memory extends to or above address 210 <sub>h</sub>
Within physical EPC memory but above the EPC specified by bits 10 <sub>h</sub> –14 <sub>h</sub> of the StoredPC	Yes	EPC memory starting at <u>WordPtr</u> and ending at the end of physical EPC memory, including the XPC_W1 and XPC_W2 if <u>WordPtr</u> is less than or equal to 210 <sub>h</sub> and physical EPC memory extends to or above address 210 <sub>h</sub> includes the XPC_W2 if <u>WordPtr</u> is equal to 220 <sub>h</sub> and physical EPC memory extends to or above address 220 <sub>h</sub>
210 <sub>h</sub> . Above physical EPC memory.	No	XPC_W1
210 <sub>h</sub> . Above physical EPC memory.	Yes	XPC_W1 and XPC_W2
220 <sub>h</sub> . Above physical EPC memory.	No	Error code
220 <sub>h</sub> . Above physical EPC memory.	Yes	XPC_W2
Not 210 <sub>h</sub> or 220 <sub>h</sub> . Above physical EPC memory.	Do not care	Error code

The *Read* command also includes the tag handle and a CRC-16c. The CRC-16c is calculated over the first command-code bit to the last handle bit.

If a tag receives a *Read* with a valid CRC-16c but an invalid handle, it shall ignore the *Read* and remain in its current state (**open** or **secured**, as appropriate).

A *Read* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

If all of the memory words specified in a *Read* exist and none are read-locked, the tag reply to the *Read* shall be as shown in 0. The tag responds by loadmodulating a header (a 0-bit), the requested memory words, and its handle. The reply includes a CRC-16c calculated over the 0-bit, memory words, and handle.

If a one or more of the memory words specified in the *Read* command either do not exist or are read-locked, the tag shall loadmodulate an error code, within time T<sub>1</sub> in Table 6.14, rather than the reply shown in Table 6.36 (see Annex I for error-code definitions and for the reply format).

**Table 6.35. Read command**

	Command	MemBank	WordPtrLength	WordPtr	WordCount	RN	CRC-16c
<b># of bits</b>	8	2	2	8, 16, 24 or 32	8	16	16
<b>description</b>	11000010	00: Reserved 01: EPC 10: TID 11: User	Length of Wordpointer 00: 8 bit 01: 16 bit 10: 24 bit 11: 32 bit	Starting address pointer	Number of words to read	<u>handle</u>	

**Table 6.36. Tag reply to a successful Read command**

	Header	Memory Words	RN	CRC-16c
<b># of bits</b>	1	Variable	16	16
<b>description</b>	0	Data	<u>handle</u>	

PJM Method: Refer to the PJM Method part of clauses 6.3.4.9 and 6.3.4.11. As for the *ACK-PJM*, see 6.3.4.11.2.4 and Table 6.30, this command can include multiple handles in the RN field in order to address multiple tags. Interrogators and tags shall operate (when using this command) as described above for ASK Method except that multiple tags can be addressed by this command and multiple tags can reply to this command.

### 6.3.4.11.3.3 Write (mandatory)

Interrogators and tags shall implement the *Write* command shown in Table 6.37. *Write* allows an interrogator to write a word in a tag Reserved, EPC, TID, or User memory. *Write* has the following fields:

- MemBank specifies whether the *Write* occurs in Reserved, EPC, TID, or User memory.
- WordPtrlength, WordPtr specifies the word address for the memory write, where words are 16-bits in length. For example, WordPtr=00<sub>h</sub> specifies the first 16-bit memory word, WordPtr=01<sub>h</sub> specifies the second 16-bit memory word, etc. WordPtr has a length of 8, 16, 24 or 32 bits as defined in the WordPtrlength.
- Data contains a 16-bit word to be written. Before each and every *Write* the interrogator may optionally first issue a *Req\_RN* command; the tag responds by loadmodulating a new RN16. The interrogator shall cover-code the data by EXORing it with this new RN16 prior to transmission if a *Req\_RN* command was issued.

The *Write* command also includes the tag handle and a CRC-16c. The CRC-16c is calculated over the first command-code bit to the last handle bit. If a tag in the **open** or **secured** states receives a *Write* with a valid CRC-16c but an invalid handle, it shall ignore the *Write* and remain in its current state. If it receives a *Write* where the immediately preceding command was not a *Req\_RN*, it shall interpret the data field as plain data

A *Write* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8). After issuing a *Write* an interrogator shall transmit CW for the lesser of *TREPLY* or 20ms, where *TREPLY* is the time between the interrogator's *Write* command and the tag transmitted reply. The time *TREPLY* shall be a multiple of  $T_1$  typical (see Table 6.14) with a tolerance of  $\pm 2.4\mu\text{s}$  so that  $TREPLY = n \cdot 1024/f_c \pm 32/f_c$ . An interrogator may observe several possible outcomes from a *Write*, depending on the success or failure of the tag memory-write operation:

- **The *Write* succeeds:** After completing the *Write*, a tag shall loadmodulate the reply shown in Table 6.38 and Figure 6.30 comprising a header (a 0-bit), the tag handle, and a CRC-16c calculated over the 0-bit and handle. If the interrogator observes this reply within 20ms then the *Write* completed successfully.
- **The tag encounters an error:** The tag shall loadmodulate an error code during the CW period rather than the reply shown in Figure 6.30 (see Annex I for error-code definitions and for the reply format).
- **The *Write* does not succeed:** If the interrogator does not observe a reply within 20ms then the *Write* did not complete successfully. The interrogator may issue a *Req\_RN* command (containing the tag handle) to verify that the tag is still in the interrogator's field if cover-coding is used, and may reissue the *Write* command regardless the use of cover-coding.

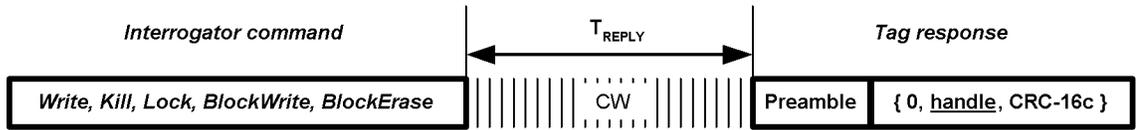
Upon receiving a valid *Write* command, a tag shall write the commanded Data into memory. The tag reply to a successful *Write* shall use the preamble as specified in the *BeginRound* command that initiated the round.

**Table 6.37. Write command**

	Command	MemBank	WordPtrLength	WordPtr	Data	RN	CRC-16c
# of bits	8	2	2	8, 16, 24 or 32	16	16	16
description	11000011	00: Reserved 01: EPC 10: TID 11: User	Length of Wordpointer 00: 8 bit 01: 16 bit 10: 24 bit 11: 32 bit	Address pointer	RN16 ⊗ word to be written or word to be written	<u>handle</u>	

**Table 6.38. Tag reply to a successful Write command**

	Header	RN	CRC-16c
# of bits	1	16	16
description	0	<u>handle</u>	



**Figure 6.30 Successful Write sequence**

PJM Method: Refer to the PJM Method part of clauses 6.3.4.9 and 6.3.4.11. As for the *ACK-PJM*, see 6.3.4.11.2.4 and Table 6.30, this command can include multiple handles in the RN field in order to address multiple tags. Interrogators and tags shall operate (when using this command) as described above for ASK Method except that multiple tags can be addressed by this command and multiple tags can reply to this command.

#### 6.3.4.11.3.4 **Kill** (only support of asserted Recom bit 3SB is **mandatory**)

ASK Method and PJM Method: Interrogators and tags shall implement the *Kill* command (only support of asserted Recom bit 3SB is mandatory) shown in Table 6.39 and Figure 6.31. *Kill* allows an interrogator to permanently disable a tag. *Kill* also allows an Interrogator to recommitment Tags.

To kill or recommitment a tag, an interrogator shall follow the multi-step procedure outlined in Figure 6.31. Briefly, an interrogator issues two *Kill* commands, the first containing the 16 MSBs of the tag kill password optionally EXORed with an RN16, and the second containing the 16 LSBs of the tag kill password optionally EXORed with a different RN16. Each EXOR operation shall be performed MSB first (*i.e.* the MSB of each half-password shall be EXORed with the MSB of its respective RN16). Just prior to issuing each *Kill* command the interrogator first issues a *Req\_RN* to obtain a new RN16 if cover-coding is used, otherwise no *Req\_RN* command shall be issued.

Tags shall incorporate the necessary logic to successively accept two 16-bit sub-portions of a 32-bit kill password. Interrogators shall not intersperse commands other than *Req\_RN* between the two successive *Kill* commands. If a tag, after receiving a first *Kill*, receives any command other than *Req\_RN* before the second *Kill*, it shall return to **arbitrate**, unless the intervening command is a *BeginRound*, in which case the tag shall execute the *BeginRound* (setting its **inventoried** flag if the session parameter in the *BeginRound* matches the prior session).

*Kill* contains 3 RFU/Recom bits. In the first *Kill* command these bits are RFU. Interrogators shall set the 3 RFU bits to 000<sub>2</sub> when communicating with Class-1 Tags, and Class-1 Tags shall ignore those bits. Higher-functionality Tags may use the 3 RFU bits to expand the functionality of the *Kill* command. As described in 6.3.4.10 in the second *Kill* command, the 3 RFU bits are called *recommitment* (or Recom) bits and may be nonzero. The procedures for killing or recommitment a Tag are identical, except that the recommitment bits in the second *Kill* command are zero when killing a Tag (optional) and are nonzero when recommitment (support of Recom bit 3SB is mandatory) a tag. Regardless of the intended operation, a Tag does not kill or recommitment itself without first receiving the correct kill password by the procedure shown in 6.3.4.10.

If a Tag does not implement Recom bits LSB and 2SB (see 6.3.4.10), then the Tag ignores the LSB and 2SB recommitment bits and treats them as though they were zero. If the Tag receives a properly formatted *Kill* command sequence with the correct kill password and all 3 Recom bits set to zero, and the Tag does not support the second *Kill* command with all 3 Recom bits set to zero - because it is optional, the Tag shall interpret this *Kill* command sequence in the same way as if the 3SB of the Recom bits is set to one. If the Tag does not support killing, then the Tag recommitment itself regardless of the values of the recommitment bits if recommitment is permitted.

A tag whose kill password is zero, does not execute a kill or a recommitment operation; if such a tag receives a *Kill* command, it ignores the command and loadmodulates an error code (see Figure 6.31).

The tag reply to the first *Kill* command shall be as shown in Table 6.41. The reply shall use the TRext value specified in the *BeginRound* command that initiated the round.

After issuing the second *Kill* command, an interrogator shall transmit CW for the lesser of  $T_{\text{REPLY}}$  or 20ms, where  $T_{\text{REPLY}}$  is the time between the interrogator's second *Kill* command and the tag's transmitted reply. The time  $T_{\text{REPLY}}$  shall be a multiple of  $T_1$  typical (see Table 6.14) with a tolerance of  $\pm 2.4\mu\text{s}$  so that  $T_{\text{REPLY}} = n \cdot 1024/f_c \pm 32/f_c$ . An interrogator may observe several possible outcomes from a *Kill* command sequence, depending on the success or failure of the tag kill or recommitment operation:

- **The *Kill* or recommitment succeeds:** After completing the operation the tag shall loadmodulate the reply shown in Table 6.42 and Figure 6.31. comprising a header (a 0-bit), the tag handle, and a CRC-16c calculated over the 0-bit and handle. If the Interrogator observes this reply within 20ms then the operation completed successfully. If the Tag is killed, then immediately after this reply the Tag shall render itself silent and shall not respond to an Interrogator thereafter.
- **The tag encounters an error:** The tag shall loadmodulate an error code during the CW period rather than the reply shown in Table 6.42 (see Annex I for error-code definitions and for the reply format).
- **The *Kill* or recommitment does not succeed:** If the interrogator does not observe a reply within 20ms then the operation did not complete successfully. The interrogator may issue a *Req\_RN* command (containing the tag handle) to verify that the tag is still in the interrogator's field if cover-coding is used, and may reinitiate the multi-step kill procedure outlined in Figure 6.31 regardless the use of cover-coding.

A *Kill* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

Upon receiving a valid *Kill* command sequence a tag shall render itself killed or recommissioned as appropriate. The tag reply to the second *Kill* command shall use the preamble as specified in the *BeginRound* command that initiated the round.

**Table 6.39. First *Kill* command**

	Command	Password	RFU/Recom	RN	CRC-16c
<b># of bits</b>	8	16	3	16	16
<b>description</b>	11000100	(½ kill password) ⊗ RN16 or plain	000	<u>handle</u>	

**Table 6.40. Second *Kill* command**

	Command	Password	RFU/Recom	RN	CRC-16c
<b># of bits</b>	8	16	3	16	16
<b>description</b>	11000100	(½ kill password) ⊗ RN16 or plain	Recommissioning bits (see 6.3.4.10)	<u>handle</u>	

**Table 6.41. Tag reply to the first *Kill* command**

	RN	CRC-16c
<b># of bits</b>	16	16
<b>description</b>	<u>handle</u>	

**Table 6.42. Tag reply to a successful *Kill* procedure**

	Header	RN	CRC-16c
<b># of bits</b>	1	16	16
<b>description</b>	<u>0</u>	<u>handle</u>	

**NOTES**

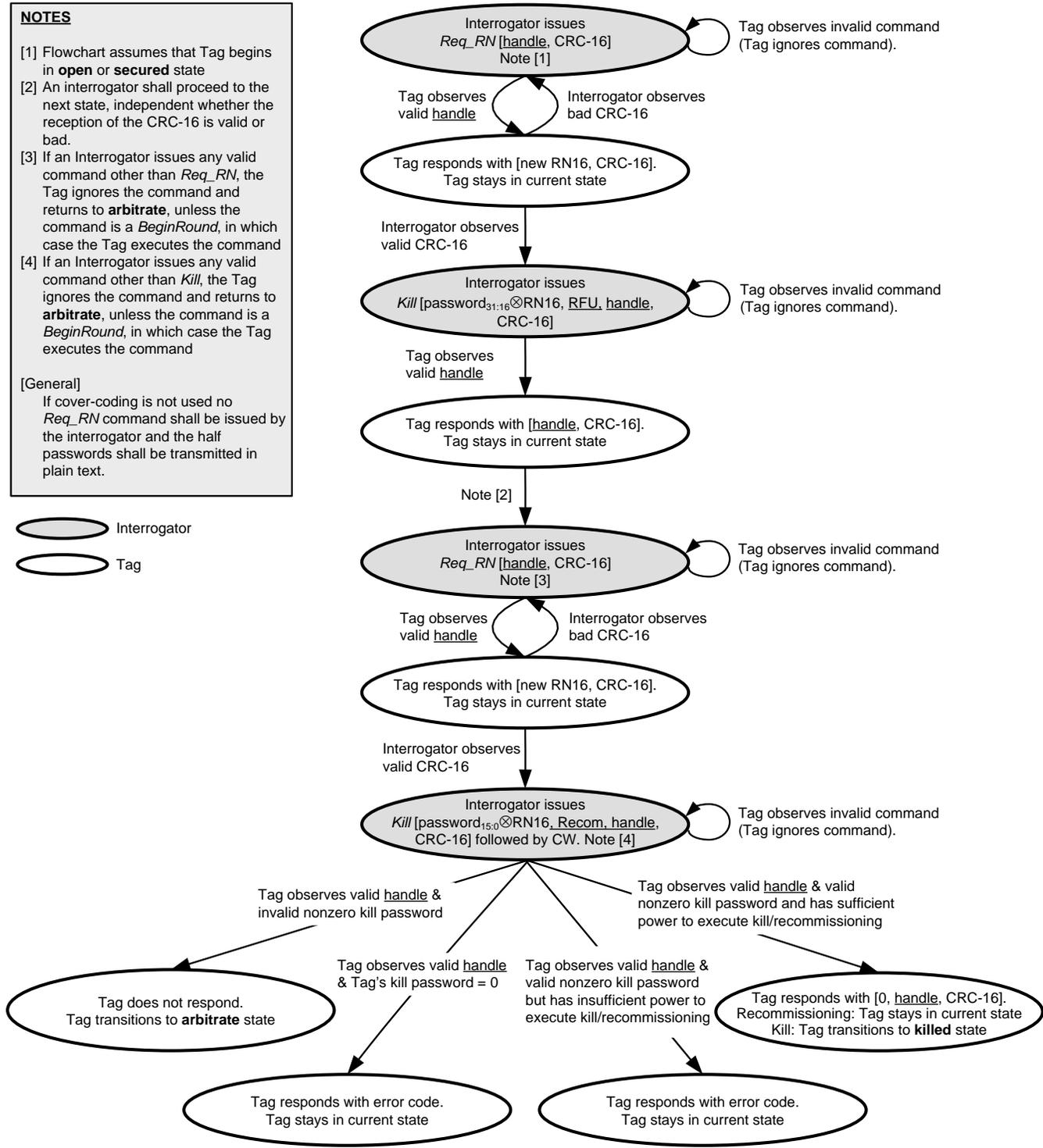
[1] Flowchart assumes that Tag begins in **open** or **secured** state

[2] An interrogator shall proceed to the next state, independent whether the reception of the CRC-16 is valid or bad.

[3] If an Interrogator issues any valid command other than *Req\_RN*, the Tag ignores the command and returns to **arbitrate**, unless the command is a *BeginRound*, in which case the Tag executes the command

[4] If an Interrogator issues any valid command other than *Kill*, the Tag ignores the command and returns to **arbitrate**, unless the command is a *BeginRound*, in which case the Tag executes the command

[General]  
If cover-coding is not used no *Req\_RN* command shall be issued by the interrogator and the half passwords shall be transmitted in plain text.



**Figure 6.31 Kill procedure**

### 6.3.4.11.3.5 Lock (mandatory)

Interrogators and tags shall implement the *Lock* command shown in Table 6.43 and Figure 6.32. Only tags in the **secured** state shall execute a *Lock* command. *Lock* allows an interrogator to:

- Lock individual passwords, thereby preventing or allowing subsequent reads and writes of that password,
- Lock individual memory banks, thereby preventing or allowing subsequent writes to that bank, and
- Permalock (make permanently unchangeable) the lock status for a password or memory bank.

*Lock* contains a 20-bit payload defined as follows:

- The first 10 payload bits are Mask bits. A tag shall interpret these bit values as follows:
  - Mask=0: Ignore the associated Action field and retain the current lock setting
  - Mask=1: Implement the associated Action field and overwrite the current lock setting
- The last 10 payload bits are Action bits. A tag shall interpret these bit values as follows:
  - Action=0: Deassert lock for the associated memory location
  - Action=1: Assert lock or permalock for the associated memory location

The functionality of the various Action fields is described in Table 6.45.

The payload of a *Lock* command shall always be 20 bits in length. If an interrogator issues a *Lock* command whose Mask and Action fields attempt to change the lock status of a nonexistent memory bank or nonexistent password, the tag shall ignore the entire *Lock* command and instead loadmodulate an error code (see Annex I).

The *Lock* command differs from the optional *BlockPermalock* command in that *Lock* reversibly or permanently locks a password or an entire EPC, TID, or User memory bank in a writeable or unwriteable state, whereas *BlockPermalock* permanently locks blocks of User memory in an unwriteable state. Table 6.52 specifies how a Tag shall react to a *Lock* command that follows a prior *BlockPermalock* command, or vice versa.

Permalock bits, once asserted, cannot be deasserted except by recommissioning the Tag (see 6.3.4.10). If a tag receives a *Lock* whose payload attempts to deassert a previously asserted permalock bit, the tag shall ignore the *Lock* and loadmodulate an error code (see Annex I). If a tag receives a *Lock* whose payload attempts to reassert a previously asserted permalock bit, the tag shall simply ignore this particular Action field and implement the remainder of the *Lock* payload, unless the Tag has been recommissioned and the corresponding memory location is no longer permalocked, in which case the Tag shall reassert the permalock bit.

A tag's lock bits cannot be read directly; they can be inferred by attempting to perform other memory operations.

All tags shall implement memory locking, and all tags shall implement the *Lock* command. However, tags need not support all the Action fields shown in Figure 6.32, depending on whether the password location or memory bank associated with an Action field exists and is lockable and/or unlockable. Specifically, if a tag receives a *Lock* it cannot execute because one or more of the passwords or memory banks do not exist, or one or more of the Action fields attempt to change a permalocked value, or one or more of the passwords or memory banks are either not lockable or not unlockable; the tag shall ignore the entire *Lock* and instead loadmodulate an error code (see Annex I). The only exception to this general rule relates to tags whose only lock functionality is to permanently lock **all** memory (i.e. all memory banks and all passwords) at once; these tags shall execute a *Lock* whose payload is FFFF<sub>n</sub>, and shall loadmodulate an error code for any payload other than FFFF<sub>n</sub>.

A *Lock* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

After issuing a *Lock* an interrogator shall transmit CW for the lesser of *TREPLY* or 20ms, where *TREPLY* is the time between the interrogator's *Lock* command and the tag transmitted reply. The time *TREPLY* shall be a multiple of  $T_1$  typical (see Table 6.14) with a tolerance of  $\pm 2.4\mu\text{s}$  so that  $TREPLY = n \cdot 1024/f_c \pm 32/f_c$ . An interrogator may observe several possible outcomes from a *Lock*, depending on the success or failure of the tag memory-write operation:

- **The *Lock* succeeds:** After completing the *Lock* the tag shall loadmodulate the reply shown in Table 6.44 and Figure 6.30 comprising a header (a 0-bit), the tag handle and a CRC-16c calculated over the 0-bit and handle. If the interrogator observes this reply within 20ms then the *Lock* completed successfully.

- **The tag encounters an error:** The tag shall loadmodulate an error code during the CW period rather than the reply shown in Table 6.44 (see Annex I for error-code definitions and for the reply format).
- **The Lock does not succeed:** If the interrogator does not observe a reply within 20ms, then the Lock did not complete successfully. The interrogator may issue a Req RN command (containing the tag handle) to verify that the tag is still in the interrogator's field, and may reissue the Lock.

Upon receiving a valid *Lock* command, a tag shall perform the commanded lock operation. The tag reply to a Lock shall use the preamble as specified in the *BeginRound* command that initiated the round.

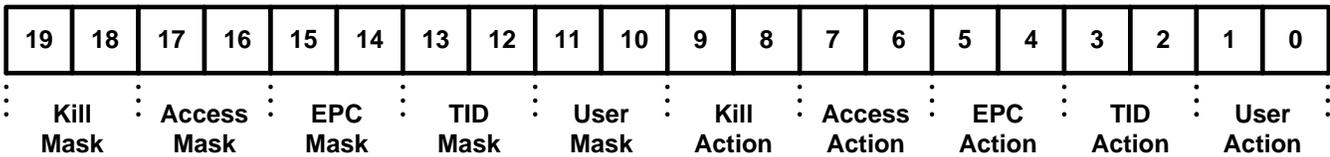
**Table 6.43. Lock command**

	Command	Payload	RN	CRC-16c
# of bits	8	20	16	16
description	11000101	<u>Mask</u> and <u>Action</u> Fields	<u>Handle</u>	

**Table 6.44. Tag reply to a Lock command**

	Header	RN	CRC-16c
# of bits	1	16	16
description	0	<u>handle</u>	

### Lock-Command Payload



### Masks and Associated Action Fields

	Kill pwd		Access pwd		EPC memory		TID memory		User memory	
	19	18	17	16	15	14	13	12	11	10
<i>Mask</i>	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write	skip/ write
	9	8	7	6	5	4	3	2	1	0
<i>Action</i>	pwd read/ write	perma lock	pwd read/ write	perma lock	pwd write	perma lock	pwd write	perma lock	pwd write	perma lock

**Figure 6.32 Lock payload and usage**

**Table 6.45. Lock Action-field functionality**

<b>pwd-write</b>	<b>permalock</b>	<b>Description</b>
0	0	Associated memory bank is writeable from either the <b>open</b> or <b>secured</b> states.
0	1	Associated memory bank is permanently writeable from either the <b>open</b> or <b>secured</b> states and may never be locked.
1	0	Associated memory bank is writeable from the <b>secured</b> state but not from the <b>open</b> state.
1	1	Associated memory bank is not writeable from any state.
<b>pwd read/write</b>	<b>permalock</b>	<b>Description</b>
0	0	Associated password location is readable and writeable from either the <b>open</b> or <b>secured</b> states.
0	1	Associated password location is permanently readable and writeable from <b>open</b> or <b>secured</b> or secured states and may never be locked.
1	0	Associated password location is readable and writeable from the <b>secured</b> state but not from the <b>open</b> state.
1	1	Associated password location is not readable or writeable from any state.

PJM Method: Refer to the PJM Method part of clauses 6.3.4.9 and 6.3.4.11. As for the *ACK-PJM*, see 6.3.4.11.2.4 and Table 6.30, this command can include multiple handles in the RN field in order to address multiple tags. Interrogators and tags shall operate (when using this command) as described above for ASK Method except that multiple tags can be addressed by this command and multiple tags can reply to this command.

### 6.3.4.11.3.6 Access (optional)

ASK Method and PJM Method: Interrogators and tags may implement an Access command; if they do, the command shall be as shown in Table 6.46. Access causes a tag with a non-zero-valued access password to transition from the **open** to the **secured** state (a tag with a zero-valued access password is never in the **open** state — see Figure 6.27) or, if the tag is already in the **secured** state, to remain **secured**.

To access a tag, an interrogator shall follow the multi-step procedure outlined in Figure 6.33. Briefly, an interrogator issues two Access commands, the first containing the 16 MSBs of the tag access password optionally EXORed with an RN16, and the second containing the 16 LSBs of the tag access password optionally EXORed with a different RN16. Each EXOR operation shall be performed MSB first (i.e. the MSB of each half-password shall be EXORed with the MSB of its respective RN16). Just prior to issuing each Access command, the interrogator first issues a *Req\_RN* to obtain a new RN16 if cover-coding is used otherwise no *Req\_RN* command shall be issued.

Tags shall incorporate the necessary logic to successively accept two 16-bit sub-portions of a 32-bit access password. Interrogators shall not intersperse commands other than *Req\_RN* if cover-coding is used between the two successive *Access* commands. If a tag, after receiving a first *Access*, receives any command other than *Req\_RN* before the second *Access*, it shall return to **arbitrate**, unless the intervening command is a *BeginRound*, in which case the tag shall execute the *BeginRound* (setting its **inventoried** flag if the session parameter in the *BeginRound* matches the prior session).

An *Access* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

The tag reply to an *Access* command shall be as shown in Table 6.47. If the *Access* is the first in the sequence, then the tag loadmodulates its handle to acknowledge that it received the command. If the *Access* is the second in the sequence and the entire received 32-bit access password is correct, then the tag loadmodulates its handle to acknowledge that it has executed the command successfully and has transitioned to the **secured** state; otherwise the tag does not reply. The reply includes a CRC-16c calculated over the handle.

**Table 6.46. Access command**

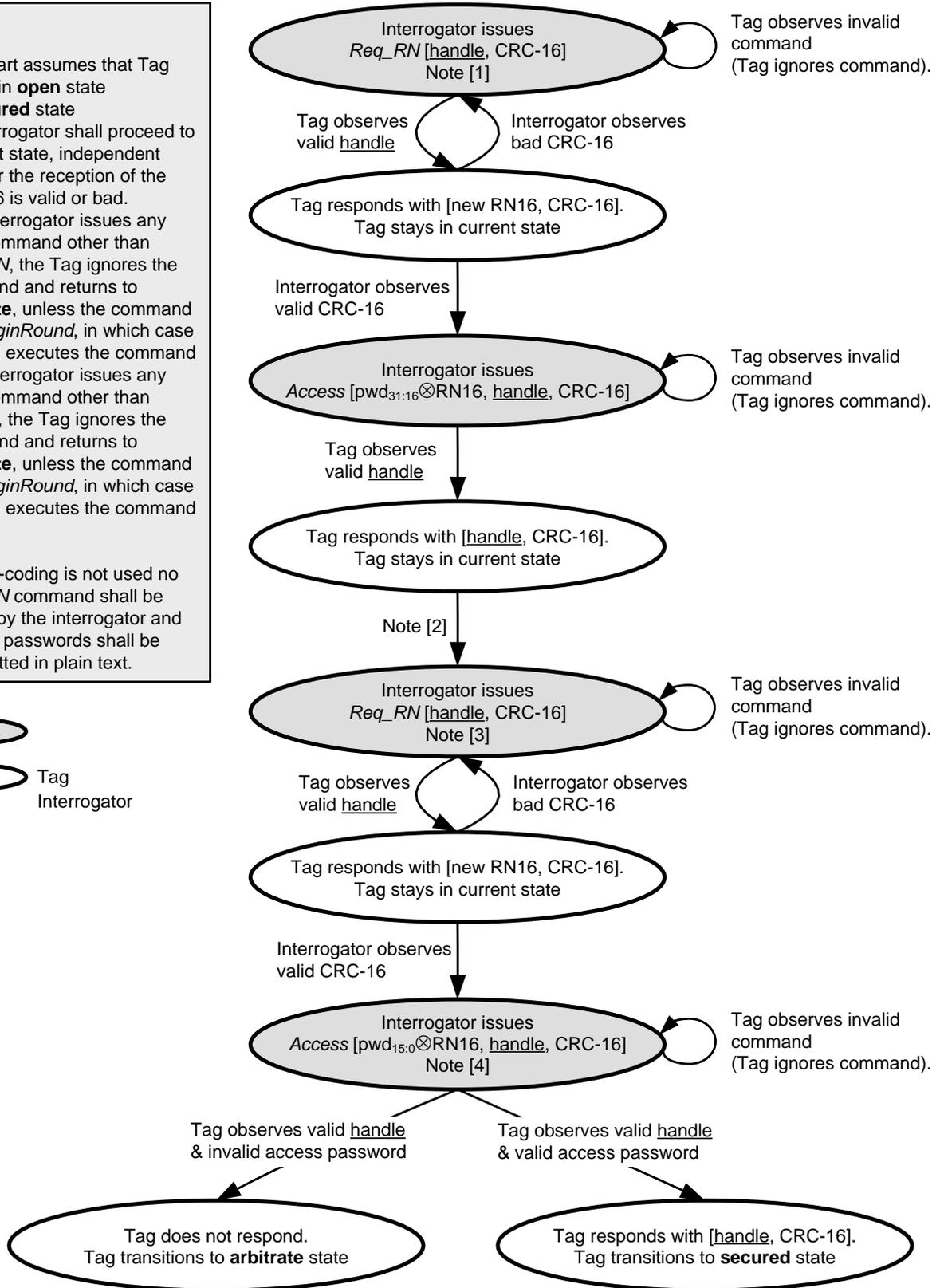
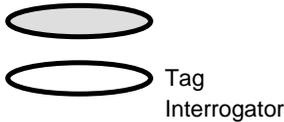
	Command	Password	RN	CRC-16c
<b># of bits</b>	8	16	16	16
<b>description</b>	11000110	(½ access password) ⊗ RN16 or plain	<u>handle</u>	

**Table 6.47. Tag reply to an Access command**

	RN	CRC-16c
<b># of bits</b>	16	16
<b>description</b>	<u>handle</u>	

**NOTES**

- [1] Flowchart assumes that Tag begins in **open** state or **secured** state
  - [2] An interrogator shall proceed to the next state, independent whether the reception of the CRC-16 is valid or bad.
  - [3] If an Interrogator issues any valid command other than *Req\_RN*, the Tag ignores the command and returns to **arbitrate**, unless the command is a *BeginRound*, in which case the Tag executes the command
  - [4] If an Interrogator issues any valid command other than *Access*, the Tag ignores the command and returns to **arbitrate**, unless the command is a *BeginRound*, in which case the Tag executes the command
- [General]  
If cover-coding is not used no *Req\_RN* command shall be issued by the interrogator and the half passwords shall be transmitted in plain text.



**Figure 6.33 Access procedure**

### 6.3.4.11.3.7 *BlockWrite* (optional)

Interrogators and tags may implement a *BlockWrite* command; if they do, they shall implement it as shown in Table 6.48. *BlockWrite* allows an interrogator to write multiple words in a tag Reserved, EPC, TID, or User memory using a single command. *BlockWrite* has the following fields:

- **MemBank** specifies whether the *BlockWrite* occurs in Reserved, EPC, TID, or User memory. *BlockWrite* commands shall apply to a single memory bank. Successive *BlockWrites* may apply to different banks.
- **WordPtrLength, WordPtr** specifies the starting word address for the memory write, where words are 16-bits in length. For example, **WordPtr=00<sub>h</sub>** specifies the first 16-bit memory word, **WordPtr=01<sub>h</sub>** specifies the second 16-bit memory word, etc. **WordPtr** has a length of 8, 16, 24 or 32 bits as defined in **WordPtrLength**.
- **WordCount** specifies the number of 16-bit words to be written. If **WordCount=00<sub>h</sub>**, the tag shall ignore the *BlockWrite*. If **WordCount=01<sub>h</sub>**, the tag shall write a single data word.
- Data contains the 16-bit words to be written, and shall be **16xWordCount** bits in length. Unlike a *Write*, the data in a *BlockWrite* are not cover-coded, and an interrogator need not issue a *Req\_RN* before issuing a *BlockWrite*.

The *BlockWrite* command also includes the tag handle and a CRC-16c. The CRC-16c is calculated over the first command-code bit to the last handle bit.

If a tag receives a *BlockWrite* with a valid CRC-16c but an invalid handle, it shall ignore the *BlockWrite* and remain in its current state (**open** or **secured**, as appropriate). A *BlockWrite* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

After issuing a *BlockWrite*, an interrogator shall transmit CW for the lesser of *TREPLY* or 20ms, where *TREPLY* is the time between the interrogator's *BlockWrite* command and the tag transmitted reply. The time *TREPLY* shall be a multiple of  $T_1$  typical (see Table 6.14) with a tolerance of  $\pm 2.4\mu\text{s}$  so that  $TREPLY = n * 1024/f_c \pm 32/f_c$ . An interrogator may observe several possible outcomes from a *BlockWrite*, depending on the success or failure of the tag memory write operation:

- **The *BlockWrite* succeeds:** After completing the *BlockWrite* a tag shall loadmodulate the reply shown in Table 6.49 and Figure 6.30 comprising a header (a 0-bit), the tag handle and a CRC-16c calculated over the 0-bit and handle. If the interrogator observes this reply within 20ms then the *BlockWrite* completed successfully.
- **The tag encounters an error:** The tag shall loadmodulate an error code during the CW period rather than the reply shown in Table 6.49 (see Annex I for error-code definitions and for the reply format).
- **The *BlockWrite* does not succeed:** If the interrogator does not observe a reply within 20ms then the *BlockWrite* did not complete successfully. The interrogator may issue a *Req\_RN* command (containing the tag handle) to verify that the tag is still in the interrogator's field, and may reissue the *BlockWrite*.

Upon receiving a valid *BlockWrite* command a tag shall write the commanded Data into memory. The tag reply to a *Block Write* shall use the preamble as specified in the *BeginRound* command that initiated the round.

**Table 6.48. *BlockWrite* command**

	Command	MemBank	WordPtrLength	WordPtr	WordCount	Data	RN	CRC-16c
<b># of bits</b>	8	2	2	8, 16, 24 or 32	8	Variable	16	16
<b>Description</b>	11000111	00: Reserved 01: EPC 10: TID 11: User	Length of Wordpointer 00: 8 bit 01: 16 bit 10: 24 bit 11: 32 bit	Starting address pointer	Number of words to write	Data to be written	<u>hand</u> <u>le</u>	

**Table 6.49. Tag reply to a successful *BlockWrite* command**

	Header	RN	CRC-16c
<b># of bits</b>	1	16	16
<b>description</b>	0	<u>handle</u>	

PJM Method: Refer to the PJM Method part of clauses 6.3.4.9 and 6.3.4.11. As for the *ACK-PJM*, see 6.3.4.11.2.4 and Table 6.30, this command can include multiple handles in the RN field in order to address multiple tags. Interrogators and tags shall operate (when using this command) as described above for ASK Method except that multiple tags can be addressed by this command and multiple tags can reply to this command.

### 6.3.4.11.3.8 *BlockErase* (optional)

Interrogators and tags may implement a *BlockErase* command; if they do, they shall implement it as shown in Table 6.50. *BlockErase* allows an interrogator to erase multiple words in a tag Reserved, EPC, TID, or User memory using a single command. *BlockErase* has the following fields:

- MemBank specifies whether the *BlockErase* occurs in Reserved, EPC, TID, or User memory. *BlockErase* commands shall apply to a single memory bank. Successive *BlockErases* may apply to different banks.
- WordPtrLength, WordPtr specifies the starting word address for the memory erase, where words are 16-bits in length. For example, WordPtr=00<sub>h</sub> specifies the first 16-bit memory word, WordPtr=01<sub>h</sub> specifies the second 16-bit memory word, etc. WordPtr has a length of 8, 16, 24 or 32 bits as defined in WordPtrLength.
- WordCount specifies the number of 16-bit words to be erased. If WordCount=00<sub>h</sub>, the tag shall ignore the *BlockErase*. If WordCount=01<sub>h</sub>, the tag shall erase a single data word.

The *BlockErase* command also includes the tag handle and a CRC-16c. The CRC-16c is calculated over the first command-code bit to the last handle bit.

If a tag receives a *BlockErase* with a valid CRC-16c but an invalid handle it shall ignore the *BlockErase* and remain in its current state (**open** or **secured**, as appropriate).

A *BlockErase* shall be prefixed with a frame-sync (see 6.3.3.1.3.4, 6.3.3.1.3.6 and 6.3.3.1.3.8).

After issuing a *BlockErase* an interrogator shall transmit CW for the lesser of *TREPLY* or 20ms, where *TREPLY* is the time between the interrogator's *BlockErase* command and the tag transmitted reply. The time *TREPLY* shall be a multiple of  $T_1$  typical (see Table 6.14) with a tolerance of  $\pm 2.4\mu\text{s}$  so that  $TREPLY = n * 1024/f_c \pm 32/f_c$ . An interrogator may observe several possible outcomes from a *BlockErase*, depending on the success or failure of the tag memory erase operation:

- **The *BlockErase* succeeds:** After completing the *BlockErase* a tag shall loadmodulate the reply shown in Table 6.51 and Figure 6.30 comprising a header (a 0-bit), the tag handle and a CRC-16c calculated over the 0-bit and handle. If the interrogator observes this reply within 20ms then the *BlockErase* completed successfully.
- **The tag encounters an error:** The tag shall loadmodulate an error code during the CW period rather than the reply shown in Figure 6.30 (see Annex I for error-code definitions and for the reply format).
- **The *BlockErase* does not succeed:** If the interrogator does not observe a reply within 20ms then the *BlockErase* did not complete successfully. The interrogator may issue a *Req\_RN* command (containing the tag handle) to verify that the tag is still in the interrogator's field, and may reissue the *BlockErase*.

Upon receiving a valid *BlockErase* command a tag shall erase the commanded memory words. The tag reply to a *BlockErase* shall use the preamble as specified in the BeginRound command that initiated the round.

**Table 6.50. *BlockErase* command**

	Command	MemBank	WordPtrLength	WordPtr	WordCount	RN	CRC-16c
# of bits	8	2	2	8, 16, 24 or 32	8	16	16
description	11001000	00: Reserved 01: EPC 10: TID 11: User	Length of Wordpointer 00: 8 bit 01: 16 bit 10: 24 bit 11: 32 bit	Starting address Pointer	Number of words to write	<u>handle</u>	

**Table 6.51. Tag reply to a successful *BlockErase* command**

	Header	RN	CRC-16c
<b># of bits</b>	1	16	16
<b>description</b>	0	<u>Handle</u>	

Optionally the interrogators shall communicate using PJM.

PJM Method: See the PJM Method part of clauses 6.3.4.9 and 6.3.4.11. As for the *ACK-PJM*, see 6.3.4.11.2.4 and Table 6.30, this command can include multiple handles in the RN field in order to address multiple tags. Interrogators and tags shall operate (when using this command) as described above for ASK Method except that multiple tags can be addressed by this command and multiple tags can reply to this command.

### 6.3.4.11.3.9 *BlockPermalock* (optional)

Interrogators and Tags may implement a *BlockPermalock* command; if they do, they shall implement it as shown in Table 6.53. *BlockPermalock* allows an Interrogator to:

- Permalock one or more blocks (individual sub-portions) in a Tag's User memory, or
- Read the permalock status of the memory blocks in a Tag's User memory.

A single *BlockPermalock* command can permalock between 0 and 4080 blocks of User memory. The block size is vendor-defined. The memory blocks need not be contiguous.

Only Tags in the **secured** state shall execute a *BlockPermalock* command.

The *BlockPermalock* command differs from the *Lock* command in that *BlockPermalock* permanently locks blocks of User memory in an unwriteable state, whereas *Lock* reversibly or permanently locks a password or an entire memory bank in a writeable or unwriteable state. 6.3.4.11.3.9 specifies how a Tag shall react to a *BlockPermalock* command (with Read/Lock=1) that follows a prior *Lock* command, or vice versa.

**Table 6.52. Precedence for *Lock* and *BlockPermalock* commands**

First Command		Second Command		Tag Action and Response to 2 <sup>nd</sup> Command	
<i>Lock</i>	<u>pwd-write</u>	<u>permalock</u>	<i>BlockPermalock</i> ( <u>Read/Lock</u> = 1)		
	0	0		Permalock the blocks indicated by <u>Mask</u> ; respond as described in this section 6.3.4.11.3.9	
	0	1		Reject the <i>BlockPermalock</i> ; respond with an error code	
	1	0		Permalock the blocks indicated by <u>Mask</u> ; respond as described in this section 6.3.4.11.3.9	
	1	1		Permalock the blocks indicated by <u>Mask</u> ; respond as described in this section 6.3.4.11.3.9	
<i>BlockPermalock</i> ( <u>Read/Lock</u> = 1)		<i>Lock</i>	<u>pwd-write</u>	<u>permalock</u>	
			0	0	Implement the <i>Lock</i> , but do not un-permalock any blocks that were previously permalocked; respond as described in 6.3.4.11.3.5
			0	1	Reject the <i>Lock</i> ; respond with an error code
			1	0	Implement the <i>Lock</i> , but do not un-permalock any blocks that were previously permalocked; respond as described in 6.3.4.11.3.5
			1	1	Implement the <i>Lock</i> ; respond as described in 6.3.4.11.3.56.3.4.11.3.5

The *BlockPermalock* command has the following fields:

- MemBank specifies whether the *BlockPermalock* applies to EPC, TID, or User memory. *BlockPermalock* commands shall apply to a single memory bank. Successive *BlockPermalocks* may apply to different memory banks. Class-1 Tags shall only execute a *BlockPermalock* command if MemBank=11 (User memory); if a Class-1 Tag receives a *BlockPermalock* with MemBank<>11 it shall ignore the command and instead loadmodulation an error code (see Annex I), remaining in the **secured** state. Higher-functionality Tags may use the other MemBank values to expand the functionality of the *BlockPermalock* command.
- Read/Lock specifies whether a Tag loadmodulations the permalock status of, or permalocks, one or more blocks within the memory bank specified by MemBank. A Tag shall interpret the Read/Lock bit as follows:
  - Read/Lock=0: A Tag shall loadmodulation the permalock status of blocks in the specified memory bank, starting from the memory block located at BlockPtr and ending at the memory block located at

$\text{BlockPtr} + (16 \times \text{BlockRange}) - 1$ . A Tag shall loadmodulate a “0” if the memory block corresponding to that bit is not permalocked and a “1” if the block is permalocked. An Interrogator omits Mask from the *BlockPermalock* command when Read/Lock=0.

- Read/Lock=1: A Tag shall permalock those blocks in the specified memory bank that are specified by Mask, starting at BlockPtr and ending at  $\text{BlockPtr} + (16 \times \text{BlockRange}) - 1$ .
- BlockPtrlength, BlockPtr specifies the starting address for Mask, in units of 16 blocks. For example, BlockPtr=00<sub>h</sub> indicates block 0, BlockPtr=01<sub>h</sub> indicates block 16, and BlockPtr=02<sub>h</sub> indicates block 32. BlockPtr has a length of 8, 16, 24 or 32 bits as defined in BlockPtrlength.
- BlockRange specifies the range of Mask, starting at BlockPtr and ending  $(16 \times \text{BlockRange}) - 1$  blocks later. If BlockRange=00<sub>h</sub>, then the Tag shall ignore the *BlockPermalock* command and instead loadmodulate an error code (see Annex I), remaining in the **secured** state.
- Mask specifies which memory blocks a Tag permalocks. Mask depends on the Read/Lock bit as follows:
  - Read/Lock=0: The Interrogator shall omit Mask from the *BlockPermalock* command.
  - Read/Lock=1: The Interrogator shall include a Mask of length  $16 \times \text{BlockRange}$  bits in the *BlockPermalock* command. The Mask bits shall be ordered from lower-order block to higher (i.e. if BlockPtr=00<sub>h</sub> then the leading Mask bit refers to Block 0). The Tag shall interpret each bit of Mask as follows:
    - Mask bit=0: Retain the current permalock setting for the corresponding memory block.
    - Mask bit=1: Permalock the corresponding memory block. If a block is already permalocked then the Tag shall retain the current permalock setting. A memory block, once permalocked, cannot be unpermalocked except by recommissioning the Tag (see 6.3.4.10).

The following examples illustrate the usage of Read/Lock, BlockPtr, BlockRange, and Mask:

- If Read/Lock=1, BlockPtr=01<sub>h</sub>, and BlockRange=01<sub>h</sub>, the Tag operates on sixteen blocks starting at block 16 and ending at block 31, permalocking those blocks whose corresponding bits are asserted in Mask.

The *BlockPermalock* command contains 8 RFU bits. Interrogators shall set these bits to 00<sub>h</sub> when communicating with Class-1 Tags. If a Class-1 Tag receives a *BlockPermalock* command containing nonzero RFU bits it shall ignore the command and instead loadmodulates an error code (see Annex I), remaining in the **secured** state. Higher-functionality Tags may use these bits to expand the functionality of the *BlockPermalock* command.

The *BlockPermalock* command also includes the Tag's handle and a CRC-16c. The CRC-16c is calculated over the first command-code bit to the last handle bit. If a Tag receives a *BlockPermalock* with a valid CRC-16c but an invalid handle, it shall ignore the *BlockPermalock* and remain in the **secured** state.

If a Tag receives a *BlockPermalock* command that it cannot execute because User memory does not exist, or in which the LSB and/or the 2SB of a Tag's XPC\_W1 is/are asserted (see Table 6.16), or in which one of the asserted Mask bits references a non-existent block, then the Tag shall ignore the *BlockPermalock* command and instead loadmodulate an error code (see Annex I), remaining in the **secured** state. A Tag shall treat as invalid a *BlockPermalock* command in which Read/Lock=1 but Mask has a length that is not equal to  $16 \times \text{BlockRange}$  bits (see 6.3.4.11 for the definition of an “invalid” command).

Certain Tags, depending on the Tag manufacturer's implementation, may be unable to execute a *BlockPermalock* command with certain BlockPtr and BlockRange values, in which case the Tag shall ignore the *BlockPermalock* command and instead loadmodulate an error code (see Annex I), remaining in the **secured** state. Because a Tag contains information in its TID memory that an Interrogator can use to uniquely identify the optional features that the Tag supports (see 6.3.4.1.3), Interrogators shall read a Tag's TID memory prior to issuing a *BlockPermalock* command.

If an Interrogator issues a *BlockPermalock* command in which BlockPtr and BlockRange specify one or more nonexistent blocks, but Mask only asserts permalocking on existent blocks, then the Tag shall execute the command.

A *BlockPermalock* shall be prepended with a frame-sync (see 6.3.3.1.2.8).

After issuing a *BlockPermalock* command an Interrogator shall transmit CW for the lesser of  $T_{\text{REPLY}}$  or 20ms, where  $T_{\text{REPLY}}$  is the time between the Interrogator's *BlockPermalock* and the Tag's loadmodulated reply. The time  $T_{\text{REPLY}}$  shall be a multiple of  $T_1$  typical (see Table 6.14) with a tolerance of  $\pm 2.4\mu\text{s}$  so that  $T_{\text{REPLY}} = n \times 1024/f_c \pm 32/f_c$ . An Interrogator may observe several possible outcomes from a *BlockPermalock* command, depending on

the value of the Read/Lock bit in the command and, if Read/Lock=1, the success or failure of the Tag's memory-lock operation:

- **Read/Lock=0 and the Tag is able to execute the command:** The Tag shall loadmodulate the reply shown in Table 6.54, within time  $T_1$  in Table 6.14, comprising a header (a 0-bit), the requested permalock bits, the Tag's handle, and a CRC-16c calculated over the 0-bit, permalock bits, and handle. The Tag's reply shall use the preamble specified by the TRext value in the *BeginRound* that initiated the round.
- **Read/Lock=0 and the Tag is unable to execute the command:** the Tag shall loadmodulate an error code, within time  $T_1$  in Table 6.14; rather than the reply shown in Table 6.54 (see Annex I for error-code definitions and for the reply format). The Tag's reply shall use the preamble specified by the TRext value in the *BeginRound* that initiated the round.
- **Read/Lock=1 and The BlockPermalock succeeds:** After completing the *BlockPermalock* the Tag shall loadmodulate the reply shown in Table 6.55 comprising a header (a 0-bit), the Tag's handle, and a CRC-16c calculated over the 0-bit and handle. If the Interrogator observes this reply within 20ms then the *BlockPermalock* completed successfully. The tag reply shall use the preamble as specified in the *BeginRound* command that initiated the round.
- **Read/Lock=1 and the BlockPermalock does not succeed:** If the Interrogator does not observe a reply within 20ms then the *BlockPermalock* did not complete successfully. The Interrogator may issue a *Req\_RN* command (containing the Tag's handle) to verify that the Tag is still in the Interrogator's field, and may reissue the *BlockPermalock*.
- **Read/Lock=1 and the Tag encounters an error:** The Tag shall loadmodulate an error code during the CW period rather than the reply shown in Table 6.52 (see Annex I for error-code definitions and for the reply format). The tag reply shall use the preamble as specified in the *BeginRound* command that initiated the round. The time  $T_{REPLY}$  shall be a multiple of  $T_1$  typical (see Table 6.12) with a tolerance of +/- 2.4 $\mu$ s so that  $T_{REPLY} = n * 1024 / f_c +/- 32 / f_c$ .

**Table 6.53. *BlockPermalock* command**

	Command	RFU	Read/Lock	Mem Bank	BlockPtr Length	BlockPtr	BlockRange	Mask	RN	CRC-16c
# of bits	8	8	1	2	2	8, 16, 24 or 32	8	Variable	16	16
Description	11001001	00 <sub>h</sub>	0: Read 1: Permalock	00: RFU 01: EPC 10: TID 11: User	Length of Wordpointer 00: 8 bit 01: 16 bit 10: 24 bit 11: 32 bit	<u>Mask</u> starting address, specified in units of 16 blocks	<u>Mask</u> range, specified in units of 16 blocks	0: Retain current permalock setting 1: Assert perma-lock	<u>handle</u>	

**Table 6.54. Tag reply to a successful *BlockPermalock* command with Read/Lock=0**

	Header	Data	RN	CRC-16c
# of bits	1	Variable	16	16
description	0	Permalock bits	<u>Handle</u>	

**Table 6.55. Tag reply to a successful *BlockPermalock* command with Read/Lock=1**

	Header	RN	CRC-16c
<b># of bits</b>	1	16	16
<b>description</b>	0	<u>handle</u>	

Upon receiving a valid *BlockPermalock* command a Tag shall perform the commanded operation, unless the Tag does not support block permalocking, in which case it shall ignore the command.

PJM Method: Refer to the PJM Method part of clauses 6.3.4.9 and 6.3.4.11. As for the *ACK-PJM*, see 6.3.4.11.2.4 and Table 6.30, this command can include multiple handles in the RN field in order to address multiple tags. Interrogators and tags shall operate (when using this command) as described above for ASK Method except that multiple tags can be addressed by this command and multiple tags can reply to this command.

## **7 Marking of equipment**

All interrogators/readers (or the associated user manuals) shall be clearly and permanently marked stating with which National Regulations they comply.

All interrogators/readers (or the associated user manuals) shall be clearly permanently marked to show which functionalities of the EPC™ Class-1 HF RFID AI that they support.

# Annex A (informative)

## Revision History

Table A.1. Revision History

Date & Version	Section(s)	Change description
Jun 23, 2008 Version 1.0.3	n.a.	Initial version
April 21, 2010 Version 2.0.1	All	Modifications to remove potential Intellectual Property
September 5, 2011 Version 2.0.3	All	Addition of statement on use of multiple <i>Select</i> commands

## Annex B (normative)

### State-transition tables

State-transition Table B.1. Ready state-transition table to Table B.7. Killed state-transition table shall define a tag response to interrogator commands. The term handle used in the state-transition tables is defined in 6.3.4.4; error codes are defined in Table I.2; “slot” is the slot-counter output shown in Figure 6.27 and detailed in Annex J; “–” in the “Action” column means that a tag neither modifies its **SL** or **inventoried** flags nor loadmodulates a reply.

#### B.1 Present state: Ready

**Table B.1. Ready state-transition table**

Command	Condition	Action	Next State
<i>BeginRound</i> <sup>1</sup>	slot=0; matching <b>inventoried</b> & <b>SL</b> flags	loadmodulate StoredCRC	<b>reply</b>
	slot<>0; matching <b>inventoried</b> & <b>SL</b> flags	–	<b>arbitrate</b>
	Otherwise	–	<b>ready</b>
<i>NextSlot</i>	All	–	<b>ready</b>
<i>ResizeRound</i>	All	–	<b>ready</b>
<i>ACK</i>	All	–	<b>ready</b>
<i>NAK</i>	All	–	<b>ready</b>
<i>Req_RN</i>	All	–	<b>ready</b>
<i>Select</i>	All	assert or deassert <b>SL</b> , or set <b>inventoried</b> to A or B	<b>ready</b>
<i>Read</i>	All	–	<b>ready</b>
<i>Write</i>	All	–	<b>ready</b>
<i>Kill</i>	All	–	<b>ready</b>
<i>Lock</i>	All	–	<b>ready</b>
<i>Access</i>	All	–	<b>ready</b>
<i>BlockWrite</i>	All	–	<b>ready</b>
<i>BlockErase</i>	All	–	<b>ready</b>
<i>BlockPermalock</i>	All	–	<b>ready</b>
Invalid <sup>2</sup>	All	–	<b>ready</b>

Note 1: *BeginRound* starts a new round and may change the session. *BeginRound* also instructs a tag to load a new random value into its slot counter.

Note 2: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, or any other command either not recognized or not executable by the tag.

## B.2 Present state: Arbitrate

Table B.2. Arbitrate state-transition table

Command	Condition	Action	Next State
<i>BeginRound</i> <sup>1,2</sup>	slot=0; matching <b>inventoried</b> & <b>SL</b> flags	loadmodulate StoredCRC	<b>Reply</b>
	slot<>0; matching <b>inventoried</b> & <b>SL</b> flags	–	<b>arbitrate</b>
	Otherwise	–	<b>Ready</b>
<i>NextSlot</i>	slot=0 after decrementing slot counter	loadmodulate StoredCRC	<b>Reply</b>
	slot<>0 after decrementing slot counter	–	<b>arbitrate</b>
<i>ResizeRound</i> <sup>2</sup>	slot=0	loadmodulate StoredCRC	<b>Reply</b>
	slot<>0	–	<b>arbitrate</b>
<i>ACK</i>	All	–	<b>arbitrate</b>
<i>NAK</i>	All	–	<b>arbitrate</b>
<i>Req_RN</i>	All	–	<b>arbitrate</b>
<i>Select</i>	All	assert or deassert <b>SL</b> , or set <b>inventoried</b> to <i>A</i> or <i>B</i>	<b>Ready</b>
<i>Read</i>	All	–	<b>arbitrate</b>
<i>Write</i>	All	–	<b>arbitrate</b>
<i>Kill</i>	All	–	<b>arbitrate</b>
<i>Lock</i>	All	–	<b>arbitrate</b>
<i>Access</i>	All	–	<b>arbitrate</b>
<i>BlockWrite</i>	All	–	<b>arbitrate</b>
<i>BlockErase</i>	all	–	<b>arbitrate</b>
<i>BlockPermalock</i>	all	–	<b>arbitrate</b>
<i>Invalid</i> <sup>3</sup>	all	–	<b>arbitrate</b>

Note 1: *BeginRound* starts a new round and may change the session.

Note 2: *BeginRound* and *ResizeRound* instruct a tag to load a new random value into its slot counter.

Note 3: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *BeginRound*) with a session parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the tag.

## B.3 Present state: Reply

Table B.3. Reply state-transition table

Command	Condition	Action	Next State
<i>BeginRound</i> <sup>1,2</sup>	slot=0; matching <b>inventoried</b> & <b>SL</b> flags	loadmodulate StoredCRC	<b>Reply</b>
	slot<>0; matching <b>inventoried</b> & <b>SL</b> flags	–	<b>Arbitrate</b>
	otherwise	–	<b>Ready</b>
<i>NextSlot</i>	all	–	<b>Arbitrate</b>
<i>ResizeRound</i> <sup>2</sup>	slot=0	loadmodulate StoredCRC	<b>Reply</b>
	slot<>0	–	<b>Arbitrate</b>
ACK	valid StoredCRC	see Table 6.15	<b>Acknowledged</b>
	invalid StoredCRC	–	<b>Arbitrate</b>
NAK	all	–	<b>Arbitrate</b>
<i>Req_RN</i>	all	–	<b>Arbitrate</b>
<i>Select</i>	all	assert or deassert <b>SL</b> , or set <b>inventoried</b> to <i>A</i> or <i>B</i>	<b>Ready</b>
<i>Read</i>	all	–	<b>Arbitrate</b>
<i>Write</i>	all	–	<b>Arbitrate</b>
<i>Kill</i>	all	–	<b>Arbitrate</b>
<i>Lock</i>	all	–	<b>Arbitrate</b>
<i>Access</i>	all	–	<b>Arbitrate</b>
<i>BlockWrite</i>	all	–	<b>Arbitrate</b>
<i>BlockErase</i>	all	–	<b>Arbitrate</b>
<i>BlockPermalock</i>	all	–	<b>Arbitrate</b>
T <sub>2</sub> timeout	See Figure 6.24 and Table 6.14	–	<b>Arbitrate</b>
Invalid <sup>3</sup>	all	–	<b>Reply</b>

Note 1: *BeginRound* starts a new round and may change the session.

Note 2: *BeginRound* and *ResizeRound* instruct a tag to load a new random value into its slot counter.

Note 3: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *BeginRound*) with a session parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the tag.

## B.4 Present state: Acknowledged

Table B.4. Acknowledged state-transition table

Command	Condition	Action	Next State
<i>BeginRound</i> <sup>1</sup>	slot=0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	loadmodulate StoredCRC; transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>reply</b>
	slot<>0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>arbitrate</b>
	otherwise	transition <b>inventoried</b> from A→B if and only if new session matches prior session	<b>ready</b>
<i>NextSlot</i>	all	transition <b>inventoried</b> from A→B	<b>ready</b>
<i>ResizeRound</i>	all	transition <b>inventoried</b> from A→B	<b>ready</b>
<i>ACK</i>	valid StoredCRC	see Table 6.15	<b>acknowledged</b>
	invalid StoredCRC	–	<b>arbitrate</b>
<i>NAK</i>	all	–	<b>arbitrate</b>
<i>Req_RN</i>	valid StoredCRC & access password<>0	loadmodulate handle	<b>open</b>
	valid StoredCRC & access password=0	loadmodulate handle	<b>secured</b>
	invalid StoredCRC	–	<b>acknowledged</b>
<i>Select</i>	all	assert or deassert <b>SL</b> , or set <b>inventoried</b> to A or B	<b>ready</b>
<i>Read</i>	all	–	<b>arbitrate</b>
<i>Write</i>	all	–	<b>arbitrate</b>
<i>Kill</i>	all	–	<b>arbitrate</b>
<i>Lock</i>	all	–	<b>arbitrate</b>
<i>Access</i>	all	–	<b>arbitrate</b>
<i>BlockWrite</i>	all	–	<b>arbitrate</b>
<i>BlockErase</i>	all	–	<b>arbitrate</b>
<i>BlockPermalock</i>	all	–	<b>arbitrate</b>
T <sub>2</sub> timeout	See Figure 6.24 and Table 6.14	–	<b>arbitrate</b>
Invalid <sup>3</sup>	all	–	<b>acknowledged</b>

Note 1: *BeginRound* starts a new round and may change the session. *BeginRound* also instructs a tag to load a new random value into its slot counter.

Note 2: As described 6.3.4.8, a tag transitions its **inventoried** flag prior to evaluating the condition.

Note 3: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *BeginRound*) with a session parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the tag.

## B.5 Present state: Open

Table B.5. Open state-transition table

Command	Condition	Action	Next State
<i>BeginRound</i> <sup>1</sup>	slot=0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	loadmodulate StoredCRC; transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>Reply</b>
	slot<>0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>Arbitrate</b>
	otherwise	transition <b>inventoried</b> from A→B if and only if new session matches prior session	<b>Ready</b>
<i>NextSlot</i>	all	transition <b>inventoried</b> from A→B	<b>Ready</b>
<i>ResizeRound</i>	all	transition <b>inventoried</b> from A→B	<b>Ready</b>
<i>ACK</i>	valid <u>handle</u>	see Table 6.15	<b>Open</b>
	invalid <u>handle</u>	–	<b>Arbitrate</b>
<i>NAK</i>	all	–	<b>Arbitrate</b>
<i>Req_RN</i>	valid <u>handle</u>	loadmodulate new RN16	<b>Open</b>
	invalid <u>handle</u>	–	<b>Open</b>
<i>Select</i>	all	assert or deassert <b>SL</b> , or set <b>inventoried</b> to A or B	<b>Ready</b>
<i>Read</i>	valid <u>handle</u> & valid memory access	loadmodulate data and <u>handle</u>	<b>Open</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Open</b>
	invalid <u>handle</u>	–	<b>Open</b>
<i>Write</i>	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>Open</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Open</b>
	invalid <u>handle</u>	–	<b>Open</b>
<i>Kill</i> <sup>3</sup> (see also 6.3.4.11.3.4)	valid <u>handle</u> & valid nonzero kill password & <b>Recom</b> = 0	loadmodulate <u>handle</u> when done	<b>Killed</b>
	valid <u>handle</u> & valid nonzero kill password & <b>Recom</b> <> 0	loadmodulate <u>handle</u> when done	<b>Open</b>
	valid <u>handle</u> & invalid nonzero kill password	–	<b>Arbitrate</b>
	valid <u>handle</u> & kill password=0	loadmodulate error code	<b>Open</b>
	invalid <u>handle</u>	–	<b>Open</b>
<i>Lock</i>	all	–	<b>Open</b>
<i>Access</i> (see also Figure 6.33)	valid <u>handle</u> & valid access password	loadmodulate <u>handle</u>	<b>Secured</b>
	valid <u>handle</u> & invalid access password	–	<b>Arbitrate</b>
	invalid <u>handle</u>	–	<b>Open</b>
<i>BlockWrite</i>	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>Open</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Open</b>
	invalid <u>handle</u>	–	<b>Open</b>
<i>BlockErase</i>	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>Open</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Open</b>
	invalid <u>handle</u>	–	<b>Open</b>
<i>BlockPermalock</i>	all	–	<b>Open</b>
<i>Invalid</i> <sup>4</sup>	all, excluding valid commands interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see 6.3.4.11.3.4 and 6.3.4.11.3.6).	–	<b>Open</b>

Command	Condition	Action	Next State
	otherwise valid commands, except Req_RN or BeginRound, interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see Figure 6.31 and Figure 6.33).	–	<b>Arbitrate</b>

- Note 1: *BeginRound* starts a new round and may change the session. *BeginRound* also instructs a tag to load a new random value into its slot counter.
- Note 2: As described in 6.3.4.8, a tag transitions its **inventoried** flag prior to evaluating the condition.
- Note 3: As described in 6.3.4.11.3.4, if a tag does not implement Recom bits LSB and 2SB it has to ignore their value and treat them as if their value were zero.
- Note 4: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *BeginRound*) with a session parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the tag.

## B.6 Present state: Secured

**Table B.6. Secured state-transition table**

Command	Condition	Action	Next State
<i>BeginRound</i> <sup>1</sup>	slot=0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	loadmodulate StoredCRC; transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>Reply</b>
	slot<>0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>Arbitrate</b>
	otherwise	transition <b>inventoried</b> from A→B if and only if new session matches prior session	<b>Ready</b>
<i>NextSlot</i>	all	transition <b>inventoried</b> from A→B	<b>Ready</b>
<i>ResizeRound</i>	all	transition <b>inventoried</b> from A→B	<b>Ready</b>
<i>ACK</i>	valid <u>handle</u>	see Table 6.15	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Arbitrate</b>
<i>NAK</i>	all	–	<b>Arbitrate</b>
<i>Req_RN</i>	valid <u>handle</u>	loadmodulate new RN16	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>Select</i>	all	assert or deassert <b>SL</b> , or set <b>inventoried</b> to A or B	<b>Ready</b>
<i>Read</i>	valid <u>handle</u> & valid memory access	loadmodulate data and <u>handle</u>	<b>Secured</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>Write</i>	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>Secured</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>Kill</i> <sup>3</sup> (see also 6.3.4.11.3.4)	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> = 0	loadmodulate <u>handle</u> when done	<b>Killed</b>
	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> <> 0	loadmodulate <u>handle</u> when done	<b>Secured</b>
	valid <u>handle</u> & invalid nonzero kill password	–	<b>Arbitrate</b>
	valid <u>handle</u> & kill password=0	loadmodulate error code	<b>Secured</b>

Command	Condition	Action	Next State
	invalid <u>handle</u>	–	<b>Secured</b>
<i>Lock</i>	valid <u>handle</u> & valid lock payload	loadmodulate <u>handle</u> when done	<b>Secured</b>
	valid <u>handle</u> & invalid lock payload	loadmodulate error code	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>Access</i> (see <b>also</b> Figure 6.33)	valid <u>handle</u> & valid access password	loadmodulate <u>handle</u>	<b>Secured</b>
	valid <u>handle</u> & invalid access password	–	<b>Arbitrate</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>BlockWrite</i>	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>Secured</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>BlockErase</i>	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>Secured</b>
	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>BlockPermalock</i>	valid <u>handle</u> , valid payload, & Read/Lock = 0	loadmodulate permalock bits and <u>handle</u>	<b>Secured</b>
	valid <u>handle</u> , invalid payload, & Read/Lock = 0	loadmodulate error code	<b>Secured</b>
	valid <u>handle</u> , valid payload, & Read/Lock = 1	loadmodulate <u>handle</u> when done	<b>Secured</b>
	valid <u>handle</u> , invalid payload, & Read/Lock = 1	loadmodulate error code	<b>Secured</b>
	invalid <u>handle</u>	–	<b>Secured</b>
<i>Invalid</i> <sup>4</sup>	all, excluding valid commands interspersed between successive Kill or Access commands in a kill or access sequence, respectively (see 6.3.4.11.3.4 and 6.3.4.11.3.6).	–	<b>Secured</b>
	otherwise valid commands, except Req_RN or BeginRound, interspersed between successive Kill or Access commands in a kill or access sequence, respectively (see 6.3.4.11.3.4 and 6.3.4.11.3.6).	–	<b>Arbitrate</b>

Note 1: *BeginRound* starts a new round and may change the session. *BeginRound* also instructs a tag to load a new random value into its slot counter.

Note 2: As described in 6.3.4.8, a tag transitions its **inventoried** flag prior to evaluating the condition.

Note 3: As described in 6.3.4.11.3.4, if a tag does not implement Recom bits LSB and 2SB it has to ignore their value and treat them as if their value were zero.

Note 4: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *BeginRound*) with a session parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the tag.

## B.7 Present state: Killed (optional)

Table B.7. Killed state-transition table

Command	Condition	Action	Next State
<i>BeginRound</i>	all	–	<b>killed</b>
<i>NextSlot</i>	all	–	<b>killed</b>
<i>ResizeRound</i>	all	–	<b>killed</b>
<i>ACK</i>	all	–	<b>killed</b>
<i>NAK</i>	all	–	<b>killed</b>
<i>Req_RN</i>	all	–	<b>killed</b>
<i>Select</i>	all	–	<b>killed</b>
<i>Read</i>	all	–	<b>killed</b>
<i>Write</i>	all	–	<b>killed</b>
<i>Kill</i>	all	–	<b>killed</b>
<i>Lock</i>	all	–	<b>killed</b>
<i>Access</i>	all	–	<b>killed</b>
<i>BlockWrite</i>	all	–	<b>killed</b>
<i>BlockErase</i>	all	–	<b>killed</b>
<i>BlockPermalock</i>	all	–	<b>killed</b>
Invalid <sup>1</sup>	all	–	<b>killed</b>

Note 1: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, or any other command either not recognized or not executable by the tag.

## Annex C (normative)

### Command-Response tables

Command-response Table C.1. Power-up command-response table to Table C.18. Invalid command-response table shall define a tag response to interrogator commands. The term “handle” used in the state-transition tables is defined in 6.3.4.4; error codes are defined in Table I.2; “slot” is the slot counter output shown in Figure 6.27 and detailed in Annex J; “–” in the “Response” column means that a tag neither modifies its **SL** or **inventoried** flags nor loadmodulates a reply.

#### C.1 Command response: Power-up

Table C.1. Power-up command-response table

Starting State	Condition	Response	Next State
ready, arbitrate, reply, acknowledged, open, secured	power-up	–	ready
killed	all	–	killed

#### C.2 Command response: *BeginRound*

Table C.2. *BeginRound*<sup>1</sup> command-response table

Starting State	Condition	Response	Next State
ready, arbitrate, reply	slot=0; matching <b>inventoried</b> & <b>SL</b> flags	loadmodulate StoredCRC	<b>Reply</b>
	slot<>0; matching <b>inventoried</b> & <b>SL</b> flags	–	<b>Arbitrate</b>
	otherwise	–	<b>Ready</b>
acknowledged, open, secured	slot=0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	loadmodulate StoredCRC; transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>Reply</b>
	slot<>0; matching <b>inventoried</b> <sup>2</sup> & <b>SL</b> flags	transition <b>inventoried</b> <sup>2</sup> from A→B if and only if new session matches prior session	<b>Arbitrate</b>
	Otherwise	transition <b>inventoried</b> from A→B if and only if new session matches prior session	<b>Ready</b>
killed	all	–	<b>Killed</b>

Note 1: *BeginRound* (in any other state than **killed**) starts a new round and may change the session. *BeginRound* also instructs a tag to load a new random value into its slot counter.

Note 2: As described in 6.3.4.8, a tag transitions its **inventoried** flag prior to evaluating the condition.

### C.3 Command response: *NextSlot*

Table C.3. *NextSlot* command-response table<sup>1</sup>

Starting State	Condition	Response	Next State
ready	All	–	ready
arbitrate	slot<>0 after decrementing slot counter	–	arbitrate
	slot=0 after decrementing slot counter	loadmodulate StoredCRC	reply
reply	All	–	arbitrate
acknowledged, open, secured	All	transition <b>inventoried</b> from A→B	ready
killed	all	–	killed

Note 1: See Table C.17 for the tag response to a *NextSlot* whose session parameter does not match that of the current inventory round.

### C.4 Command response: *ResizeRound*

Table C.4. *ResizeRound*<sup>1</sup> command-response table<sup>2</sup>

Starting State	Condition	Response	Next State
ready	All	–	ready
arbitrate, reply	slot<>0	–	arbitrate
	slot=0	loadmodulate StoredCRC	reply
acknowledged, open, secured	All	transition <b>inventoried</b> from A→B	ready
killed	All	–	killed

Note 1: *ResizeRound*, in the **arbitrate** or **reply** states, instructs a tag to load a new random value into its slot counter.

Note 2: See Table C.17 for the tag response to a *NextSlot* whose session parameter does not match that of the current inventory round.

### C.5 Command response: *Ack*

Table C.5. *Ack* command-response table

Starting State	Condition	Response	Next State
ready	all	–	ready
arbitrate	all	–	arbitrate
reply	valid StoredCRC	see Table 6.15	acknowledged
	invalid StoredCRC	–	arbitrate
acknowledged	valid StoredCRC	see Table 6.15	acknowledged
	invalid StoredCRC	–	arbitrate
open	valid <u>handle</u>	see Table 6.15	open
	invalid <u>handle</u>	–	arbitrate
secured	valid <u>handle</u>	see Table 6.15	secured
	invalid <u>handle</u>	–	arbitrate
killed	all	–	killed

## C.6 Command response: *NAK*

Table C.6. *Nak* command-response table

Starting State	Condition	Response	Next State
ready	All	–	ready
arbitrate, reply, acknowledged, open, secured	All	–	arbitrate
killed	All	–	killed

## C.7 Command response: *Req\_RN*

Table C.7. *Req\_RN* command-response table

Starting State	Condition	Response	Next State
ready	all	–	ready
arbitrate, reply	all	–	arbitrate
acknowledged	valid StoredCRC & access password<>0	loadmodulate <u>handle</u>	open
	valid StoredCRC & access password=0	loadmodulate <u>handle</u>	secured
	invalid StoredCRC	–	acknowledged
open	valid <u>handle</u>	loadmodulate new RN16	open
	invalid <u>handle</u>	–	open
secured	valid <u>handle</u>	loadmodulate new RN16	secured
	invalid <u>handle</u>	–	secured
killed	all	–	killed

## C.8 Command response: *Select*

Table C.8. *Select* command-response table

Starting State	Condition	Response	Next State
ready, arbitrate, reply, acknowledged, open, secured	All	assert or deassert <b>SL</b> , or set <b>inventoried</b> to <i>A</i> or <i>B</i>	ready
killed	All	–	killed

## C.9 Command response: *Read*

Table C.9. *Read* command-response table

Starting State	Condition	Response	Next State
ready	All	–	ready
arbitrate, reply, acknowledged	All	–	arbitrate
open	valid <u>handle</u> & invalid memory access	loadmodulate error code	open
	valid <u>handle</u> & valid memory access	loadmodulate data and <u>handle</u>	open
	invalid <u>handle</u>	–	open
secured	valid <u>handle</u> & invalid memory access	loadmodulate error code	secured
	valid <u>handle</u> & valid memory access	loadmodulate data and <u>handle</u>	secured
	invalid <u>handle</u>	–	secured
killed	All	–	killed

## C.10 Command response: *Write*

Table C.10. *Write* command-response table

Starting State	Condition	Response	Next State
<b>ready</b>	All	–	<b>ready</b>
<b>arbitrate, reply, acknowledged</b>	All	–	<b>arbitrate</b>
<b>open</b>	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>open</b>
	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>open</b>
	invalid <u>handle</u>	–	<b>open</b>
<b>secured</b>	valid <u>handle</u> & invalid memory access	loadmodulate error code	<b>secured</b>
	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	<b>secured</b>
	invalid <u>handle</u>	–	<b>secured</b>
<b>killed</b>	All	–	<b>killed</b>

## C.11 Command response: *Kill*

Table C.11. *Kill*<sup>1</sup> command-response table

Starting State	Condition	Response	Next State
<b>ready</b>	all	–	<b>ready</b>
<b>arbitrate, reply, acknowledged</b>	all	–	<b>arbitrate</b>
<b>open</b> <sup>2</sup>	valid <u>handle</u> & kill password=0	loadmodulate error code	<b>open</b>
	valid <u>handle</u> & invalid nonzero kill password	–	<b>arbitrate</b>
	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> =0	loadmodulate <u>handle</u> when done	<b>killed</b>
	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> <>0	loadmodulate <u>handle</u> when done	<b>open</b>
	invalid <u>handle</u>	–	<b>open</b>
<b>secured</b> <sup>2</sup>	valid <u>handle</u> & kill password=0	loadmodulate error code	<b>secured</b>
	valid <u>handle</u> & invalid nonzero kill password	–	<b>arbitrate</b>
	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> =0	loadmodulate <u>handle</u> when done	<b>killed</b>
	valid <u>handle</u> & valid nonzero kill password & <u>Recom</u> <>0	loadmodulate <u>handle</u> when done	<b>secured</b>
	invalid <u>handle</u>	–	<b>secured</b>
<b>Killed</b>	all	–	<b>killed</b>

Note 1: See also Figure 6.31.

Note 2: As described in 6.3.4.11.3.4, if a Tag does not implement Recom bits LSB and 2SB it has to ignore their value and treat them as if their value were zero

## C.12 Command response: *Lock*

Table C.12. *Lock* command-response table

Starting State	Condition	Response	Next State
ready	all	–	ready
arbitrate, reply, acknowledged	all	–	arbitrate
open	all	–	open
secured	valid <u>handle</u> & invalid lock payload	loadmodulate error code	secured
	valid <u>handle</u> & valid lock payload	loadmodulate <u>handle</u> when done	secured
	invalid <u>handle</u>	–	secured
killed	all	–	killed

## C.13 Command response: *Access*

Table C.13. *Access*<sup>1</sup> command-response table

Starting State	Condition	Response	Next State
ready	all	–	ready
arbitrate, reply, acknowledged	all	–	arbitrate
open	valid <u>handle</u> & invalid access password	–	arbitrate
	valid <u>handle</u> & valid access password	loadmodulate <u>handle</u>	secured
	invalid <u>handle</u>	–	open
secured	valid <u>handle</u> & invalid access password	–	arbitrate
	valid <u>handle</u> & valid access password	loadmodulate <u>handle</u>	secured
	invalid <u>handle</u>	–	secured
killed	all	–	killed

Note 1: See also Figure 6.33.

## C.14 Command response: *BlockWrite*

Table C.14. *BlockWrite* command-response table

Starting State	Condition	Response	Next State
ready	All	–	ready
arbitrate, reply, acknowledged	All	–	arbitrate
open	valid <u>handle</u> & invalid memory access	loadmodulate error code	open
	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	open
	invalid <u>handle</u>	–	open
secured	valid <u>handle</u> & invalid memory access	loadmodulate error code	secured
	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	secured
	invalid <u>handle</u>	–	secured
killed	All	–	killed

## C.15 Command response: *BlockErase*

Table C.15. *BlockErase* command-response table

Starting State	Condition	Response	Next State
ready	All	–	ready
arbitrate, reply, acknowledged	All	–	arbitrate
open	valid <u>handle</u> & invalid memory access	loadmodulate error code	open
	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	open
	invalid <u>handle</u>	–	open
secured	valid <u>handle</u> & invalid memory access	loadmodulate error code	secured
	valid <u>handle</u> & valid memory access	loadmodulate <u>handle</u> when done	secured
	invalid <u>handle</u>	–	secured
killed	All	–	killed

## C.16 Command response: *BlockPermalock*

Table C.16. *BlockPermalock* command-response table

Starting State	Condition	Response	Next State
ready	all	–	ready
arbitrate, reply, acknowledged	all	–	arbitrate
open	all	–	open
secured	valid <u>handle</u> , valid payload, & <b>Read/Lock</b> = 0	loadmodulate permalock bits and <u>handle</u>	secured
	valid <u>handle</u> , invalid payload, & <b>Read/Lock</b> = 0	loadmodulate error code	secured
	valid <u>handle</u> , valid payload, & <b>Read/Lock</b> = 1	loadmodulate <u>handle</u> when done	secured
	valid <u>handle</u> , invalid payload, & <b>Read/Lock</b> = 1	loadmodulate error code	secured
	invalid <u>handle</u>	–	secured
killed	all	–	killed

## C.17 Command response: $T_2$ timeout

Table C.17.  $T_2$  timeout command-response table

Starting State	Condition	Response	Next State
ready	all	–	ready
arbitrate	all	–	arbitrate
reply, acknowledged	See Figure 6.24 and Table 6.14	–	arbitrate
open	all	–	open
secured	all	–	secured
killed	all	–	killed

## C.18 Command response: Invalid command

Table C.18. Invalid command-response table

Starting State	Condition	Response	Next State
ready <sup>1</sup>	All	–	Ready
arbitrate <sup>2</sup>	All	–	Arbitrate
reply <sup>2</sup>	All	–	Reply
acknowledged <sup>2</sup>	All	–	Acknowledged
open <sup>2</sup>	all, excluding valid commands interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see 6.3.4.11.3.4 and 6.3.4.11.3.6).	–	Open
	otherwise valid commands, except <i>Req_RN</i> or <i>BeginRound</i> , interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see 6.3.4.11.3.4 and 6.3.4.11.3.6).	–	Arbitrate
secured <sup>2</sup>	all, excluding valid commands interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see 6.3.4.11.3.4 and 6.3.4.11.3.6).	–	Secured
	otherwise valid commands, except <i>Req_RN</i> or <i>BeginRound</i> , interspersed between successive <i>Kill</i> or <i>Access</i> commands in a kill or access sequence, respectively (see 6.3.4.11.3.4 and 6.3.4.11.3.6).	–	Arbitrate
killed <sup>1</sup>	All	–	Killed

Note 1: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, or any other command either not recognized or not executable by the tag.

Note 2: “Invalid” shall mean an erroneous command, an unsupported command, a command with invalid parameters, a command with a CRC error, a command (other than a *BeginRound*) with a session parameter not matching that of the inventory round currently in progress, or any other command either not recognized or not executable by the tag.

## Annex D (informative)

### Example slot-count (Q) selection algorithm

#### D.1 Example algorithm an interrogator might use to choose Q

Figure D.1 shows an algorithm an interrogator might use for setting the slot-count parameter  $Q$  in a *BeginRound* command.  $Q_{fp}$  is a floating-point representation of  $Q$ ; an interrogator rounds  $Q_{fp}$  to an integer value and substitutes this integer value for  $Q$  in the *BeginRound*. Typical values for  $C$  are  $0,1 < C < 0,5$ . An interrogator typically uses small values of  $C$  when  $Q$  is large, and larger values of  $C$  when  $Q$  is small.

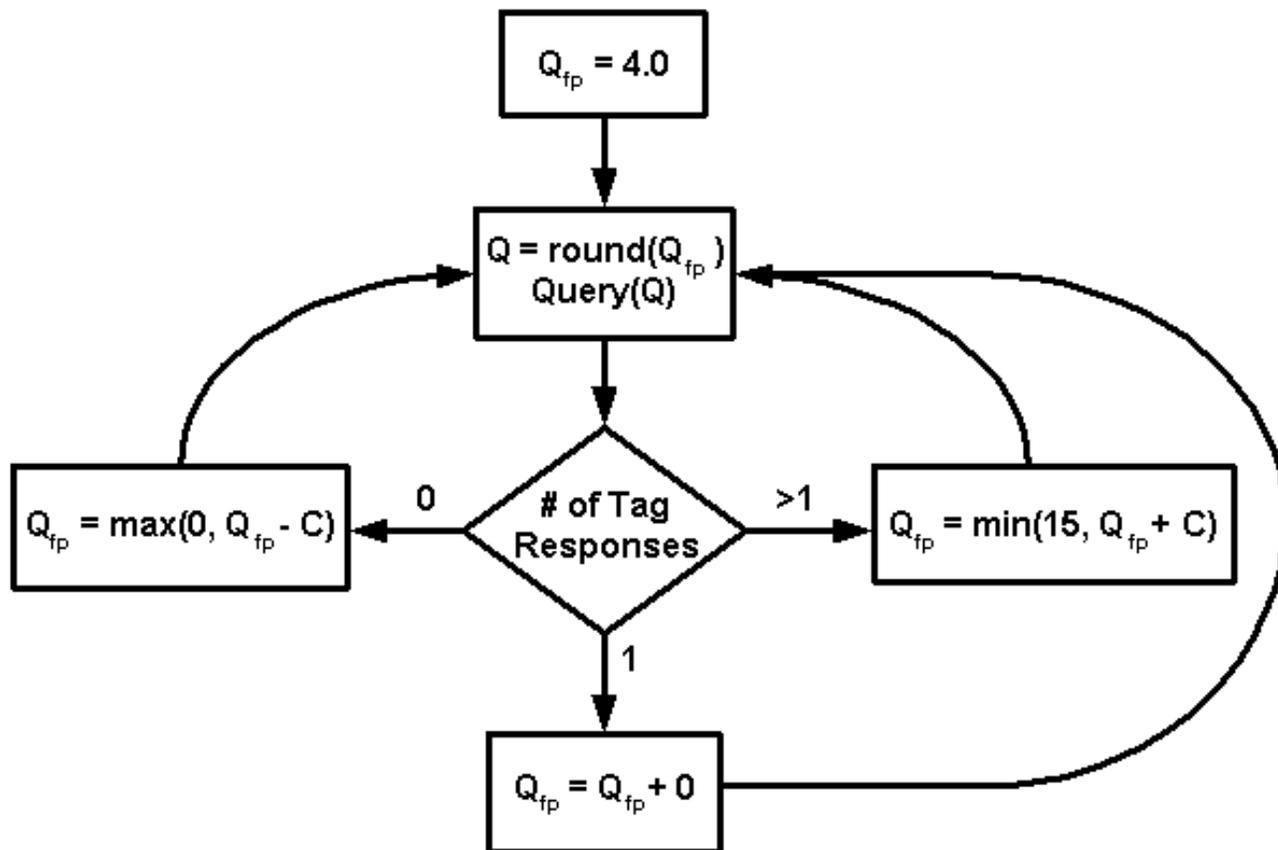


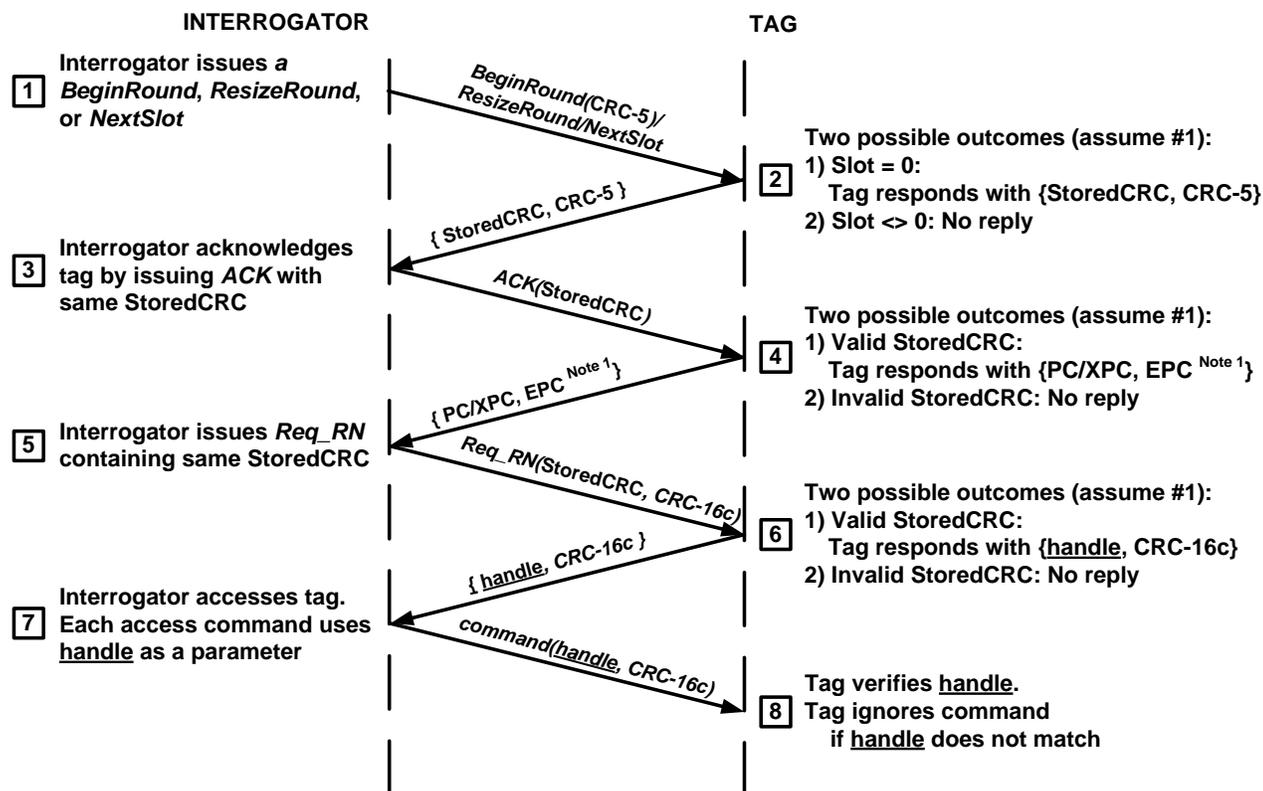
Figure D.1 Example algorithm for choosing the slot count parameter  $Q$

## Annex E (informative)

### Example of tag inventory and access

#### E.1 ASK Method: Example inventory and access of a single tag.

Figure E.1 shows the steps by which an interrogator inventories and accesses a single tag for ASK Method.

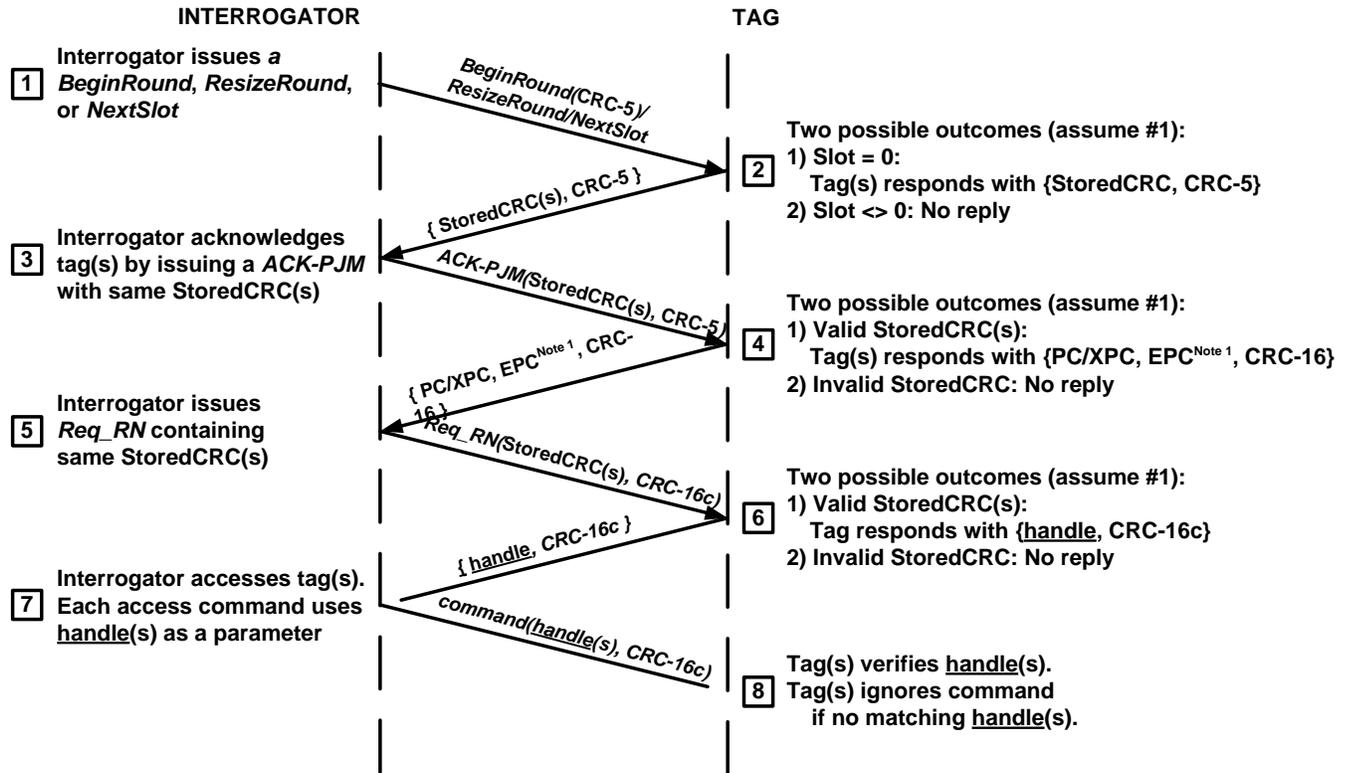


Note 1: In certain cases a PacketCRC should be added if required. See Table 6.15 for the definition of the cases.

**Figure E.1 ASK Method: Example of tag inventory and access**

## E.2 PJM Method: Example inventory and access of a single or multiple tags

Figure E.2 shows the steps by which an Interrogator inventories and accesses a single or multiple tags for PJM Method.



Note 1: In certain cases a PacketCRC should be added if required. See Table 6.15 for the definition of the cases.

Figure E.2 PJM Method: Example of tag inventory and access

## Annex F (informative)

### Calculation of 5-bit and 16-bit cyclic redundancy checks

#### F.1 Example CRC-5 encoder/decoder

An exemplary schematic diagram for a CRC-5 encoder/decoder is shown in Figure F.1, using the polynomial and preset defined in Figure 6.24.

To calculate a CRC-5, first preload the entire CRC register (*i.e.* C[4:0]) with 01001<sub>2</sub>, then clock the data bits to be encoded into the input labeled DATA, MSB first. After clocking in all the data bits, C[4:0] holds the CRC-5 value.

To decode a CRC-5, first preload the entire CRC register (C[4:0]) with 01001<sub>2</sub>, then clock the received data and CRC-5 {data, CRC-5} bits into the input labeled DATA, MSB first. The CRC-5 check passes if C[4:0]=00000<sub>2</sub>.

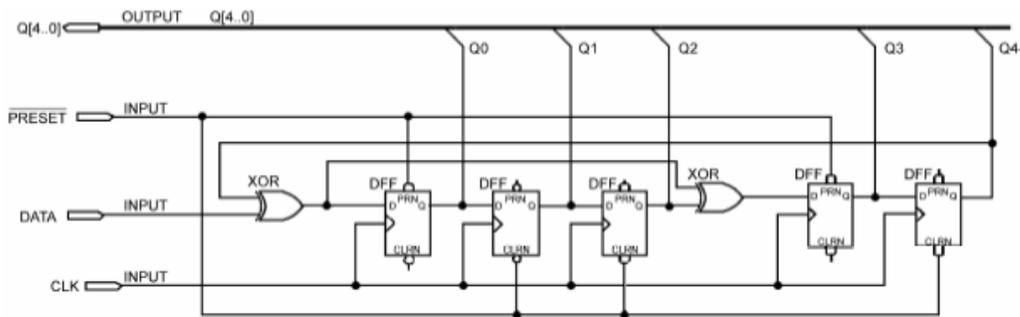


Figure F.1 Example CRC-5 circuit

Table F.1. CRC-5 register preload values

Register	Preload value
Q0	1
Q1	0
Q2	0
Q3	1
Q4	0

#### F.2 Example CRC-16 calculations

This example shows the StoredCRC (a CRC-16) that a Tag would calculate at power-up. As shown in Figure 6.25, EPC memory contains a StoredCRC starting at address 00<sub>h</sub>, a StoredPC starting at address 10<sub>h</sub>, zero or more EPC words starting at address 20<sub>h</sub>, and an XPC\_W1 starting at address 210<sub>h</sub>, and an optional XPC\_W2 starting at address 220<sub>h</sub>. As described in 6.3.4.1.2.1, a Tag calculates its StoredCRC over its StoredPC and EPC, but omits the XPC\_W1 and XPC\_W2 from the calculation. Figure 6.24 shows the StoredCRC that a Tag would

calculate and logically map into EPC memory at power-up, for the indicated example StoredPC and EPC word values. In each successive column, one more word of EPC memory is written, with the entire EPC memory written in the rightmost column. The indicated StoredPC values correspond to the number of EPC words written, with StoredPC bits 15<sub>h</sub>-1F<sub>h</sub> set to zero. Entries marked N/A mean that that word of EPC memory is not included as part of the CRC calculation.

**Table F.2. EPC memory contents for an example tag**

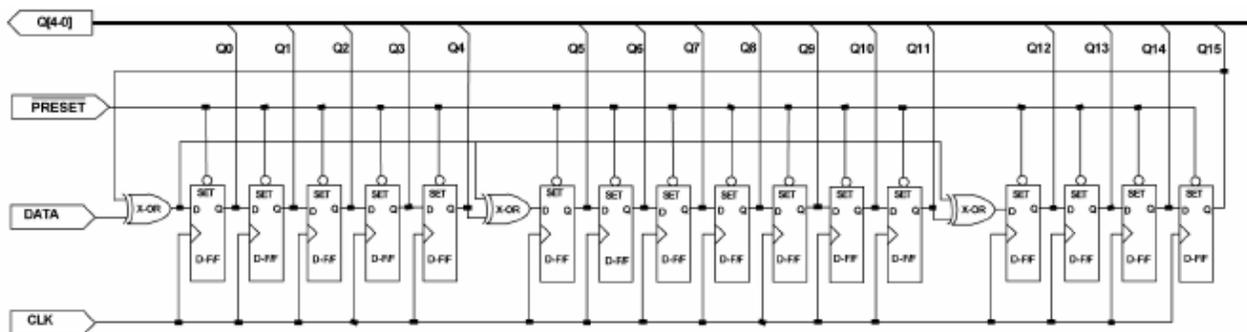
EPC word starting address	EPC word contents	EPC wordvalues							
00 <sub>h</sub>	CRC-16	E2F0 <sub>h</sub>	CCAE <sub>h</sub>	968F <sub>h</sub>	78F6 <sub>h</sub>	C241 <sub>h</sub>	2A91 <sub>h</sub>	1835 <sub>h</sub>	
10 <sub>h</sub>	PC	0000 <sub>h</sub>	0800 <sub>h</sub>	1000 <sub>h</sub>	1800 <sub>h</sub>	2000 <sub>h</sub>	2800 <sub>h</sub>	3000 <sub>h</sub>	
20 <sub>h</sub>	EPC word 1	N/A	1111 <sub>h</sub>	1111	1111 <sub>h</sub>	1111 <sub>h</sub>	1111 <sub>h</sub>	1111 <sub>h</sub>	
30 <sub>h</sub>	EPC word 2	N/A	N/A	2222 <sub>h</sub>					
40 <sub>h</sub>	EPC word 3	N/A	N/A	N/A	3333 <sub>h</sub>	3333 <sub>h</sub>	3333 <sub>h</sub>	3333 <sub>h</sub>	
50 <sub>h</sub>	EPC word 4	N/A	N/A	N/A	N/A	4444 <sub>h</sub>	4444 <sub>h</sub>	4444 <sub>h</sub>	
60 <sub>h</sub>	EPC word 5	N/A	N/A	N/A	N/A	N/A	5555 <sub>h</sub>	5555 <sub>h</sub>	
70 <sub>h</sub>	EPC word 6	N/A	N/A	N/A	N/A	N/A	N/A	6666 <sub>h</sub>	

### F.3 Example CRC-16c encoder/decoder

An exemplary schematic diagram for a CRC-16c encoder/decoder is shown in Figure F.2, using the polynomial and preset defined in Figure 6.24 (the polynomial used to calculate the CRC-16c,  $x^{16} + x^{12} + x^5 + 1$ , is the CRC-CCITT International Standard, ITU Recommendation X.25). This is applicable for both CRC-16 and CRC-16c calculations.

To encode a CRC-16c, first preload the entire CRC register (*i.e.* C[15:0]) with FFFF<sub>h</sub>, then clock the data bits to be encoded into the input labeled DATA, MSB first. After clocking in all the data bits, C[15:0] holds the ones complement of the CRC-16c value.

To decode a CRC-16c, first preload the entire CRC register (C[15:0]) with FFFF<sub>h</sub>, then clock the received data and CRC-16c (data, CRC-16c) bits into the input labeled DATA, MSB first. The CRC-16c check passes if C[15:0] = 1D0F<sub>h</sub>.



**Figure F.2 Example CRC-16c circuit**

## Annex G (informative)

### Phase Jitter Modulation (PJM)

#### G.1 PJM concept

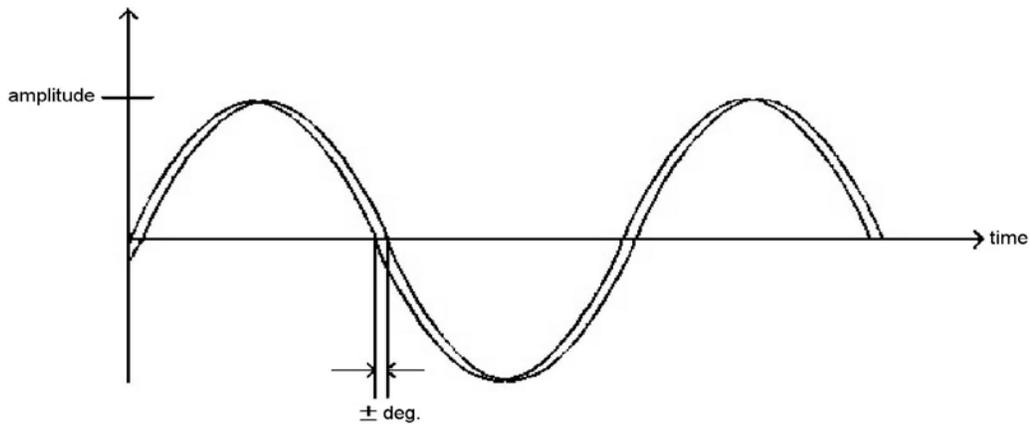


Figure G.1 Phase jitter modulation

PJM consists of two components:

1. An in-phase ( $0^\circ$ ) powering signal  $I$ .
2. A low level quadrature ( $90^\circ$ ) data signal  $\pm Q$ .

The PJM waveform is the sum of these two signals. In phasor notation, these can be represented as shown in Figure G.2.

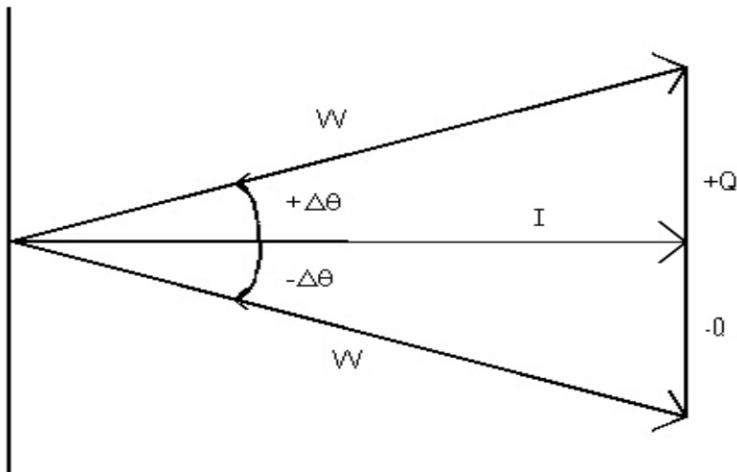
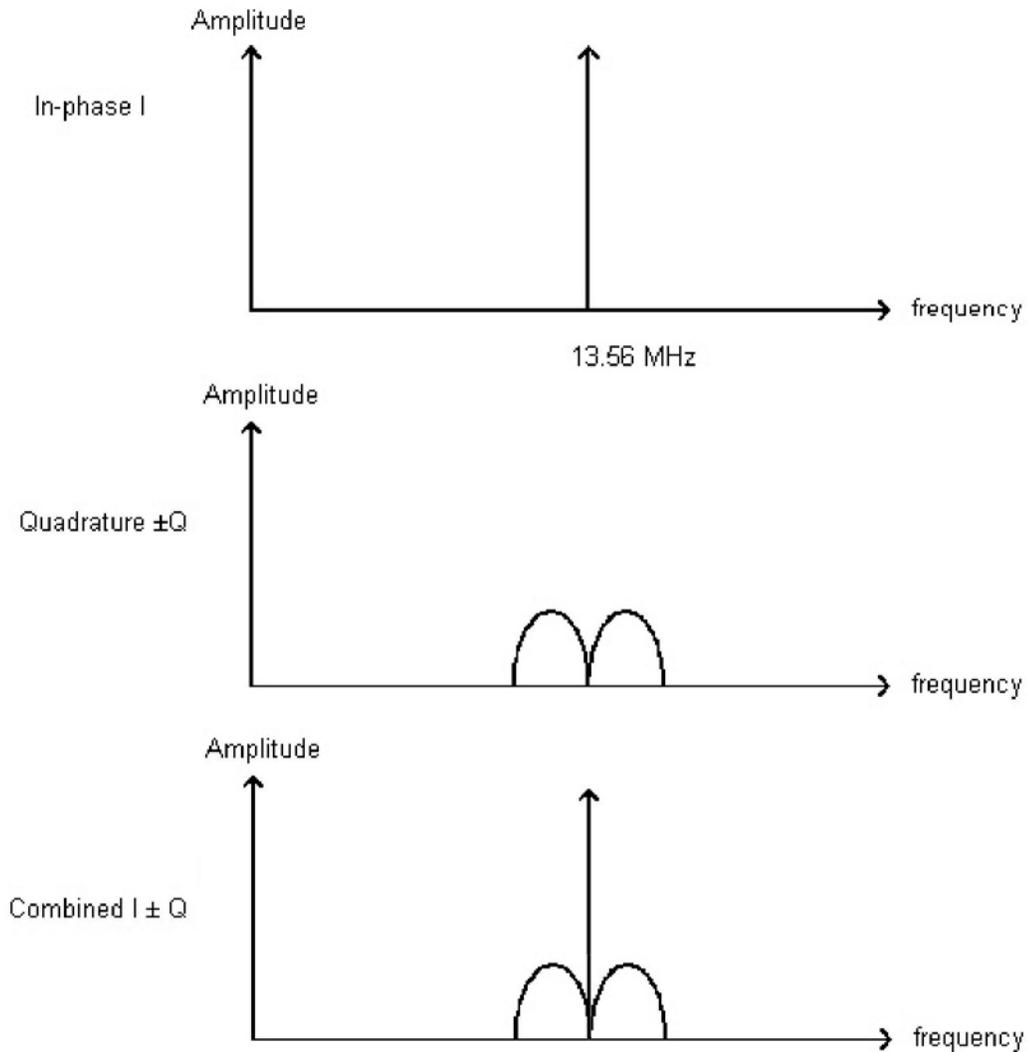


Figure G.2 Frequency spectrum

The frequency spectrum of the phasor components are shown in Figure G.3:



**Figure G.3 Generation of PJM**

- The phase change details are defined in Int:7 and 6.3.3.1.2.5.
- The interrogator command bit rate is 212 kbit/s, see Int:9 in Table 6.1.

Features of PJM are:

- Constant amplitude signal with constant power transfer.
- Sideband levels independent of data rate and can be adjusted to suit regulations.
- Very high speed data can be transmitted because PJM bandwidth is no wider than the original double-sided data bandwidth.
- Narrow bandwidth antennas do not limit high speed PJM signals. PJM can be pre-compensated to cancel for the effect of antenna bandwidth.

## G.2 PJM Example implementations

Example implementations of PJM are given in figures G.4 and G.5:

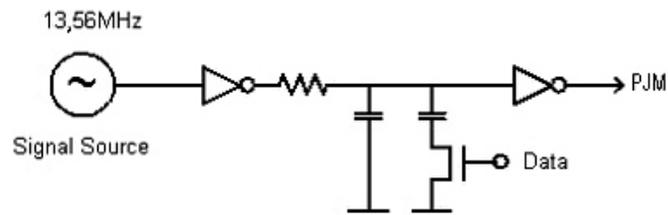


Figure G.4 Circuit for providing a data controlled variable phase delay for generating PJM

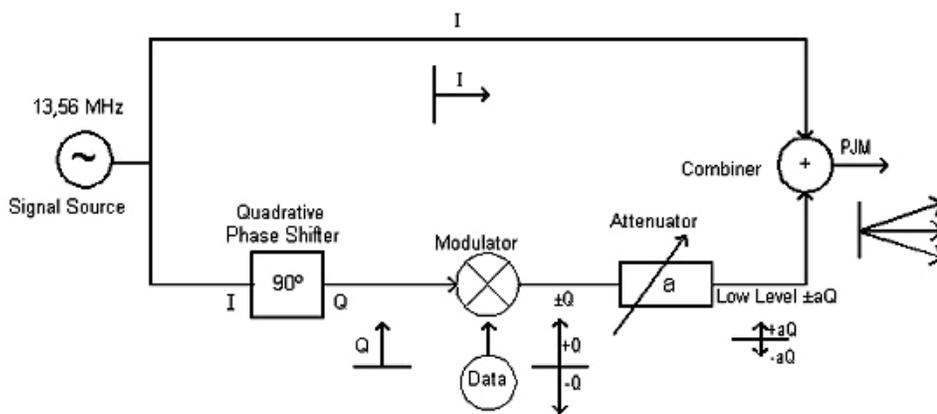


Figure G.5 Circuit for the generation of PJM showing the various elements of a PJM signal

# Annex H (informative)

## ASK Method: Interrogator-to-tag link modulation

### H.1 Baseband waveforms, modulated RF, and detected waveforms

Figure H.1 shows R=>T baseband and modulated waveforms as generated by an interrogator, and the corresponding waveforms envelope-detected by a tag, for DSB-ASK modulation.

DSB-ASK Baseband Data: 010

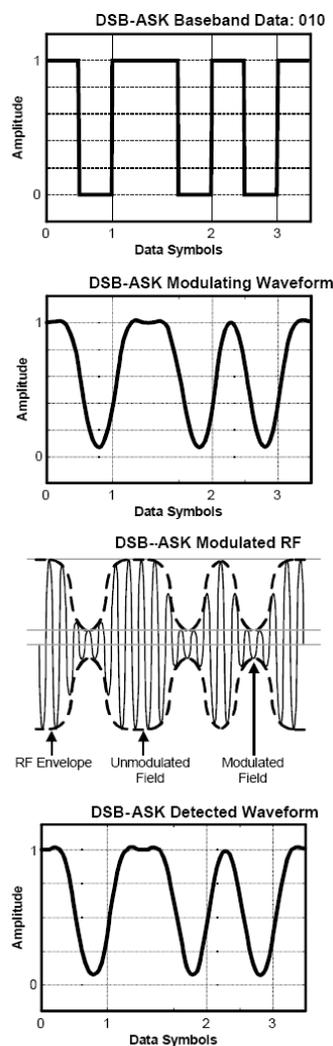


Figure H.1 Interrogator-to-tag modulation

Note: Drawing not to scale. The DSB-ASK Modulated RF waveform has to respect the modulation index specified in Table 6.5.

# Annex I (normative)

## Error codes

### I.1 Tag error codes and their usage

If a tag encounters an error when executing an access command that reads from or writes to memory, and if the command is a handle-based command (*i.e. Read, Write, Kill, Lock, BlockWrite, or BlockErase, or BlockPermalock*), then the tag shall loadmodulate an error code as shown in Table I.1 instead of its normal reply.

- If the tag supports error-specific codes, it shall use the error-specific codes shown in Table I.2. Tag error codes.
- If the tag does not support error-specific codes, it shall loadmodulate error code 00001111<sub>2</sub> (indicating a non-specific error) as shown in Table I.2. Tag error codes.
- Tags shall loadmodulate error codes only from the **open** or **secured** states.
- A tag shall not loadmodulate an error code if it receives an invalid access command; instead, it shall ignore the command.
- If an error is described by more than one error code, the more specific error code shall take precedence and shall be the code that the tag loadmodulates.
- The header for an error code is a 1-bit, unlike the header for a normal tag response, which is a 0-bit.

**Table I.1. Tag-error reply format**

	Header	Error Code	RN	CRC-16c
<b># of bits</b>	1	8	16	16
<b>description</b>	1	Error code	<u>handle</u>	

**Table I.2. Tag error codes**

Error-Code Support	Error Code	Error-Code Name	Error Description
<b>Error-specific</b>	00000000 <sub>2</sub>	Other error	"Catch-all" for errors not covered by other codes
	00000011 <sub>2</sub>	Memory overrun	The specified memory location does not exist or the EPC length field is not supported by the tag
	00000100 <sub>2</sub>	Memory locked	The specified memory location is locked and/or permalocked and is either not writeable or not readable
	00001011 <sub>2</sub>	Insufficient power	The tag has insufficient power to perform the memory-write operation
<b>Non-specific</b>	00001111 <sub>2</sub>	Non-specific error	The tag does not support error-specific codes

## Annex J (normative)

### Slot counter

#### J.1 Slot-counter operation

As described in 6.3.4.4.8, tags implement a 15-bit slot counter. As described in 6.3.4.8, interrogators use the slot counter to regulate the probability of a tag responding to a *BeginRound*, *ResizeRound*, or *NextSlot* command. Upon receiving a *BeginRound* or *ResizeRound* a tag preloads a Q-bit value, drawn from the tag RNG (see 6.3.4.4.8), into its slot counter. Q is an integer in the range (0 - 15). A *BeginRound* specifies Q; a *ResizeRound* may modify Q from the prior *BeginRound*. Upon receiving a *NextSlot*, a tag decrements its slot value. Tags transition to the **reply** state when their slot value reaches 0000<sub>h</sub>. The slot counter implements continuous counting, meaning that, after the slot value decrements to 0000<sub>h</sub>, the next *NextSlot* causes it to roll over and begin counting down from 7FFF<sub>h</sub>. Tags that return to **arbitrate** (for example, from the **reply** state) with a slot value of 0000<sub>h</sub> decrement their slot value from 0000<sub>h</sub> to 7FFF<sub>h</sub> at the next *NextSlot* (with matching session) and, because their slot value is now non-zero, remain in **arbitrate**.

Annex B and Annex C contain tables describing a tag response to interrogator commands; “slot” is a parameter in these tables.

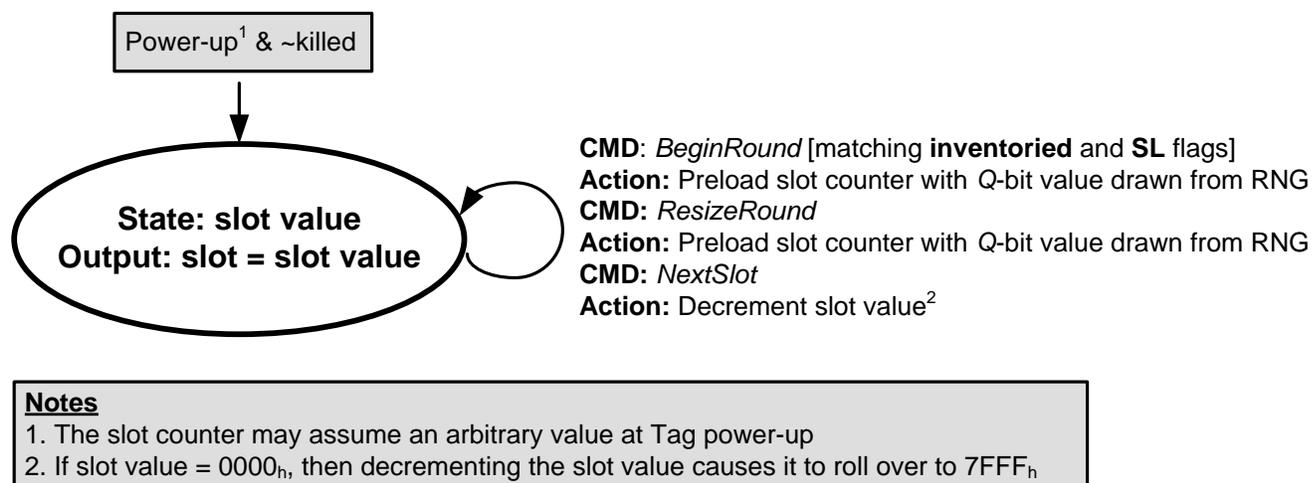


Figure J.1 Slot-counter state diagram

## Annex K (informative)

### Example data flow exchange

#### K.1 Overview of the data-flow exchange

The following example describes a data exchange, between an interrogator and a single tag, during which the interrogator reads the kill password stored in the tag Reserved memory. This example assumes that:

- The tag has been singulated and is in the **acknowledged** state.
- The tag Reserved memory is locked but not permalocked, meaning that the interrogator must issue the access password and transition the tag to the **secured** state before performing the read operation.
- The random numbers the tag generates (listed in sequence, and not random for reasons of clarity) are:
  - CRC16 XXXX<sub>h</sub> (the StoredCRC the tag transmitted prior to entering **acknowledged**)
  - RN16\_1 1601<sub>h</sub> (shall become the handle for the entire access sequence)
  - RN16\_2 1602<sub>h</sub>
  - RN16\_3 1603<sub>h</sub>
- The tag EPC is 64 bits in length.
- The tag access password is ACCEC0DE<sub>h</sub>.
- The tag kill password is DEADC0DE<sub>h</sub>.
- The 1<sup>st</sup> half of the access password EXORed with RN16\_2=ACCE<sub>h</sub> ⊗ 1602<sub>h</sub>=BACC<sub>h</sub>.
- The 2<sup>nd</sup> half of the access password EXORed with RN16\_3=C0DE<sub>h</sub> ⊗ 1603<sub>h</sub>=D6DD<sub>h</sub>.

#### K.2 Tag memory contents and lock-field values

Table K.1. Tag memory contents and Table K.2. Lock-field values show the example tag memory contents and lock-field values, respectively.

**Table K.1. Tag memory contents**

Memory Bank	Memory Contents	Memory Addresses	Memory Values
TID	TID[15:0]	10 <sub>h</sub> -1F <sub>h</sub>	54E2 <sub>h</sub>
	TID[31:16]	00 <sub>h</sub> -0F <sub>h</sub>	A986 <sub>h</sub>
EPC	EPC[15:0]	50 <sub>h</sub> -5F <sub>h</sub>	3210 <sub>h</sub>
	EPC[31:16]	40 <sub>h</sub> -4F <sub>h</sub>	7654 <sub>h</sub>
	EPC[47:32]	30 <sub>h</sub> -3F <sub>h</sub>	BA98 <sub>h</sub>
	EPC[63:48]	20 <sub>h</sub> -2F <sub>h</sub>	FEDC <sub>h</sub>
	StoredPC[15:0]	10 <sub>h</sub> -1F <sub>h</sub>	2000 <sub>h</sub>
	StoredCRC-16[15:0]	00 <sub>h</sub> -0F <sub>h</sub>	XXXX <sub>h</sub> as calculated (see Annex F)
Reserved	access password[15:0]	30 <sub>h</sub> -3F <sub>h</sub>	C0DE <sub>h</sub>
	access password[31:16]	20 <sub>h</sub> -2F <sub>h</sub>	ACCE <sub>h</sub>
	kill password[15:0]	10 <sub>h</sub> -1F <sub>h</sub>	C0DE <sub>h</sub>
	kill password[31:16]	00 <sub>h</sub> -0F <sub>h</sub>	DEAD <sub>h</sub>

**Table K.2. Lock-field values**

Kill Password	Access Password	EPC Memory	TID Memory	User Memory
1    0	1    0	0    0	0    0	N/A    N/A

### K.3 Data-flow exchange and command sequence

The data-flow exchange follows the **Access** procedure outlined in Figure 6.33 with a *Read* command added at the end. The sequence of interrogator commands and tag replies is:

- Step 1: *Req\_RM*[CRC16, CRC-16c]  
Tag loadmodulates RN 16\_1, which becomes the handle for the entire access sequence
- Step 2: *Req\_RM*[handle CRC-16c]  
Tag loadmodulates RN 16\_2
- Step 3: *Access*[access password[31:16] EXORed with RN16\_2, handle CRC-16c]  
Tag loadmodulates handle
- Step 4: *Req\_RM*[handle CRC-16c]  
Tag loadmodulates RN16\_3
- Step 5: *Access*[access password[15:0] EXORed with RN16\_3, handle CRC-16c]  
Tag loadmodulates handle
- Step 6: *Read*[MemBank=Reserved, WordPtr=00<sub>h</sub>, WordCount=2, handle CRC-16c]  
Tag loadmodulates kill password

Table K.3. Interrogator commands and tag replies shows the detailed interrogator commands and tag replies. For reasons of clarity, the CRC-16c has been omitted from all commands and replies.

**Table K.3. Interrogator commands and tag replies**

Step	Data Flow	Command	Parameter and/or Data	Tag State
1a: <i>Req_RN</i> command	R => T	11000001	0001 0110 0000 0000 (CRC16=XXXX <sub>h</sub> )	<b>acknowledged</b>
1b: tag response	T => R		0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	→ <b>open</b>
2a: <i>Req_RN</i> command	R => T	11000001	0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	<b>open</b>
2b: tag response	T => R		0001 0110 0000 0010 (RN16_2=1602 <sub>h</sub> )	→ <b>open</b>
3a: <i>Access</i> command	R => T	11000110	1011 1010 1100 1100 (BACC <sub>h</sub> ) 0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	<b>open</b> → <b>open</b>
3b: tag response	T => R		0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	
4a: <i>Req_RN</i> command	R => T	11000001	0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	<b>open</b>
4b: tag response	T => R		0001 0110 0000 0011 (RN16_2=1603 <sub>h</sub> )	→ <b>open</b>
5a: <i>Access</i> command	R => T	11000110	1101 0110 1101 1101 (D6DD <sub>h</sub> ) 0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	<b>open</b> → <b>secured</b>
5b: tag response	T => R		0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	
6a: <i>Read</i> command	R => T	11000010	00 (MemBank=Reserved) 00000000(WordPtr=kill password) 00000010(WordCount=2) 0001 0110 0000 0001 ( <u>handle</u> =1601 <sub>h</sub> )	<b>secured</b> → <b>secured</b>
6b: tag response	T => R		0 (header) 1101 1110 1010 1101 (DEAD <sub>h</sub> ) 1100 0000 1101 1110 (CODE <sub>h</sub> )	

Note: The example above shows the optional usage of the cover-coding of the access password.

## Annex L (informative)

### Optional Tag Features

The following options are available to Tags certified to this protocol.

#### L.1 Optional Tag passwords

**Kill password:** A Tag may optionally implement a kill password. A Tag that does not implement a kill password operates as if it has a zero-valued kill password that is permanently read/write locked. See 6.3.4.1.1.1.

**Access password:** A Tag may optionally implement an access password. A Tag that does not implement an access password operates as if it has a zero-valued access password that is permanently read/write locked. See 6.3.4.1.1.2.

#### L.2 Optional Tag memory banks and memory-bank sizes

**Reserved memory:** Reserved memory is optional. If a Tag does not implement either a kill password or an access password, then the Tag need not physically implement Reserved memory. Because a Tag with non-implemented passwords operates as if it has zero-valued password(s) that are permanently read/write locked, these passwords must still be logically addressable in Reserved memory at the memory locations specified in 6.3.4.1.1.1 and 6.3.4.1.1.2.

**EPC memory:** EPC memory is required, but its size is vendor-defined. The minimum size is 32 bits, to contain a 16-bit StoredCRC and 16-bit StoredPC. EPC memory may be larger than 32 bits, to contain an EPC whose vendor-specified length may be 16 to 464 bits in 16-bit increments, as well as a mandatory XPC\_W1 and an optional XPC\_W2. See 6.3.4.1.2.

**TID memory:** TID memory is required, but its size is vendor-defined. The minimum-size TID memory contains an 8-bit ISO/IEC 15963 allocation class identifier, as well as sufficient identifying information for an Interrogator to uniquely identify the custom commands and/or optional features that a Tag supports. TID memory may optionally contain vendor-specific data. See 6.3.4.1.4.

**User memory:** User memory is optional. See 6.3.4.1.4, 6.3.4.1.4.1, and 6.3.4.1.4.2.

#### L.3 Optional Tag commands

**Proprietary:** A Tag may support proprietary commands. See 2.3.3.

**Custom:** A Tag may support custom commands. See 2.3.4.

**Access:** A Tag may support the Access command. See 6.3.4.11.3.6.

**BlockWrite:** A Tag may support the BlockWrite command. See 6.3.4.11.3.7.

**BlockErase:** A Tag may support the BlockErase command. See 6.3.4.11.3.8.

**BlockPermalock:** A Tag may support the BlockPermalock command. See 6.3.4.11.3.9.

**Kill:** A Tag may support the *Kill* command. Only support of Recom bit 3SB is mandatory. See 6.3.4.11.3.4

## **L.4 Optional Tag error-code reporting format**

A Tag may support error-specific or non-error-specific error-code reporting. See Annex I.

## **L.5 Optional Tag functionality**

A Tag may implement the UMI by one of two methods. See 6.3.4.1.2.2.

A Tag may implement a second XPC word (XPC\_W2). See 6.3.4.1.2.2 and 6.3.4.1.2.5.

A Tag may implement Recom bits LSB and 2SB. See 6.3.4.4.7, 6.3.4.10, and 6.3.4.11.3.4.

A tag may implement cover-coding. See 6.3.4.11.3.3

## **L.6 Optional Tag Feature**

A Tag may optionally implement a PJM Method. (See Annex G)